

OSBM

**PERSONAL SERVICES CONTRACTS
For Fiscal Year 2010**

As Directed by Session Law 2007-322

March 2011



Prepared By:

*Office of State Budget and Management
Office of State Personnel*

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INTRODUCTION

Session Law 2007-322 and Chapter 143-64.70 of the North Carolina General Statutes require the Office of State Budget and Management (OSBM) and the Office of State Personnel (OSP) to report annually on the use of personal services contracts¹ by State departments, agencies, institutions, and other State entities, hereafter referred to as “State agencies”, that have annual expenditures greater than \$25,000. The report should include information on the type, number, duration, cost, and effectiveness of the personal services contracts. The report must be submitted to the Joint Legislative Commission on Governmental Operations by March 15 of each year.

Methodology

OSBM requested State agencies to provide information on the personal services contracts which they used during fiscal year 2009-10, hereafter referred to as FY 2010, with expenditures greater than \$25,000. The requested information included general agency details, contract specifications, the nature of the services, the duration of the contracts, financial data, and other contractual information. The information submitted to OSBM by the State agencies was assembled, verified, and analyzed to ensure its completeness and accuracy. Additionally, the personal service contract data for previous fiscal year reports has been included in this report for comparative purposes.

BACKGROUND

The use of personal services contracts enables State agencies to acquire professional services for short term basis without creating state positions. These contracts provide agencies with an opportunity to obtain professional services that may be difficult to recruit for State positions. For example, the Department of Correction uses personal service contracts to provide physicians, nurses, chaplains, and other services to care for inmates. These types of contracts often require the contractors to work for extended periods of time, but for a limited number of hours each week. Because these type of contracts are often in remote locations and demanding work environments, these positions may be difficult to fill, and can be best addressed through personal services contracts. OSP also contracts for the services of training instructors on limited basis for extended periods of time because of their specific expertise in certain educational areas. Personal services contracts are classified as “independent contractors” as defined by the Fair Labor Standards Act and the Internal Revenue Service. Therefore, State agencies do not have to pay the costs of health benefits, withholding taxes, Social Security contributions, workers’ compensation, and overtime.

While personal services contracts are an efficient and effective way for agencies to accomplish the specific needs and special skills, State agencies should be careful to not abuse or misuse this contracting method. It is also important for agencies to routinely evaluate their personal services contracts to ensure compliance with the Fair Labor Standards Act and Internal Revenue Service regulations, and applicable North Carolina Administrative Codes and procurement regulations.

RESULTS

Summary Tables and Charts

The tables and graphs on the following pages provide summary data on the types, number, and costs of personal services contracts for FY 2010, in addition to historical information for the four previous FYs – 2006 through 2009. Appendix A provides the definitions for the types of personal services contracts.

¹ Section II of the State Purchasing Manual defines a personal services contract as: “...services provided by a professional individual (person) on a temporary or occasional basis, including (by way of illustration, not limitation) those provided by a doctor, dentist, attorney, architect, professional engineer, scientist or performer of the fine arts and similar professions; the exemption applies only if the individual is using his/her professional skills to perform a professional task; a personal service may also be a consultant service, in which case consultant contracting procedures shall be followed.” Specifically excluded from this definition are “service contracts” between an agency and a company that provides services, such as lawn or housekeeping.”

Table 1 summarizes the personal services contract information for FYs 2006 through FY 2010 for contracts greater than \$25,000. The summary includes the number, costs, and duration of contracts as well as the number of contractors, average number of contracts per contractor, number of state employees overseeing the contracts, and the average length of contracts. The last column of Table 1 also shows that the number, dollar amount, and duration of the personal services contracts declined for all categories except one between FY 2006 and FY 2010 data. The average number of months for all contract categories increased from 16.1 months for FY 2006 to 17.1 months for FY 2010.

Table 1 – Summary of Personal Services Contracts Greater than \$25,000 for FYs 2006 thru 2010

	FY 2006	FY 2007	FY 2008	FY 2009	FY 2010	Percent of Change FY 2006 to FY 2010
Total Number of Contracts > \$25,000	610	543	629	584	534	-12.5%
Total Paid on Contracts -- All Funding Sources	\$30,817,293	\$29,735,057	\$38,169,091	\$30,263,392	\$27,731,481	-10.0%
Total Paid on Contracts -- Only General Funds	\$23,513,528	\$22,417,169	\$28,247,681	\$23,252,580	\$22,066,862	-6.2%
Number of Individual Contractors	588	512	600	493	521	-11.4%
Average Number of Contracts per Contractor	1.04	1.06	1.05	1.18	1.02	-1.2%
Number of State Employees Overseeing Contracts	284	260	298	246	257	-9.5%
Average Length of Contract (<i>in months</i>)	16.1	19.0	15.1	16.5	17.1	6.2%

Table 2 shows the number of contracts, amounts spent, and average expenditure for personal services contracts by personal service category for FY 2010. The health and medical services category accounted for one-third of the total contracts and more than 36 percent of the expenditures for FY 2010.

Table 2 – Personal Services Contracts by Service Category for FY 2010

Personal Service Category	Number of Contracts	Percent of Contracts Issued	Amount Spent	Percent of Amount Spent	Average Cost per Contract
Health & Medical Services	181	33.9%	\$ 10,135,261	36.5%	\$ 55,996
Educational Services	67	12.5%	\$ 2,555,733	9.2%	\$ 38,145
Human Services	54	10.1%	\$ 2,213,679	8.0%	\$ 40,994
Business & Financial Services	46	8.6%	\$ 3,861,968	13.9%	\$ 83,956
Information Technology Services	29	5.4%	\$ 2,226,144	8.0%	\$ 76,764
Legal & Law Enforcement Services	28	5.2%	\$ 1,702,193	6.1%	\$ 60,793
Architectural Services (professional & non-professional)	12	2.2%	\$ 478,781	1.7%	\$ 39,898
Management Services	12	2.2%	\$ 404,457	1.5%	\$ 33,705
Engineering Professional Services	11	2.1%	\$ 455,681	1.6%	\$ 41,426
Communications & Media Services	9	1.7%	\$ 348,551	1.3%	\$ 38,728
Scientific Services	5	.9%	\$ 172,684	.6%	\$ 34,537
Interpretation Services	5	.9%	\$ 164,046	.6%	\$ 32,809
Transportation	1	.2%	\$ 35,016	.1%	\$ 35,016
Other	74	13.9%	\$ 2,977,287	10.7%	\$ 40,234
Total	534	100.0%	\$ 27,731,481	100.0%	\$ 652,999

Table 3 on page 3 shows the personal services contracts for FYs 2006 through 2010 by category, the amount expended, number of contracts, and average months per contract. The Health and Medical Services contracts consistently had the largest amount spent for all five FYs (FY 2006 thru FY 2010).

Table 3—Personal Services Contracts Greater than \$25,000 by Category for FYs 2006 thru 2010 (Dollars in Millions)

Service Contract Category	FY 2006			FY 2007			FY 2008			FY 2009			FY 2010		
	Amount Spent	No.	Average Months	Amount Spent	No.	Average Months	Amount Spent	No.	Average Months	Amount Spent	No.	Average Months	Amount Spent	No.	Average Months
Health & Medical Services	\$ 10.7	211	20.8	\$ 9.8	196	26.2	\$ 11.8	210	20.7	\$ 10.4	187	20.9	\$ 10.1	181	21.6
Business & Financial Services	\$ 2.8	50	15.1	\$ 5.0	74	8.5	\$ 4.9	53	9.7	\$ 2.4	35	15.2	\$ 3.9	46	11.1
Other Services	\$ 1.5	33	8.1	\$.9	21	11.1	\$ 2.2	35	10.1	\$ 3.5	72	11.8	\$ 3.0	74	9.2
Educational Services	\$ 3.9	100	11.2	\$ 3.7	73	9.3	\$ 7.3	114	11.3	\$ 3.5	82	12.6	\$ 2.6	67	10.5
Inform. & Technology Services	\$ 5.6	81	17.1	\$ 3.9	47	21.8	\$ 4.9	54	14.4	\$ 3.3	45	18.5	\$ 2.2	29	18.9
Human Services	\$.8	20	19.1	\$.7	17	24.1	\$ 1.3	33	15.3	\$ 1.5	38	16.2	\$ 2.2	54	21.5
Legal & Law Enforcment Services	\$ 1.4	26	14.9	\$ 1.9	20	18.4	\$ 1.8	37	10.0	\$ 1.2	21	7.6	\$ 1.7	28	18.5
Architect Services (prof. & non)	\$.0	0	.0	\$.5	13	16.5	\$.8	15	11.0	\$.5	13	11.7	\$.5	12	11.3
Engineering Prof. Services	\$ 1.3	27	12.9	\$.7	15	20.5	\$.8	16	9.5	\$.8	16	14.1	\$.5	11	15.9
Management Services	\$ 1.5	36	11.4	\$ 2.1	51	11.2	\$ 1.6	39	12.8	\$ 1.7	34	12.1	\$.4	12	11.0
Communicate & Media Services	\$.8	17	10.4	\$.4	12	6.6	\$.7	17	8.7	\$.7	15	10.6	\$.4	9	11.1
Scientific Services	\$.3	4	5.4	\$.0	1	11.2	\$.0	0	.0	\$.0	1	5.1	\$.2	5	7.3
Interpretation Services	\$.1	2	13.7	\$.1	3	9.8	\$.2	4	7.9	\$.7	22	10.1	\$.2	5	15.4
Transportation Services	\$.1	2	6.1	\$.0	0	.0	\$.1	2	17.8	\$.1	3	15.9	\$.0	1	12.2
Blank (not identified)	\$.1	1	11.2	\$.0	0	.0	\$.0	0	.0	\$.0	0	.0	\$.0	0	.0
Total	\$ 30.7	610	16.1	\$ 29.7	543	19.0	\$ 38.2	629	15.1	\$ 30.2	584	16.5	\$ 27.7	534	17.1

Graph 1 shows the percent of the FY 2010 expenditures by personal service category. Health & Medical Services and Business & Financial Services used the most personal services contracts; accounting for over 50 percent (\$14.0 million) of all contract expenditures.

Graph 1 Expenditure Percents by Personal Service Contract Category for FY 2010

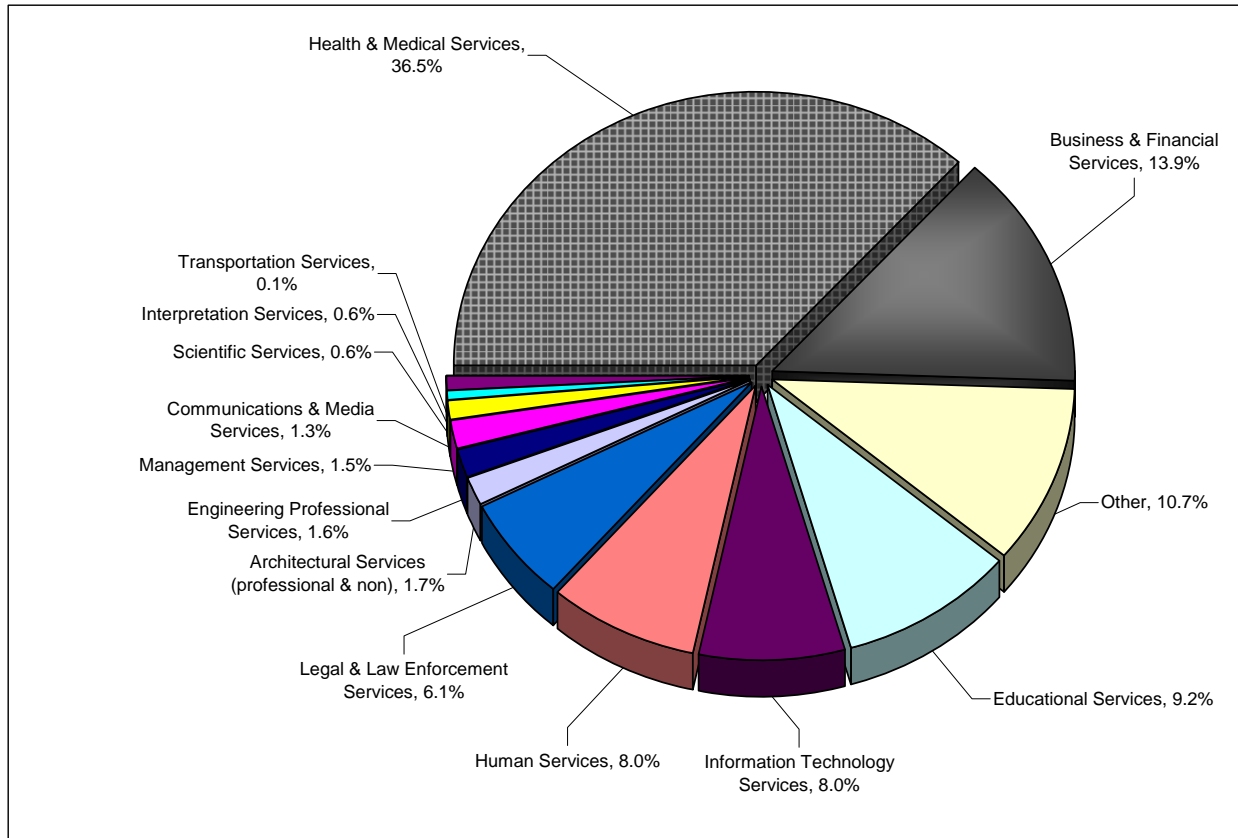


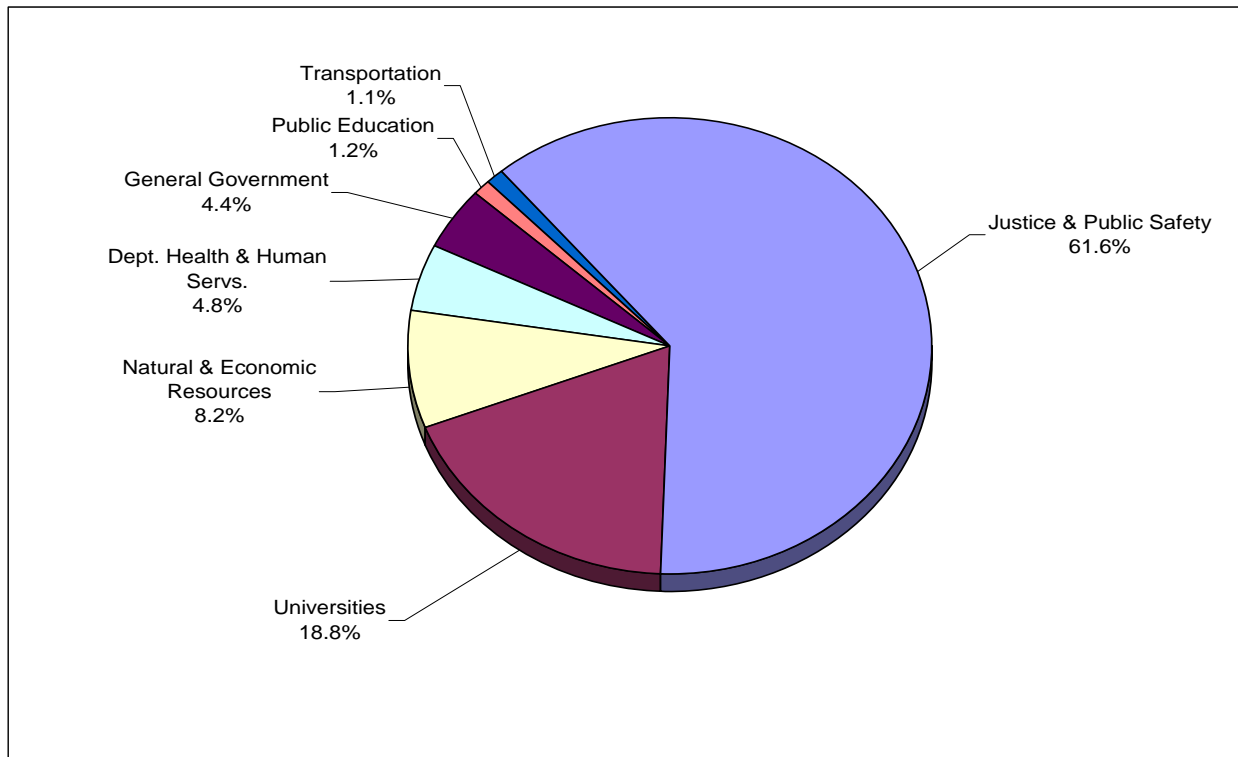
Table 4 shows the dollar amount expended and number of contracts by government entities for FYs 2006-2010. The Justice & Public Safety entity had the largest number of contracts and amount spent on personal services contracts. These contracts were primarily in the Department of Correction for providing health and medical services. Appendix B provides entity information at the agency level for FYs 2006 through 2010.

Table 4 – Contracts Greater than \$25,000 by Government Entity for FYs 2006 thru 2010

Government Entity	FY 2006		FY 2007		FY 2008		FY 2009		FY 2010	
	Amount (\$M)	No of Contracts	Amount (\$M)	No of Contracts	Amount (\$M)	No of Contracts	Amount (\$M)	No of Contracts	Amount (\$M)	No of Contracts
Justice & Public Safety	\$ 16.3	352	\$ 15.2	314	\$ 16.9	338	\$ 16.5	353	\$ 17.1	361
University System	\$ 5.1	94	\$ 7.2	111	\$ 9.1	137	\$ 6.0	115	\$ 5.2	102
General Government	\$ 2.4	37	\$ 2.9	40	\$ 3.7	33	\$ 2.1	25	\$ 2.3	14
Health & Human Services	\$ 1.6	26	\$ 1.3	26	\$ 1.4	27	\$ 1.9	32	\$ 1.3	23
Natural & Economic Resources	\$.7	12	\$ 1.7	14	\$ 1.7	21	\$ 1.7	21	\$ 1.2	20
Public Instruction	\$ 4.1	79	\$ 1.2	31	\$ 5.1	66	\$ 1.6	32	\$.3	7
Transportation	\$.6	8	\$.4	6	\$.3	7	\$.5	6	\$.3	7
Community Colleges	\$.1	2	\$.0	1	\$ -	0	\$ -	0	\$ -	0
Total	\$ 30.8	610	\$ 29.7	543	\$ 38.2	629	\$ 30.2	584	\$ 27.7	534

Graph 2 shows the percent of expenditures by government entity for FY 2010. Justice & Public Safety accounted for 61.6% of all the personal services contract expenditures by government entities.

Graph 2-FY 2010 Percent of Expenditures by Government Entity



The data reported to OSBM by State agencies were also compiled by fund categories to show the type of funds used for the personal services contracts. Table 5 shows the amounts and percent of expenditures for FYs 2006 through 2010. As would be expected, the General Fund accounted for the majority of expenditures throughout the reporting period, accounting for 79.6% of FY 2010 expenditures. The General Funds account expenditures for FY 2010 represented 430 (80.5%) of the personal services contracts out of a total of 534 contracts. Overall, there was a net decrease of \$3.1 million (10.0%) in the personal services contract expenditures from FY 2006 to FY 2010, with seven of the nine funding categories having net decreases.

Table 5 – Expenditures by Fund Account for Personal Service Contracts Greater than \$25,000 for FYs 2006 thru 2010

Fund Account	FY 2006		FY 2007		FY 2008		FY 2009		FY 2010		Net Change from FY 2006 to FY 2010	
	Amount	Percent	Amount	Percent	Amount	Percent	Amount	Percent	Amount	Percent	Amount	Percent
General Funds	\$ 23,660,986	76.8%	\$ 22,417,169	75.3%	\$ 28,247,682	74.0%	\$ 23,252,580	76.8%	\$ 22,066,862	79.6%	-1,594,124	-6.7%
Institutional Funds	\$ 2,667,056	8.7%	\$ 2,759,863	9.2%	\$ 3,622,724	9.5%	\$ 2,277,889	7.5%	\$ 2,371,283	8.6%	-295,773	-11.1%
Special Funds	\$ 1,875,771	6.1%	\$ 2,867,189	9.6%	\$ 4,019,027	10.5%	\$ 2,386,004	7.9%	\$ 1,309,124	4.7%	-566,647	-30.2%
Federal Funds	\$ -	.0%	\$ -	.0%	\$ 26,729	.1%	\$ 103,150	.4%	\$ 289,997	1.0%	289,997	.0%
Capital Project Funds	\$ -	.0%	\$ -	.0%	\$ 55,000	.1%	\$ 110,039	.4%	\$ 0	.0%	\$ 0	.0%
Enterprise Funds	\$ 883,109	2.9%	\$ 623,363	2.1%	\$ 1,485,764	3.9%	\$ 1,338,253	4.4%	\$ 1,182,160	4.3%	299,051	33.9%
Trust and Agency Funds	\$ 402,410	1.3%	\$ 295,676	1.0%	\$ 196,465	.5%	\$ 122,744	.4%	\$ 89,820	.3%	-312,590	-77.7%
Service Funds	\$ 406,175	1.3%	\$ 280,576	1.0%	\$ 222,127	.6%	\$ 216,758	.7%	\$ 127,358	.5%	-278,817	-68.6%
Highway Funds	\$ 472,550	1.5%	\$ 314,052	1.1%	\$ 293,574	.8%	\$ 455,975	1.5%	\$ 294,877	1.1%	-177,673	-37.6%
Category not Identified	\$ 449,236	1.5%	\$ 177,169	.6%	\$ -	.0%	\$ -	.0%	\$ -	.0%	-449,236	-100.0%
TOTAL	\$ 30,817,293	100.0%	\$ 29,735,057	100.0%	\$ 38,169,091	100.0%	\$ 30,263,392	100.0%	\$ 27,731,481	100.0%	-3,085,812	-10.0%

The total expenditures for personal services contracts of \$25,000 or greater for FY 2010 were \$27.7 million. The information collected on personal services contracts was also grouped by dollar ranges. As shown in graph 3, the contracts ranging from \$25,000 to \$99,999 accounted for \$20.7 million (74.5%) of the total personal services contract expenditures for FY 2010. This range also accounted for the largest number of contracts awarded with 492 contracts (92.1%) for FY 2010. The contracts of \$100,000 and greater accounted for \$7.0 million (25.5%) of the total contract expenditures.

Graph 3-Personal Service Contract Expenditures by Dollar Range for FY 2010

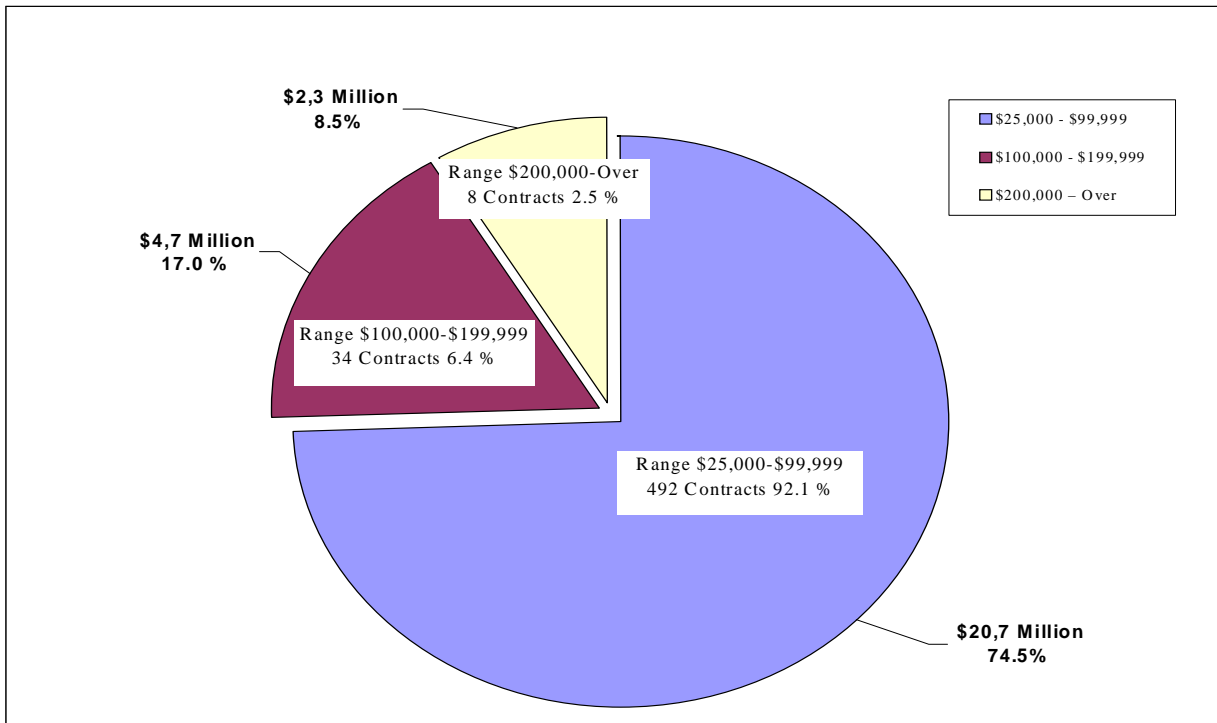


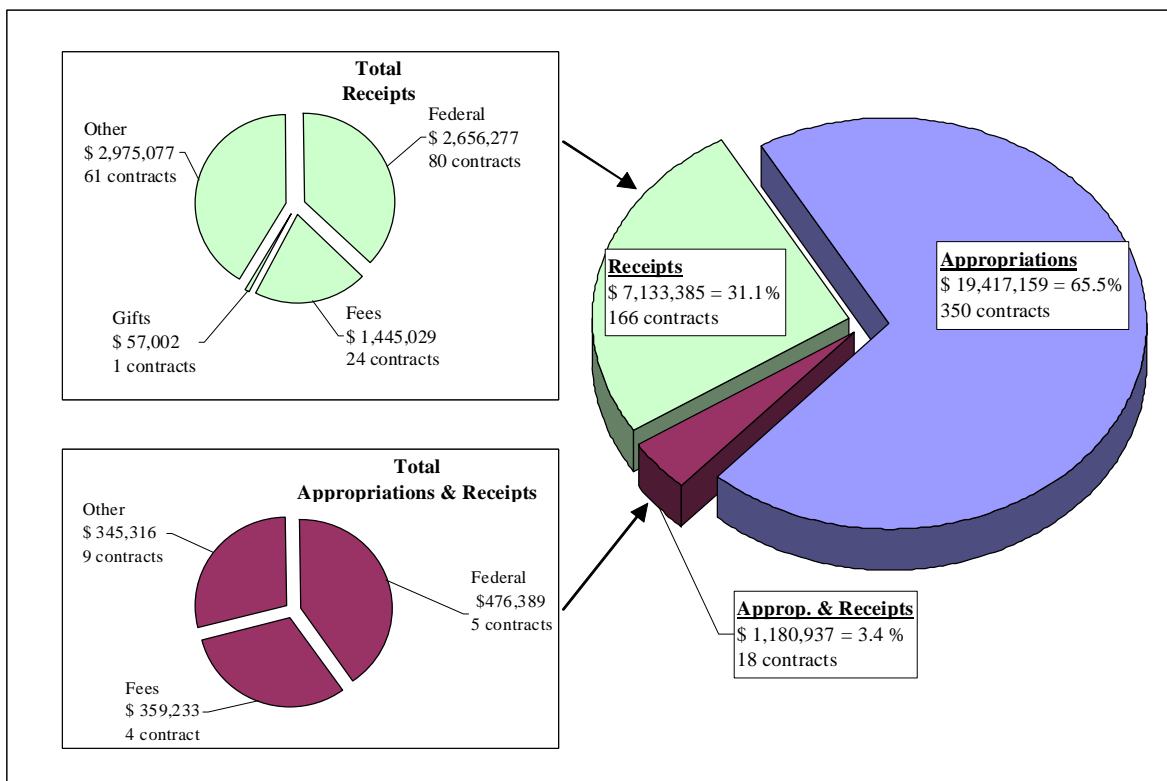
Table 6 shows the number of contracts and amount expended by dollar range for the personal services contract categories for FY 2010.

Table 6 – Personal Services Contracts Category and Dollar Range for FY 2010

Personal Service Category	\$25,000-\$99,999		\$100,000-\$199,999		\$200,000 and Over		Total	
	Number of Contracts	Amount Spent	Number of Contracts	Amount Spent	Number of Contracts	Amount Spent	Number of Contracts	Amount Spent
Health & Medical Services	162	\$ 7,385,968	19	\$ 2,749,293	-	\$ -	181	\$ 10,135,261
Business & Financial Services	36	\$ 1,383,085	4	\$ 596,035	6	\$ 1,882,848	46	\$ 3,861,968
Other	74	\$ 2,977,287	-	\$ -	-	\$ -	74	\$ 2,977,287
Educational Services	67	\$ 2,555,733	-	\$ -	-	\$ -	67	\$ 2,555,733
Information Technology Services	20	\$ 929,429	8	\$ 1,051,956	1	\$ 244,759	29	\$ 2,226,144
Human Services	54	\$ 2,213,679	-	\$ -	-	\$ -	54	\$ 2,213,679
Legal & Law Enforcement Services	24	\$ 1,156,891	3	\$ 322,547	1	\$ 222,755	28	\$ 1,702,193
Architectural Services (professional & non-professional)	12	\$ 478,781	-	\$ -	-	\$ -	12	\$ 478,781
Engineering Professional Services	11	\$ 455,681	-	\$ -	-	\$ -	11	\$ 455,681
Management Services	12	\$ 404,457	-	\$ -	-	\$ -	12	\$ 404,457
Communications & Media Services	9	\$ 348,551	-	\$ -	-	\$ -	9	\$ 348,551
Scientific Services	5	\$ 172,684	-	\$ -	-	\$ -	5	\$ 172,684
Interpretation Services	5	\$ 164,046	-	\$ -	-	\$ -	5	\$ 164,046
Transportation	1	\$ 35,016	-	\$ -	-	\$ -	1	\$ 35,016
Total	492	\$ 20,661,288	34	\$ 4,719,831	8	\$ 2,350,362	534	\$ 27,731,481

Graph 4 shows the funding sources for FY 2010 regarding contract expenditures – Appropriations (65.5%), Receipts (31.1%), and Appropriations & Receipts (3.4%). Some personal services contracts were paid jointly with “Appropriations” and “Receipts” funds, and the actual amount for each source could not be determined. The “Appropriations” funds are the funds appropriated by the General Assembly each year. Funding sources for the “Receipts” include federal, fees, gifts, and other.

Graph 4 – FY 2010 Contract Funding Source



APPENDIX A

DEFINITION OF TYPES OF PERSONAL SERVICE CONTRACTS

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APPENDIX A

DEFINITIONS OF TYPES OF PERSONAL SERVICES CONTRACTS

A Personal Services Contract is defined as:

An agreement for services provided by a professional individual on a temporary or occasional basis (e.g. engineer, speechwriter, nurse, accountant). This may also included advisory consulting services. People retained under the "Personal Services Contracts" umbrella include both those which have withholding by the state and those for whom the agency prepares a Form 1099.

Type of Service Contract	Description
Architectural Services (professional & non-professional)	includes building design, interiors, space use, site planning, utilities architecture, energy management, landscape, flood control, drafting, surveying, etc.
Business & Financial Services	accounting, auditing, cash management, financial advisory, investment consulting, collection services, as well as organizational and other general management consulting services
Communications & Media Services	includes motion picture/television/video/audio production, journalistic/professional writer services, speechwriting, graphic arts, advertising services, etc.
Educational Services	includes teaching and instruction services, workshop facilitation, workshop organization and management, course development, etc.
Engineering Professional Services	includes civil, geological, machine, electrical, structural, general, agricultural, foundation, energy management, and other engineering services
Information Technology Services	includes telecommunication consulting, EDI, applications and systems analysis, etc.
Interpretation Services	includes signing, interpreting, translation, etc.
Health & Medical Services	includes physician, nursing, dental, mental health, x-ray, laboratory, pharmacy, audiology, hygiene, vaccination, waste disposal, etc.
Human Services	includes barber/beautician, funeral services, referral services, working with special populations, counseling, case management, community relations, etc.
Legal & Law Enforcement Services	includes attorneys, paralegal, other court-related services such as court stenography services, as well as security analysts, security personnel, other law enforcement or correctional system specialists
Management Services	includes parking management, records management, insurance/risk management, exhibition/market management, building management, waste management services
Scientific Services	includes laboratory services, sampling, leak detection, other specialty services
Transportation Services	self-explanatory
Other	all others

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APPENDIX B

**PERSONAL SERVICES CONTRACT EXPENDITURES BY LEGISLATIVE
APPROPRIATIONS COMMITTEE & CONTRACT CATEGORY FOR FY 2010**

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APPENDIX B

Personal Services Contract Expenditures by Legislative Appropriations Committee/Contract Category FY 2010

Legislature Approp. Committee	Agency Name	Total	Architect. Services (profess. & non-prof.)	Business & Financial Services	Commun. & Media Services	Educational Services	Engineer. Profess. Services	Health & Medical Services	Human Services	Inform. Technology Services	Interpret. Services	Legal & Law Enforce. Services	Mgmt. Services	Other	Scientific Services	Transport. Services
Education	NC Community College System	\$ -														
Education	Dept. of Public Instruction	\$ 327,301				\$ 327,301										
Education	Elizabeth City State University	\$ 95,738		\$ 25,033		\$ 35,314								\$ 35,391		
Education	Fayetteville State University	\$ 126,640		\$ 126,640												
Education	NC A&T State Univ.	\$ 114,820				\$ 25,000		\$ 89,820								
Education	NC Central University	\$ 169,639				\$ 169,639										
Education	NC School of the Arts	\$ 160,439	\$ 43,000			\$ 86,704			\$ 30,735							
Education	NC State University	\$ 910,682			\$ 39,270	\$ 394,722		\$ 271,423		\$ 113,303					\$ 91,965	
Education	NC School of Science & Math	\$ 197,747				\$ 118,892		\$ 78,855								
Education	UNC Gen. Admin.	\$ 226,448		\$ 99,640	\$ 62,590	\$ 64,218										
Education	UNC Hospitals	\$ 837,385		\$ 673,530					\$ 25,321	\$ 108,280	\$ 30,254					
Education	UNC Pembroke	\$ 116,070			\$ 28,668			\$ 52,643	\$ 34,759							
Education	UNC-Appalachian	\$ 162,409				\$ 68,242								\$ 94,167		
Education	UNC-Asheville	\$ -														
Education	UNC-Chapel Hill	\$ 162,475				\$ 162,475										
Education	UNC-Charlotte	\$ 159,178		\$ 87,405							\$ 43,400			\$ 28,373		
Education	UNC-East Carolina Univ	\$ 543,480				\$ 171,595		\$ 334,185				\$ 37,701				
Education	UNC-Greensboro	\$ 796,251				\$ 49,863		\$ 363,400	\$ 266,224		\$ 38,418		\$ 50,000	\$ 28,346		
Education	UNC-Wilmington	\$ 249,356			\$ 25,000			\$ 62,375		\$ 55,088	\$ 26,174				\$ 80,719	
Education	Western Carolina University	\$ -														
Education	Winston-Salem State University	\$ 195,010			\$ 47,260	\$ 147,750										
University Subtotal		\$ 5,223,768	\$ 43,000	\$ 1,012,248	\$ 289,492	\$ 1,407,711	\$ -	\$ 1,252,701	\$ 357,038	\$ 276,670	\$ 138,246	\$ 37,701	\$ 50,000	\$ 186,277	\$ 172,684	\$ -
Education Total		\$ 5,551,068	\$ 43,000	\$ 1,012,248	\$ 289,492	\$ 1,735,011	\$ -	\$ 1,252,701	\$ 357,038	\$ 276,670	\$ 138,246	\$ 37,701	\$ 50,000	\$ 186,277	\$ 172,684	\$ -
Gen Gov	Dept. of Cultural Resources	\$ 95,604	\$ 25,000							\$ 32,549			\$ 38,055			
Gen Gov	Dept. of Insurance	\$ 40,660						\$ 40,660								
Gen Gov	Dept. of Secretary of State	\$ -														
Gen Gov	Dept. of State Treasurer	\$ 228,554		\$ 156,866	\$ 71,688											
Gen Gov	ITS	\$ -														
Gen Gov	NC Department of Admin.	\$ 130,765			\$ 39,725					\$ 91,040						
Gen Gov	NC Education Lottery	\$ 127,275			\$ 34,350									\$ 92,925		
Gen Gov	NC General Assembly	\$ 81,493												\$ 81,493		
Gen Gov	NC Office of the State Auditor	\$ -														
Gen Gov	NC Office of the State Controller	\$ 410,314								\$ 410,314						
Gen Gov	NC State Bd. Of Cos. Art Exam.	\$ -														
Gen Gov	NC State Board of Opticians	\$ -														
Gen Gov	NC State Health Plan	\$ 102,588		\$ 32,088				\$ 70,500								
Gen Gov	Office of Administrative Hearings	\$ -														
Gen Gov	Office of the Governor	\$ -														
Gen Gov	State Board of Elections	\$ -														
Gen Gov Total		\$ 1,217,253	\$ 25,000	\$ 188,954	\$ 145,763	\$ -	\$ -	\$ 111,160	\$ -	\$ 533,903	\$ -	\$ -	\$ 38,055	\$ 174,418	\$ -	\$ -

APPENDIX B (Cont.)

Personal Services Contract Expenditures by Legislative Appropriations Committee/Contract Category FY 2010

Legislature Approp. Committee	Agency Name	Total	Architect Services (profess. & non)	Business & Financial Services	Commun. & Media Services	Educational Services	Engineer. Profess. Services	Health & Medical Services	Human Services	Inform. Technology Services	Interpret. Services	Legal & Law Enforce. Services	Mgmt. Services	Other	Scientific Services	Transport. Services
NER	DENR	\$ -														
NER	Dept. of Agriculture & CS	\$ 307,553								\$ 90,053				\$ 217,500		
NER	Dept. of Commerce	\$ 1,959,576		\$ 1,959,576												
NER	ESC	\$ -														
NER	NC Dept of Labor	\$ -														
NER	NC Wildlife Resources Com.	\$ -														
NER Total		\$ 2,267,128	\$ -	\$ 1,959,576	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 90,053	\$ -	\$ -	\$ -	\$ 217,500	\$ -	\$ -
Transportation	NC Dept. of Transportation	\$ 260,792		\$ 82,364				\$ 178,428								
Transportation	NC Trans Global Park	\$ 34,086												\$ 34,086		
Transportation Total		\$ 294,877	\$ -	\$ 82,364	\$ -	\$ -	\$ -	\$ 178,428	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 34,086	\$ -	\$ -
DHHS	DHHS-Services for the BD & HH	\$ -														
DHHS	DHHS-Social Services	\$ 107,652										\$ 107,652				
DHHS	DHHS-Health Services	\$ 238,425		\$ 41,995				\$ 47,570						\$ 148,860		
DHHS	DHHS-Public Health	\$ -														
DHHS	DHHS-Office of Educ Services	\$ -														
DHHS	DHHS-Voc. Rehab.	\$ -														
DHHS	DHHS-Central Administration	\$ 316,234							\$ 139,000	\$ 77,329				\$ 99,905		
DHHS	DHHS-Child Development	\$ 29,346												\$ 29,346		
DHHS	DHHS-Medical Assistance	\$ 64,534		\$ 64,534												
DHHS	DHHS-Information Resource Mgmt	\$ 291,408								\$ 291,408						
DHHS	DHHS-Mental Health	\$ 279,301						\$ 253,501			\$ 25,800					
DHHS Total		\$ 1,326,899	\$ -	\$ 106,529	\$ -	\$ -	\$ -	\$ 301,071	\$ 139,000	\$ 368,737	\$ 25,800	\$ 107,652	\$ -	\$ 278,111	\$ -	\$ -
JPS	AOC-Indigent Defense Services	\$ 1,026,496										\$ 1,026,496				
JPS	AOC-NC Judicial Branch of Gov.	\$ 1,922,298												\$ 1,922,298		
JPS	Dept. of Correction	\$ 10,410,735	\$ 388,078	\$ 59,919		\$ 34,000	\$ 430,528	\$ 7,679,715	\$ 583,520	\$ 926,047			\$ 273,913			\$ 35,016
JPS	Dept. of Crime Control & PS	\$ 1,792,165	\$ 65,703	\$ 374,683		\$ 700,017	\$ 25,153	\$ 60,555	\$ 255,240			\$ 103,728	\$ 42,489	\$ 164,597		
JPS	Dept. of Justice	\$ 461,312		\$ 34,696								\$ 426,616				
JPS	DJJD	\$ 1,461,248						\$ 551,632	\$ 909,616							
JPS Total		\$ 17,074,255	\$ 453,781	\$ 469,297	\$ -	\$ 734,017	\$ 455,681	\$ 8,291,902	\$ 1,748,376	\$ 926,047	\$ -	\$ 1,556,840	\$ 316,402	\$ 2,086,896	\$ -	\$ 35,016
Grand Total		\$ 27,731,481	\$ 521,781	\$ 3,818,968	\$ 435,255	\$ 2,469,029	\$ 455,681	\$ 10,135,261	\$ 2,244,414	\$ 2,195,409	\$ 164,046	\$ 1,702,193	\$ 404,457	\$ 2,977,287	\$ 172,684	\$ 35,016
Percentage		100.0%	1.9%	13.8%	1.6%	8.9%	1.6%	36.5%	8.1%	7.9%	.6%	6.1%	1.5%	10.7%	.6%	.1%

APPENDIX C

**SUMMARY OF PERSONAL SERVICE CONTRACTS BY
STATE AGENCY FOR FYS 2006 - 2010**

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APPENDIX C

Summary of Personal Services Contracts Greater than \$25,000 by State Agency for FYs 2006 thru 2010

State Agency	Legislative Appropriation Committee	FY 2010		FY 2009		FY 2008		FY 2007		FY 2006	
		Number of Contracts	Amount	Number of Contracts	Amount	Number of Contracts	Amount	Number of Contracts	Amount	Number of Contracts	Amount
Dept. of Community Colleges	Education	0	\$ -	0	\$ -	0	\$ -	1	\$ 29,640	2	\$ 68,530
Dept. of Public Instruction	Education	7	\$ 327,301	32	\$ 1,570,111	66	\$ 5,113,095	31	\$ 1,165,751	79	\$ 4,072,170
University System											
UNC General Administration	Education	4	\$ 226,448	5	\$ 220,230	18	\$ 668,449	18	\$ 709,672	9	\$ 481,130
Appalachian State Univ.	Education	4	\$ 162,409	3	\$ 127,255	0	\$ -	0	\$ -	2	\$ 56,595
East Carolina Univ.	Education	11	\$ 543,480	13	\$ 554,622	24	\$ 2,674,492	18	\$ 2,265,121	15	\$ 1,050,722
Elizabeth City State	Education	3	\$ 95,738	3	\$ 111,736	2	\$ 62,381	0	\$ -	4	\$ 186,514
Fayetteville State	Education	2	\$ 126,640	5	\$ 262,307	7	\$ 527,648	7	\$ 475,397	4	\$ 295,452
NC A&T State Univ.	Education	2	\$ 114,820	5	\$ 273,932	5	\$ 256,710	4	\$ 267,744	3	\$ 160,082
NC Central Univ.	Education	5	\$ 169,639	4	\$ 239,115	2	\$ 70,800	4	\$ 145,000	2	\$ 54,750
University of NC School of Arts	Education	5	\$ 160,439	8	\$ 291,591	5	\$ 226,735	0	\$ -	1	\$ 26,475
NC State Univ.	Education	14	\$ 910,682	17	\$ 1,274,464	34	\$ 1,850,831	24	\$ 1,443,604	16	\$ 822,893
UNC-Hospitals	Education	11	\$ 837,385	8	\$ 623,149	6	\$ 720,630	5	\$ 430,266	4	\$ 370,359
UNC-Asheville	Education	0	\$ -	0	\$ -	1	\$ 31,320	1	\$ 42,000	1	\$ 51,210
UNC-Chapel Hill	Education	4	\$ 162,475	6	\$ 165,351	3	\$ 304,145	13	\$ 433,761	9	\$ 449,236
UNC-Charlotte	Education	4	\$ 159,178	4	\$ 156,088	1	\$ 30,255	3	\$ 133,617	4	\$ 206,128
UNC-Greensboro	Education	13	\$ 796,251	14	\$ 715,341	11	\$ 577,205	10	\$ 636,698	13	\$ 499,922
UNC-Pembroke	Education	3	\$ 116,070	8	\$ 320,788	5	\$ 156,516	0	\$ -	1	\$ 25,000
UNC-Wilmington	Education	7	\$ 249,356	4	\$ 211,208	4	\$ 195,492	3	\$ 144,527	0	\$ -
Western Carolina	Education	0	\$ -	0	\$ -	0	\$ -	0	\$ -	2	\$ 249,700
Winston Salem State	Education	5	\$ 195,010	3	\$ 257,307	4	\$ 479,985	0	\$ -	0	\$ -
NC School of Science & Math	Education	5	\$ 197,747	5	\$ 219,446	5	\$ 232,469	1	\$ 25,504	4	\$ 147,457
Total University System		102	\$ 5,223,768	115	\$ 6,023,932	137	\$ 9,066,063	111	\$ 7,152,912	94	\$ 5,133,625
Total Education		109	\$ 5,551,068	147	\$ 7,594,043	203	\$ 14,179,158	143	\$ 8,348,303	175	\$ 9,274,325
General Government											
Board of Electrolysis Examiners	General Government	0	\$ -	0	\$ -	0	\$ -	0	\$ -	0	\$ -
Dept. of Administration	General Government	3	\$ 130,765	0	\$ -	0	\$ -	14	\$ 572,806	7	\$ 596,026
Dept. of Cultural Resources	General Government	3	\$ 95,604	5	\$ 236,682	1	\$ 36,700	1	\$ 26,880	3	\$ 235,073
Dept. of Insurance	General Government	1	\$ 40,660	1	\$ 40,660	3	\$ 97,066	4	\$ 257,921	2	\$ 69,342
Dept. of Revenue	General Government	0	\$ -	0	\$ -	0	\$ -	0	\$ -	0	\$ -
Dept. of Secretary of State	General Government	0	\$ -	0	\$ -	2	\$ 97,205	2	\$ 116,746	2	\$ 90,449
Dept. of State Auditor	General Government	0	\$ -	0	\$ -	1	\$ 43,688	3	\$ 140,138	3	\$ 130,031
Dept. of State Treasurer	General Government	4	\$ 228,554	6	\$ 280,829	7	\$ 359,298	9	\$ 392,778	10	\$ 442,715
NC General Assembly	General Government	2	\$ 81,493	2	\$ 151,004	1	\$ 157,447	1	\$ 50,000	1	\$ 43,500
NC Auctioneer Licensing Board	General Government	0	\$ -	0	\$ -	0	\$ -	0	\$ -	0	\$ -
NC Board of Opticians	General Government	0	\$ -	0	\$ -	0	\$ -	0	\$ -	0	\$ -
NC Housing & Finance Agency	General Government	0	\$ -	0	\$ -	0	\$ -	0	\$ -	0	\$ -
NC Education Lottery	General Government	2	\$ 127,275	1	\$ 36,354	0	\$ -	0	\$ -	0	\$ -
NC Psychology Board	General Government	0	\$ -	0	\$ -	0	\$ -	0	\$ -	0	\$ -
Office of Admin. Hearings	General Government	0	\$ -	0	\$ -	0	\$ -	0	\$ -	0	\$ -
Office of Information Technology Svcs.	General Government	0	\$ -	1	\$ 59,441	4	\$ 147,104	0	\$ -	2	\$ 70,280
Office of Lt. Governor	General Government	0	\$ -	0	\$ -	0	\$ -	0	\$ -	0	\$ -
Office of State Contoller	General Government	2	\$ 410,314	8	\$ 1,195,009	11	\$ 2,648,893	4	\$ 1,284,820	4	\$ 661,408
Office of State Personnel	General Government	0	\$ -	0	\$ -	0	\$ -	0	\$ -	0	\$ -
Office of the Governor	General Government	0	\$ -	0	\$ -	2	\$ 56,293	0	\$ -	2	\$ 62,813
State Board of Examiners for Nursing Homes Admin.	General Government	0	\$ -	0	\$ -	0	\$ -	0	\$ -	0	\$ -
State Board of Barber Examiners	General Government	0	\$ -	0	\$ -	0	\$ -	0	\$ -	0	\$ -
State Board of Cosmetic Art Examiners	General Government	0	\$ -	0	\$ -	0	\$ -	1	\$ 47,575	1	\$ 25,775
State Board of Elections	General Government	0	\$ -	1	\$ 138,493	1	\$ 30,000	0	\$ -	0	\$ -
State Health Benefit Office	General Government	3	\$ 102,588	0	\$ -	0	\$ -	1	\$ 31,402	0	\$ -
State Ethics Commission	General Government	0	\$ -	0	\$ -	0	\$ -	0	\$ -	0	\$ -
Total General Government		20	\$ 1,217,253	25	\$ 2,138,472	33	\$ 3,673,694	40	\$ 2,921,066	37	\$ 2,427,412
Natural and Economic Resources											
Dept. of Agriculture & Consumer Services	NER	6	\$ 307,553	13	\$ 778,918	14	\$ 727,395	5	\$ 172,248	9	\$ 512,750
Dept. of Commerce	NER	8	\$ 1,959,576	6	\$ 781,428	6	\$ 952,043	7	\$ 1,422,676	1	\$ 27,300

APPENDIX C (Cont.)

Summary of Personal Services Contracts Greater than \$25,000 by State Agency for FYs 2006 thru 2010

State Agency	Legislative Appropriation Committee	FY 2010		FY 2009		FY 2008		FY 2007		FY 2006	
		Number of Contracts	Amount	Number of Contracts	Amount	Number of Contracts	Amount	Number of Contracts	Amount	Number of Contracts	Amount
Dept. of Envir. & Natural Resources	NER	0	\$ -	2	\$ 142,625	1	\$ 62,291	1	\$ 67,709	1	\$ 41,000
NC Wildlife Resources Commission	NER	0	\$ -	0	\$ -	0	\$ -	0	\$ -	0	\$ -
Dept. of Labor	NER	0	\$ -	0	\$ -	0	\$ -	0	\$ -	0	\$ -
Empl. Security Commission	NER	0	\$ -	0	\$ -	0	\$ -	1	\$ 28,600	1	\$ 106,210
NC State Ports Authority	NER	0	\$ -	0	\$ -	0	\$ -	0	\$ -	0	\$ -
Total Nat. & Econ. Resources		14	\$ 2,267,128	21	\$ 1,702,971	21	\$ 1,741,729	14	\$ 1,691,233	12	\$ 687,260
<u>Transportation</u>											
Dept. of Transportation	Transportation	6	\$ 260,792	5	\$ 330,504	5	\$ 209,574	4	\$ 273,007	7	\$ 583,332
NC Global Transpark Authority	Transportation	1	\$ 34,086	1	\$ 125,471	2	\$ 84,000	2	\$ 84,000	1	\$ 41,074
Total Transportation		7	\$ 294,877	6	\$ 455,975	7	\$ 293,574	6	\$ 357,007	8	\$ 624,406
<u>Dept. of Health and Human Serv.</u>											
Central Administration	Health & Human Svcs.	6	\$ 316,234	8	\$ 698,885	9	\$ 427,887	3	\$ 182,748	2	\$ 155,608
Div. of Aging	Health & Human Svcs.	0	\$ -	0	\$ -	0	\$ -	0	\$ -	0	\$ -
Div. of Child Development	Health & Human Svcs.	1	\$ 29,346	1	\$ 34,500	1	\$ 31,570	1	\$ 39,625	4	\$ 256,198
Div. of Health Services	Health & Human Svcs.	4	\$ 196,430	5	\$ 322,389	4	\$ 209,463	0	\$ -	3	\$ 178,658
Div. of Information Resource Mgmt.	Health & Human Svcs.	2	\$ 291,408	0	\$ -	0	\$ -	0	\$ -	0	\$ -
Div. of Medical Assistance	Health & Human Svcs.	2	\$ 64,534	5	\$ 194,380	1	\$ 28,619	3	\$ 112,050	2	\$ 208,288
Div. of Mental Health	Health & Human Svcs.	7	\$ 321,296	8	\$ 432,859	6	\$ 293,533	14	\$ 725,044	14	\$ 718,549
Div. of Public Health	Health & Human Svcs.	0	\$ -	3	\$ 135,583	2	\$ 145,820	0	\$ -	0	\$ -
Div. of Services for the Blind	Health & Human Svcs.	0	\$ -	0	\$ -	0	\$ -	0	\$ -	0	\$ -
Div. of Social Services	Health & Human Svcs.	1	\$ 107,652	2	\$ 63,035	4	\$ 228,724	4	\$ 174,269	1	\$ 30,723
Div. of Vocational Rehabilitation	Health & Human Svcs.	0	\$ -	0	\$ -	0	\$ -	0	\$ -	0	\$ -
Health Choice	Health & Human Svcs.	0	\$ -	0	\$ -	0	\$ -	0	\$ -	0	\$ -
Office of Education Services	Health & Human Svcs.	0	\$ -	0	\$ -	0	\$ -	1	\$ 32,230	0	\$ -
Total Dept. of Health & Human Svcs.		23	\$ 1,326,899	32	\$ 1,881,631	27	\$ 1,365,616	26	\$ 1,265,965	26	\$ 1,548,024
<u>Justice and Public Safety</u>											
Admin. Office of Courts - Indigent Defense Services	Justice & Public Safety	18	\$ 1,026,496	17	\$ 986,926	16	\$ 711,840	7	\$ 306,250	9	\$ 385,758
Admin. Office of Courts	Justice & Public Safety	50	\$ 1,922,298	49	\$ 1,725,294	1	\$ 33,000	1	\$ 33,000	5	\$ 259,133
Dept. of Correction	Justice & Public Safety	197	\$ 10,410,735	200	\$ 10,495,390	254	\$ 13,490,955	228	\$ 10,902,642	257	\$ 12,484,738
Dept. of Justice	Justice & Public Safety	6	\$ 461,312	6	\$ 289,908	5	\$ 420,305	6	\$ 422,170	8	\$ 454,086
Dept. of Crime Ctrl. & Pub. Safety	Justice & Public Safety	58	\$ 1,792,165	63	\$ 2,189,652	55	\$ 1,955,183	61	\$ 3,098,782	55	\$ 1,904,932
Dept. of Juvenile Justice & Delinquent Program	Justice & Public Safety	32	\$ 1,461,248	18	\$ 803,131	7	\$ 304,038	11	\$ 388,639	18	\$ 767,219
Total Justice & Public Safety		361	\$ 17,074,255	353	\$ 16,490,301	338	\$ 16,915,321	314	\$ 15,151,483	352	\$ 16,255,866
TOTAL ALL AGENCIES		534	\$ 27,731,481	584	\$ 30,263,392	629	\$ 38,169,091	543	\$ 29,735,057	610	\$ 30,817,293