

OSBM

**PERSONAL SERVICES CONTRACTS
For Fiscal Year 2011**

As Directed by Session Law 2007-322

March 2012



Prepared By:

*Office of State Budget and Management
Office of State Personnel*

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INTRODUCTION

Session Law 2007-322 and Chapter 143-64.70 of the North Carolina General Statutes require the Office of State Budget and Management (OSBM) and the Office of State Personnel (OSP) to report annually on the use of personal services contracts¹ by State departments, agencies, institutions, and other State entities, hereafter referred to as “State agencies”, that have annual expenditures greater than \$25,000. The report should include information on the type, number, duration, cost, and effectiveness of the personal services contracts. The report must be submitted to the Joint Legislative Commission on Governmental Operations by March 15 of each year.

Methodology

OSBM requested State agencies to provide information on the personal services contracts which they used during fiscal year 2010-11, hereafter referred to as FY 2011, with expenditures greater than \$25,000. The requested information included general agency details, contract specifications, the nature of the services, the duration of the contracts, financial data, and other contractual information. The information submitted to OSBM by the State agencies was assembled, verified, and analyzed to ensure its completeness and accuracy. Additionally, the personal service contract data for previous fiscal year reports has been included in this report for comparative purposes.

BACKGROUND

The use of personal services contracts enables State agencies to acquire professional services for short term basis without creating state positions. These contracts provide agencies with an opportunity to obtain professional services that may be difficult to recruit for State positions. For example, the Department of Correction uses personal service contracts to provide physicians, nurses, chaplains, and other services to care for inmates. These types of contracts often require the contractors to work for extended periods of time, but for a limited number of hours each week. Because these type of contracts are often in remote locations and demanding work environments, these positions may be difficult to fill, and can be best addressed through personal services contracts. OSP also contracts for the services of training instructors on limited basis for extended periods of time because of their specific expertise in certain educational areas. Personal services contracts are classified as “independent contractors” as defined by the Fair Labor Standards Act and the Internal Revenue Service. Therefore, State agencies do not have to pay the costs of health benefits, withholding taxes, Social Security contributions, workers’ compensation, and overtime.

While personal services contracts are an efficient and effective way for agencies to accomplish the specific needs and special skills, State agencies should be careful to not abuse or misuse this contracting method. It is also important for agencies to routinely evaluate their personal services contracts to ensure compliance with the Fair Labor Standards Act and Internal Revenue Service regulations, and applicable North Carolina Administrative Codes and procurement regulations.

RESULTS

Summary Tables and Charts

The tables and graphs on the following pages provide summary data on the types, number, and costs of personal services contracts for FY 2011, in addition to historical information for the four previous FYs 2007-2011. Appendix-A provides the definitions for the types of personal services contracts.

¹ Section II of the State Purchasing Manual defines a personal services contract as: “...services provided by a professional individual (person) on a temporary or occasional basis, including (by way of illustration, not limitation) those provided by a doctor, dentist, attorney, architect, professional engineer, scientist or performer of the fine arts and similar professions; the exemption applies only if the individual is using his/her professional skills to perform a professional task; a personal service may also be a consultant service, in which case consultant contracting procedures shall be followed.” Specifically excluded from this definition are “service contracts” between an agency and a company that provides services, such as lawn or housekeeping.”

Table 1 summarizes the personal services contract information for FYs 2007-2011 for contracts greater than \$25,000. The summary includes the number, costs, and duration of contracts as well as the number of contractors, average number of contracts per contractor, number of state employees overseeing the contracts, and the average length of contracts. The last column of Table 1 also shows that the number, dollar amount, and duration of the personal services contracts all declined for all categories between FY 2007 and FY 2011 data. The average number of months for all contract categories decreased from 19 months for FY 2007 to 16 months for FY 2011.

Table 1 – Summary of Personal Services Contracts Greater than \$25,000 for FYs 2007- 2011

Description of Data	FY 2007	FY 2008	FY 2009	FY 2010	FY 2011	Percent of Change FY 2007 to FY 2011
Total Number of Contracts > \$25,000	543	629	584	534	509	-6.3%
Total Paid on Contracts -- All Funding Sources	\$29,735,057	\$38,169,091	\$30,263,392	\$27,731,481	\$27,288,937	-8.2%
Total Paid on Contracts -- Only General Funds	\$22,417,169	\$28,247,681	\$23,252,580	\$22,066,862	\$21,861,793	-2.5%
Number of Individual Contractors	512	600	493	521	500	-2.3%
Average Number of Contracts per Contractor	1.06	1.05	1.18	1.02	1.02	-4.0%
Number of State Employees Overseeing Contracts	260	298	246	257	240	-7.7%
Average Length of Contract (<i>in months</i>)	19.0	15.1	16.5	17.1	16.0	-15.8%

Table 2 shows the number of contracts, amounts spent, and average expenditure for personal services contracts by personal service category for FY 2011. The health and medical services category accounted for almost 30% of the total contracts and more than 31% of the expenditures for FY 2011.

Table 2 – Personal Services Contracts by Service Category for FY 2011

Personal Service Category	Number of Contracts	Percent of Contracts Issued	Amount Spent	Percent of Amount Spent	Average Cost per Contract
Health & Medical Services	150	29.5%	\$ 8,695,790	31.9%	\$ 57,972
Other	68	13.4%	\$ 3,234,256	11.9%	\$ 47,563
Educational Services	63	12.4%	\$ 2,563,790	9.4%	\$ 40,695
Human Services	47	9.2%	\$ 2,079,005	7.6%	\$ 44,234
Information Technology Services	36	7.1%	\$ 2,539,955	9.3%	\$ 70,554
Legal & Law Enforcement Services	33	6.5%	\$ 1,712,594	6.3%	\$ 51,897
Management Services	31	6.1%	\$ 1,215,469	4.5%	\$ 39,209
Business & Financial Services	29	5.7%	\$ 3,066,771	11.2%	\$ 105,751
Architectural Services (professional & non)	20	3.9%	\$ 854,602	3.1%	\$ 42,730
Engineering Professional Services	13	2.6%	\$ 552,191	2.0%	\$ 42,476
Communications & Media Services	9	1.8%	\$ 341,495	1.3%	\$ 37,944
Interpretation Services	4	.8%	\$ 172,216	.6%	\$ 43,054
Scientific Services	3	.6%	\$ 101,508	.4%	\$ 33,836
Transportation Services	3	.6%	\$ 159,297	.6%	\$ 53,099
Total	509	100.0%	\$ 27,288,937	100.0%	\$ 53,613

Table 3, on page 3, shows the personal services contracts for FYs 2007-2011 by category, the amount expended, number of contracts, and average months per contract. The Health and Medical Services contracts consistently had the largest amount spent for all five fiscal years (FY's 2007-2011).

**Table 3–Personal Services Contracts Greater than \$25,000 by Category for FYs 2007-2011
(Dollars in Millions)**

Personal Service Contract Category	FY 2007			FY 2008			FY 2009			FY 2010			FY 2011 >>\$25,000 *Sorted		
	Amount Spent	No.	Average Months	Amount Spent in millions	No.	Average Months per Contract									
Health & Medical Services	\$ 9.8	196	26.2	\$ 11.8	210	20.7	\$ 10.4	187	20.9	\$ 10.1	181	21.6	\$ 8.7	150	24.9
Other Services	\$.9	21	11.1	\$ 2.2	35	10.1	\$ 3.5	72	11.8	\$ 3.0	74	9.2	\$ 3.2	68	3.7
Business & Financial Services	\$ 5.0	74	8.5	\$ 4.9	53	9.7	\$ 2.4	35	15.2	\$ 3.9	46	11.1	\$ 3.1	29	11.7
Educational Services	\$ 3.7	73	9.3	\$ 7.3	114	11.3	\$ 3.5	82	12.6	\$ 2.6	67	10.5	\$ 2.6	63	10.8
Inform. & Technology Services	\$ 3.9	47	21.8	\$ 4.9	54	14.4	\$ 3.3	45	18.5	\$ 2.2	29	18.9	\$ 2.5	36	19.6
Human Services	\$.7	17	24.1	\$ 1.3	33	15.3	\$ 1.5	38	16.2	\$ 2.2	54	21.5	\$ 2.1	47	18.7
Legal & Law Enforcemnt Services	\$ 1.9	20	18.4	\$ 1.8	37	10.0	\$ 1.2	21	7.6	\$ 1.7	28	18.5	\$ 1.7	33	11.0
Management Services	\$ 2.1	51	11.2	\$ 1.6	39	12.8	\$ 1.7	34	12.1	\$.4	12	11.0	\$ 1.2	31	12.8
Architect Services (prof. & non)	\$.5	13	16.5	\$.8	15	11.0	\$.5	13	11.7	\$.5	12	11.3	\$.9	20	18.1
Engineering Prof. Services	\$.7	15	20.5	\$.8	16	9.5	\$.8	16	14.1	\$.5	11	15.9	\$.5	13	15.6
Communicate & Media Services	\$.4	12	6.6	\$.7	17	8.7	\$.7	15	10.6	\$.4	9	11.1	\$.3	9	11.7
Interpretation Services	\$.1	3	9.8	\$.2	4	7.9	\$.7	22	10.1	\$.2	5	15.4	\$.2	4	10.4
Transportation Services	\$.0	0	.0	\$.1	2	17.8	\$.1	3	15.9	\$.0	1	12.2	\$.2	3	15.9
Scientific Services	\$.0	1	11.2	\$.0	0	.0	\$.0	1	5.1	\$.2	5	7.3	\$.1	3	7.4
Total	\$ 29.7	543	19.0	\$ 38.2	629	15.1	\$ 30.2	584	16.5	\$ 27.7	534	17.1	\$ 27.3	509	16.0

Graph 1 shows the percent of the FY 2011 expenditures by personal service category. Health & Medical Services and Other used the most personal services contracts, accounting for over 43 percent (\$11.9 million) of all contract expenditures.

Graph 1 Expenditure Percents by Personal Service Contract Category for FY 2011

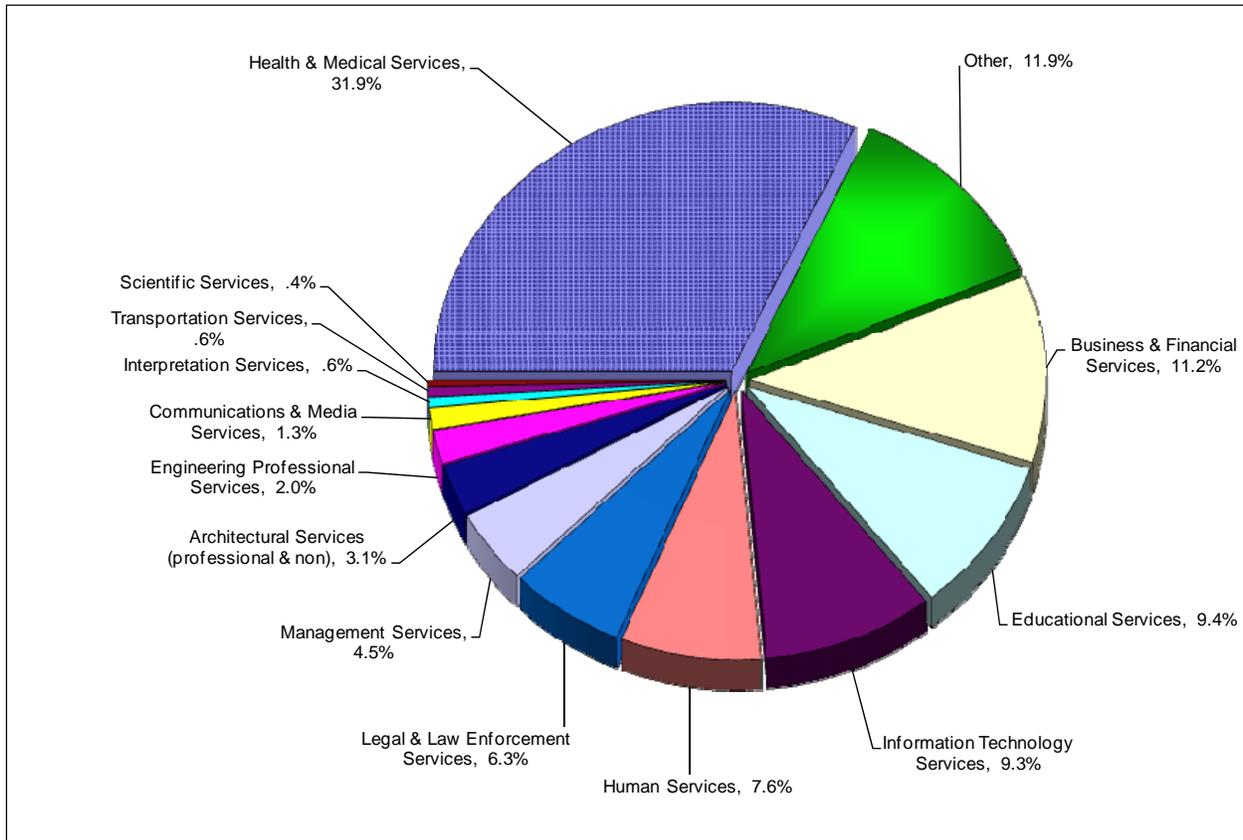


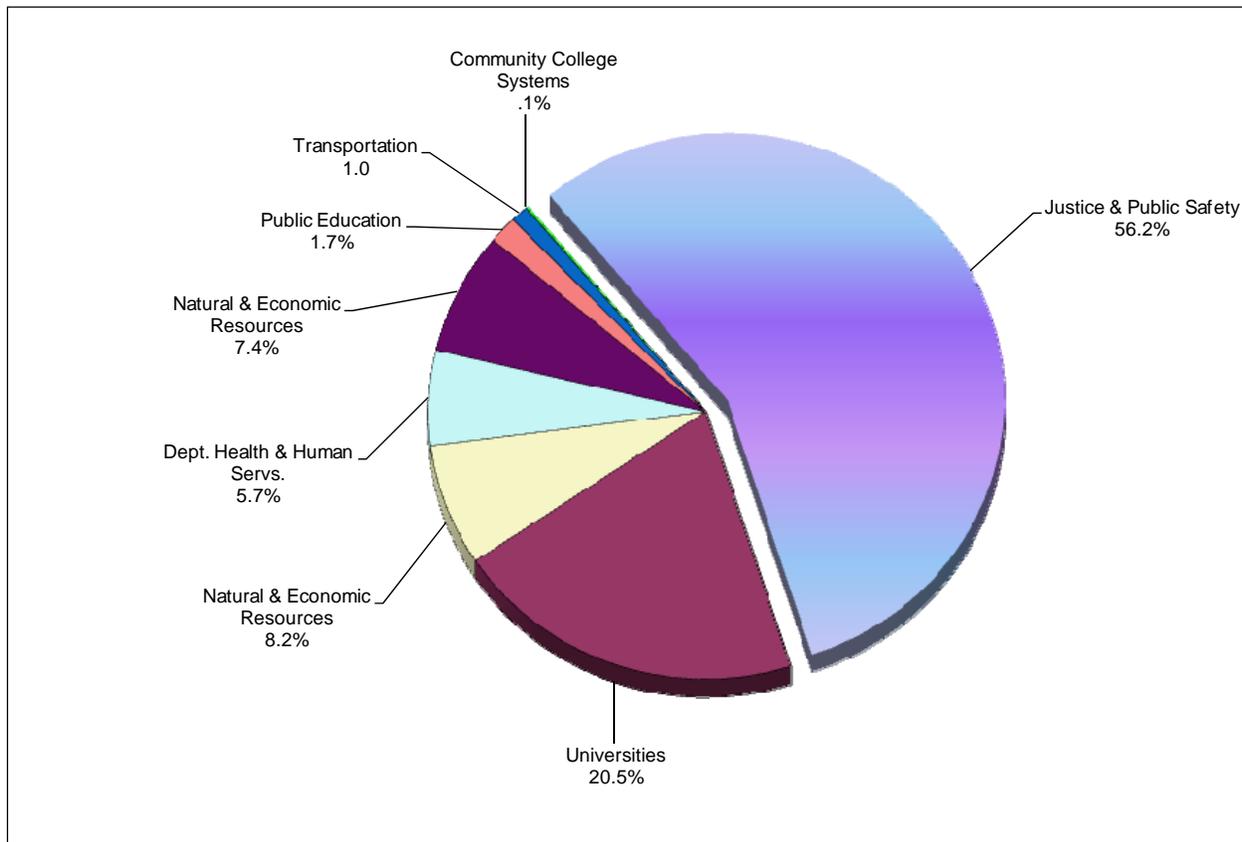
Table 4 shows the dollar amount expended and number of contracts by government entities for FYs 2007-2011. The Justice & Public Safety entity had the largest number of contracts and amount spent on personal services contracts. These contracts were primarily in the Department of Correction for providing health and medical services. Appendix B provides entity information at the agency level for FYs 2007-2011.

Table 4 – Contracts Greater than \$25,000 by Government Entity for FYs 2007-2011

Entity Type	FY 2007		FY 2008		FY 2009		FY 2010		FY 2011 * Sorted by Amt. Spend (in \$M)	
	Amount Spent (in millions)	Number of Contracts > \$25,000	Amount Spent (in millions)	Number of Contracts > \$25,000	Amount Spent (in millions)	Number of Contracts > \$25,000	Amount Spent (in millions)	Number of Contracts > \$25,000	*Amount Spent (in millions)	Number of Contracts > \$25,000
Justice & Public Safety	\$ 15.2	314	\$ 16.9	338	\$ 16.5	353	\$ 17.1	361	\$ 15.3	327
University System	\$ 7.2	111	\$ 9.1	137	\$ 6.0	115	\$ 5.2	102	\$ 5.6	102
Natural & Economic Resources	\$ 1.7	14	\$ 1.7	21	\$ 1.7	21	\$ 2.3	14	\$ 2.0	16
Health & Human Services	\$ 1.3	26	\$ 1.4	27	\$ 1.9	32	\$ 1.3	23	\$ 1.6	21
General Government	\$ 2.9	40	\$ 3.7	33	\$ 2.1	25	\$ 1.2	20	\$ 2.0	26
Public Instruction	\$ 1.2	31	\$ 5.1	66	\$ 1.6	32	\$.3	7	\$.5	11
Community Colleges	\$.0	1	\$ -	0	\$ -	0	\$ -	0	\$.3	5
Transportation	\$.4	6	\$.3	7	\$.5	6	\$.3	7	\$.04	1

Graph 2 shows the percent of expenditures by government entity for FY 2011. Justice & Public Safety accounted for 56.2% of all the personal services contract expenditures by government entities.

Graph 2-FY 2011 Percent of Expenditures by Government Entity



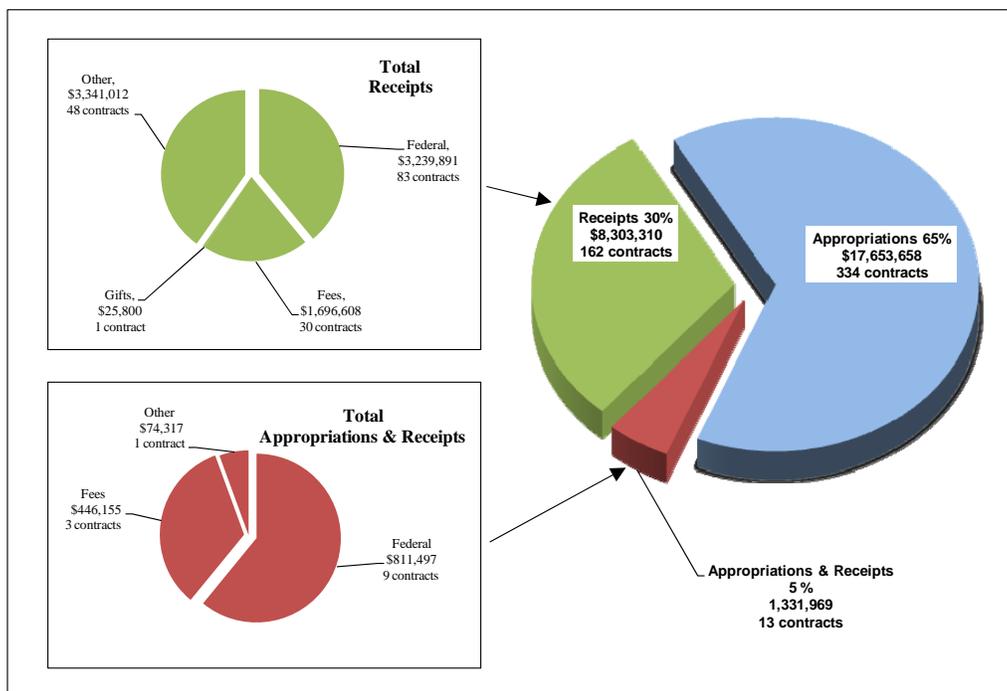
The data reported to OSBM by State agencies were also compiled by fund categories to show the type of funds used for the personal services contracts. Table 5 shows the amounts and percent of expenditures for FYs 2007-2011. As would be expected, the General Fund accounted for the majority of expenditures throughout the reporting period, accounting for 80.1% of FY 2011 expenditures. The General Funds account expenditures for FY 2011 represented 430 (84.5%) of the personal services contracts out of a total of 509 contracts. Overall, there was a net decrease of \$2.4 million (8.2%) in the personal services contract expenditures from FY 2007-2011, with six of the nine funding categories having net decreases.

Table 5–Expenditures by Fund Account for Personal Service Contracts Greater >\$25,000 for FYs 2007-2011

Fund Account	FY 2007		FY 2008		FY 2009		FY 2010		FY 2011		Net Change from FY 2007 to FY 2011	
	Amount	Percent	Amount	Percent								
General Funds	\$ 22,417,169	75.3%	\$ 28,247,682	74.0%	\$ 23,252,580	76.8%	\$ 22,066,862	79.6%	\$ 21,861,793	80.1%	\$ (555,376)	-2.5%
Institutional Funds	\$ 2,759,863	9.2%	\$ 3,622,724	9.5%	\$ 2,277,889	7.5%	\$ 2,371,283	8.6%	\$ 2,687,411	9.8%	\$ (72,452)	-2.6%
Special Funds	\$ 2,867,189	9.6%	\$ 4,019,027	10.5%	\$ 2,386,004	7.9%	\$ 1,309,124	4.7%	\$ 1,634,409	6.0%	\$ (1,232,780)	-43.0%
Federal Funds	\$ -	.0%	\$ 26,729	.1%	\$ 103,150	.4%	\$ 289,997	1.0%	\$ -	.0%	\$ -	.0%
Capital Project Funds	\$ -	.0%	\$ 55,000	.1%	\$ 110,039	.4%	\$ -	.0%	\$ -	.0%	\$ -	.0%
Enterprise Funds	\$ 623,363	2.1%	\$ 1,485,764	3.9%	\$ 1,338,253	4.4%	\$ 1,182,160	4.3%	\$ 832,315	3.1%	\$ 208,952	33.5%
Trust and Agency Funds	\$ 295,676	1.0%	\$ 196,465	.5%	\$ 122,744	.4%	\$ 89,820	.3%	\$ -	.0%	\$ (295,676)	-100.0%
Service Funds	\$ 280,576	1.0%	\$ 222,127	.6%	\$ 216,758	.7%	\$ 127,358	.5%	\$ -	.0%	\$ (280,576)	-100.0%
Highway Funds	\$ 314,052	1.1%	\$ 293,574	.8%	\$ 455,975	1.5%	\$ 294,877	1.1%	\$ 273,009	1.0%	\$ (41,043)	-13.1%
Category not Identified	\$ 177,169	.6%	\$ -	.0%	\$ -	.0%	\$ -	.0%	\$ -	.0%	\$ (177,169)	-100.0%
TOTAL	\$29,735,057	100.0%	\$38,169,091	100.0%	\$30,263,392	100.0%	\$27,731,481	100.0%	\$27,288,937	100.0%	\$(2,446,120)	-8.2%

Graph 3 shows the funding sources for FY 2011 regarding contract expenditures-Appropriations (65%), Receipts (30%), and Appropriations & Receipts (5%). Some personal services contracts were paid jointly with “Appropriations” and “Receipts” funds, and the actual amount for each source could not be determined. The “Appropriations” funds are the funds appropriated by the General Assembly each year. The “Receipts” funding source includes federal, fees, gifts, and other.

Graph 3–FY 2011 Contract Funding Source



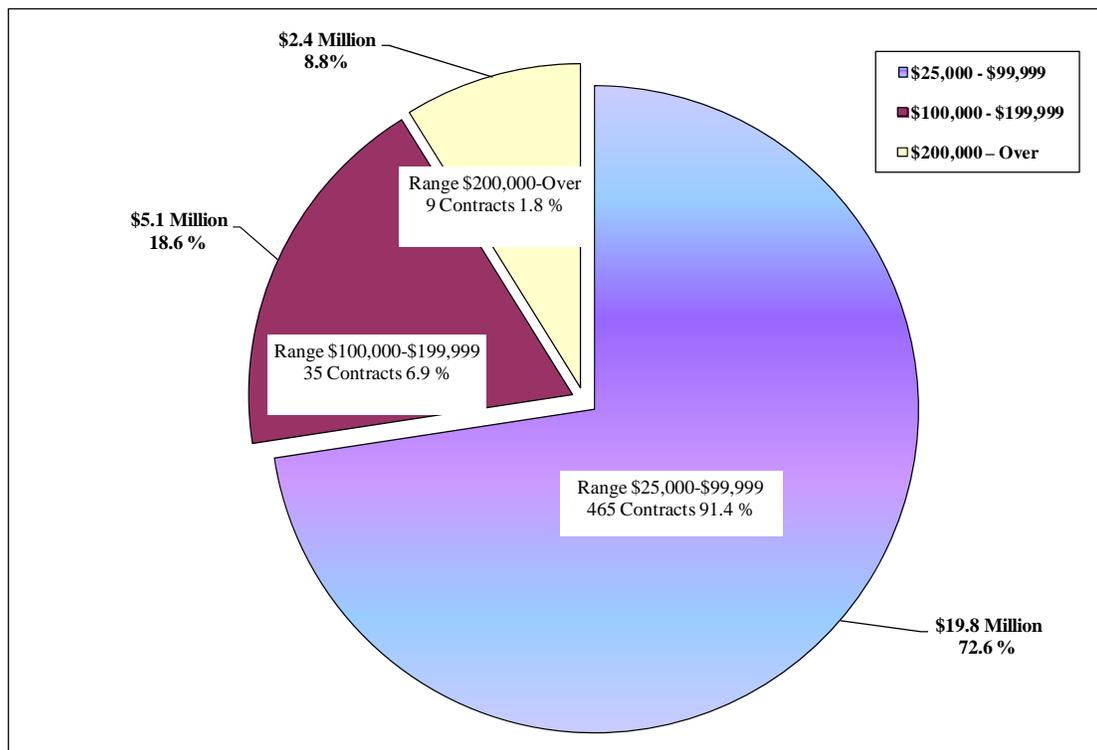
The total expenditures for personal services contracts of \$25,000 or greater for FY 2011 were \$27.3 million. Table 6 shows the number of contracts and amount expended by dollar-range for contract categories for FY 2011.

Table 6 – Personal Services Contracts Category and Dollar-Range for FY 2011

Personal Service Category	\$25,000-\$99,999		\$100,000-\$199,999		\$200,000 and Over		Total	
	Number of Contracts	Amount Spent	Number of Contracts	Amount Spent	Number of Contracts	Amount Spent	Number of Contracts	Amount Spent
Health & Medical Services	131	\$ 5,719,012	19	\$ 2,976,777			150	\$ 8,695,790
Other	64	\$ 2,525,934	2	\$ 283,322	2	\$ 425,000	68	\$ 3,234,256
Educational Services	61	\$ 2,357,027	2	\$ 206,763			63	\$ 2,563,790
Human Services	47	\$ 2,079,005					47	\$ 2,079,005
Information Technology Services	28	\$ 1,223,150	6	\$ 890,232	2	\$ 426,573	36	\$ 2,539,955
Legal & Law Enforcement Services	31	\$ 1,471,366	2	\$ 241,228			33	\$ 1,712,594
Management Services	31	\$ 1,215,469					31	\$ 1,215,469
Business & Financial Services	20	\$ 1,036,284	4	\$ 467,905	5	\$ 1,562,582	29	\$ 3,066,771
Architectural Serv. (professional & non)	20	\$ 854,602					20	\$ 854,602
Engineering Professional Services	13	\$ 552,191					13	\$ 552,191
Communications & Media Services	9	\$ 341,495					9	\$ 341,495
Interpretation Services	4	\$ 172,216					4	\$ 172,216
Scientific Services	3	\$ 101,508					3	\$ 101,508
Transportation Services	3	\$ 159,297					3	\$ 159,297
Total	465	\$ 19,808,555	35	\$ 5,066,227	9	\$ 2,414,155	509	\$ 27,288,937

As shown in graph 4, the contracts ranging from \$25,000 to \$99,999 accounted for \$19.8 million (72.6%) of the total personal services contract expenditures for FY 2011. This range also accounted for the largest number of contracts awarded with 465 contracts (91.4%) for FY 2011. The contracts of \$100,000 and greater accounted for \$5.1 million (18.6%) of the total contract expenditures.

Graph 4-Personal Service Contract Expenditures by Dollar-Range for FY 2011



APPENDIX A

DEFINITION OF TYPES OF PERSONAL SERVICE CONTRACTS

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APPENDIX A

DEFINITIONS OF TYPES OF PERSONAL SERVICES CONTRACTS

A Personal Services Contract is defined as:

An agreement for services provided by a professional individual on a temporary or occasional basis (e.g. engineer, speechwriter, nurse, accountant). This may also include advisory consulting services. People retained under the "Personal Services Contracts" umbrella include both those which have withholding by the state and those for whom the agency prepares a Form 1099.

Type of Service Contract	Description
Architectural Services (professional & non-professional)	includes building design, interiors, space use, site planning, utilities architecture, energy management, landscape, flood control, drafting, surveying, etc.
Business & Financial Services	accounting, auditing, cash management, financial advisory, investment consulting, collection services, as well as organizational and other general management consulting services
Communications & Media Services	includes motion picture/television/video/audio production, journalistic/professional writer services, speechwriting, graphic arts, advertising services, etc.
Educational Services	includes teaching and instruction services, workshop facilitation, workshop organization and management, course development, etc.
Engineering Professional Services	includes civil, geological, machine, electrical, structural, general, agricultural, foundation, energy management, and other engineering services
Information Technology Services	includes telecommunication consulting, EDI, applications and systems analysis, etc.
Interpretation Services	includes signing, interpreting, translation, etc.
Health & Medical Services	includes physician, nursing, dental, mental health, x-ray, laboratory, pharmacy, audiology, hygiene, vaccination, waste disposal, etc.
Human Services	includes barber/beautician, funeral services, referral services, working with special populations, counseling, case management, community relations, etc.
Legal & Law Enforcement Services	includes attorneys, paralegal, other court-related services such as court stenography services, as well as security analysts, security personnel, other law enforcement or correctional system specialists
Management Services	includes parking management, records management, insurance/risk management, exhibition/market management, building management, waste management services
Scientific Services	includes laboratory services, sampling, leak detection, other specialty services
Transportation Services	self-explanatory
Other	all others

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APPENDIX B

**PERSONAL SERVICES CONTRACT EXPENDITURES BY LEGISLATIVE
APPROPRIATIONS COMMITTEE & CONTRACT CATEGORY FOR FY 2011**

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APPENDIX B

Personal Services Contract Expenditures by Legislative Appropriations Committee/Contract Category FY 2011

Legislature Approp. Committee	Agency Name	Total	Architect. Services (profess. & non-prof.)	Business & Financial Services	Commun. & Media Services	Educational Services	Engineer. Profess. Services	Health & Medical Services	Human Services	Inform. Technology Services	Interpret. Services	Legal & Law Enforce. Services	Mgmt. Services	Other	Scientific Services	Transport. Services
Education	NC Community College	\$ 35,000				\$ 35,000										
Education	Dept. of Public Instruct.	\$ 455,340				\$ 403,252						\$ 52,088				
Education	Elizabeth City State University	\$ 77,606					\$ 48,140							\$ 29,466		
Education	Fayetteville State University	\$ 310,870		\$ 124,060		\$ 35,960		\$ 50,050						\$ 100,800		
Education	NC A&T State Univ.	\$ -														
Education	NC Central University	\$ 89,225				\$ 89,225										
Education	NC School of the Arts	\$ 89,672				\$ 54,216				\$ 35,456						
Education	NC State University	\$ 1,335,508				\$ 447,362		\$ 387,190		\$ 195,649		\$ 155,337	\$ 116,970	\$ 33,000		
Education	NC School of Science & Math	\$ 339,928				\$ 152,557		\$ 94,518	\$ 44,781					\$ 48,072		
Education	UNC Gen. Admin.	\$ 126,264		\$ 54,300		\$ 71,964										
Education	UNC Hospitals	\$ 590,315		\$ 473,131	\$ 27,514					\$ 89,670						
Education	UNC Pembroke	\$ -														
Education	UNC-Appalachian	\$ 38,295				\$ 38,295										
Education	UNC-Asheville	\$ 25,610													\$ 25,610	
Education	UNC-Chapel Hill	\$ 510,331		\$ 142,012		\$ 153,981	\$ 129,693			\$ 84,645						
Education	UNC-Charlotte	\$ 189,877		\$ 50,000	\$ 25,138		\$ 25,000				\$ 56,911			\$ 32,828		
Education	UNC-East Carolina Univ	\$ 342,002				\$ 110,988		\$ 231,014								
Education	UNC-Greensboro	\$ 820,962						\$ 334,348	\$ 377,263		\$ 73,458			\$ 35,893		
Education	UNC-Wilmington	\$ 531,617						\$ 62,625			\$ 41,848			\$ 384,246	\$ 42,898	
Education	Western Carolina University	\$ -														
Education	Winston-Salem State University	\$ 183,115			\$ 52,960	\$ 101,463								\$ 28,692		
University Subtotal		\$ 5,601,196	\$ -	\$ 843,503	\$ 105,612	\$ 1,256,011	\$ 202,833	\$ 1,159,745	\$ 422,044	\$ 405,420	\$ 172,216	\$ -	\$ 155,337	\$ 776,967	\$ 101,508	\$ -
Education Total		\$ 6,091,536	\$ -	\$ 843,503	\$ 105,612	\$ 1,694,263	\$ 202,833	\$ 1,159,745	\$ 422,044	\$ 405,420	\$ 172,216	\$ 52,088	\$ 155,337	\$ 776,967	\$ 101,508	\$ -
Gen Gov	Dept. of Cultural Resources	\$ 41,538											\$ 41,538			
Gen Gov	Dept. of Insurance	\$ 38,627						\$ 38,627								
Gen Gov	Dept. of Secretary of State	\$ 30,137										\$ 30,137				
Gen Gov	Dept. of State Treasurer	\$ 736,455		\$ 222,712	\$ 28,493	\$ 28,000			\$ 42,125	\$ 415,125						
Gen Gov	ITS	\$ -														
Gen Gov	NC Department of Admin.	\$ 93,002			\$ 93,002											
Gen Gov	NC Education Lottery	\$ 200,000												\$ 200,000		
Gen Gov	NC General Assembly	\$ 54,950		\$ 54,950												
Gen Gov	NC Housing Finance Agency	\$ 175,820			\$ 70,520	\$ 105,300										
Gen Gov	NC Office of the State Auditor	\$ -														
Gen Gov	NC Office of the State Controller	\$ 394,173								\$ 394,173						
Gen Gov	NC State Bd. Of Cos. Art Exam.	\$ -														
Gen Gov	NC State Board of Opticians	\$ -														
Gen Gov	NC State Health Plan	\$ 160,049		\$ 60,249				\$ 99,800								
Gen Gov	Office of Administrative Hearings	\$ -														
Gen Gov	Office of the Governor	\$ -														
Gen Gov	State Board of Elections	\$ -														
Gen Gov	State Ethics Commission	\$ 90,000										\$ 90,000				
Gen Gov Total		\$ 2,014,751	\$ -	\$ 337,911	\$ 192,015	\$ 133,300	\$ -	\$ 138,427	\$ 42,125	\$ 809,298	\$ -	\$ 120,137	\$ 41,538	\$ 200,000	\$ -	\$ -

APPENDIX B (Cont.)

Personal Services Contract Expenditures by Legislative Appropriations Committee/Contract Category FY 2011

Legislature Approp. Committee	Agency Name	Total	Architect. Services (profess. & non)	Business & Financial Services	Commun. & Media Services	Educational Services	Engineer. Profess. Services	Health & Medical Services	Human Services	Inform. Technology Services	Interpret. Services	Legal & Law Enforce. Services	Mgmt. Services	Other	Scientific Services	Transport. Services
NER	DENR	\$ -														
NER	Dept. of Agriculture & CS	\$ 307,243		\$ 116,317						\$ 104,126			\$ 35,000	\$ 51,800		
NER	Dept. of Commerce	\$ 1,682,681		\$ 1,549,931	\$ 43,868	\$ 88,882										
NER	ESC	\$ 29,052								\$ 29,052						
NER	NC Dept of Labor	\$ -														
NER	NC Wildlife Resources Com.	\$ -														
NER Total		\$ 2,018,976	\$ -	\$ 1,666,248	\$ 43,868	\$ 88,882	\$ -	\$ -	\$ -	\$ 133,178	\$ -	\$ -	\$ 35,000	\$ 51,800	\$ -	\$ -
Transportation	NC Dept. of Transportation	\$ 177,798						\$ 177,798								
Transportation	NC Trans Global Park	\$ 95,211														\$ 95,211
Transportation Total		\$ 273,009	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 177,798	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 95,211
DHHS	DHHS-Services for the BD & HH	\$ -														
DHHS	DHHS-Social Services	\$ -														
DHHS	DHHS-Health Services	\$ 237,154						\$ 95,139						\$ 142,015		
DHHS	DHHS-Public Health	\$ -														
DHHS	DHHS-Office of Educ.Services	\$ -														
DHHS	DHHS-Voc. Rehab.	\$ -														
DHHS	DHHS-Central Administration	\$ 602,264				\$ 44,720			\$ 199,000	\$ 234,468				\$ 124,076		
DHHS	DHHS-Child Development	\$ -														
DHHS	DHHS-Medical Assistance	\$ 150,930						\$ 150,930								
DHHS	DHHS-Information Resource Mgmt	\$ 220,014								\$ 220,014						
DHHS	DHHS-Mental Health	\$ 351,427		\$ 135,369				\$ 169,585						\$ 46,473		
DHHS Total		\$ 1,561,789	\$ -	\$ 135,369	\$ -	\$ 44,720	\$ -	\$ 415,654	\$ 199,000	\$ 454,482	\$ -	\$ -	\$ -	\$ 312,564	\$ -	\$ -
JPS	AOC-Indigent Defense Services	\$ 912,015										\$ 912,015				
JPS	AOC-NC Judicial Branch of Gov.	\$ 1,708,662												\$ 1,708,662		
JPS	Dept. of Correction	\$ 9,024,233	\$ 789,812				\$ 349,358	\$ 6,457,636	\$ 315,916	\$ 603,967			\$ 443,458			\$ 64,086
JPS	Dept. of Crime Control & PS	\$ 2,084,725	\$ 64,790	\$ 25,740		\$ 602,624		\$ 29,337	\$ 435,085			\$ 202,750	\$ 540,136	\$ 184,263		
JPS	Dept. of Justice	\$ 617,214		\$ 58,000						\$ 133,610		\$ 425,604				
JPS	DJJDP	\$ 982,027						\$ 317,192	\$ 664,835							
JPS Total		\$ 15,328,876	\$ 854,602	\$ 83,740	\$ -	\$ 602,624	\$ 349,358	\$ 6,804,166	\$ 1,415,836	\$ 737,577	\$ -	\$ 1,540,369	\$ 983,594	\$ 1,892,925	\$ -	\$ 64,086
Grand Total		\$ 27,288,937	\$ 854,602	\$ 3,066,771	\$ 341,495	\$ 2,563,790	\$ 552,191	\$ 8,695,790	\$ 2,079,005	\$ 2,539,955	\$ 172,216	\$ 1,712,594	\$ 1,215,469	\$ 3,234,256	\$ 101,508	\$ 159,297
Percentage		100%	3%	11%	1%	9%	2%	32%	8%	9%	1%	6%	4%	12%	0%	1%

APPENDIX C

**SUMMARY OF PERSONAL SERVICES CONTRACTS BY
STATE AGENCY FOR FYs 2007-2011**

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APPENDIX C

Summary of Personal Services Contracts Greater than \$25,000 by State Agency for FYs 2007 thru 2011

State Agency	Legislative Appropriation Committee	FY 2011		FY 2010		FY 2009		FY 2008		FY 2007	
		Number of Contracts	Amount	Number of Contracts	Amount						
Dept. of Community Colleges	Education	1	\$ 35,000	0	\$ -	0	\$ -	0	\$ -	1	\$ 29,640
Dept. of Public Instruction	Education	11	\$ 455,340	7	\$ 327,301	32	\$ 1,570,111	66	\$ 5,113,095	31	\$ 1,165,751
University System											
UNC General Administration	Education	2	\$ 126,264	4	\$ 226,448	5	\$ 220,230	18	\$ 668,449	18	\$ 709,672
Appalachian State Univ.	Education	1	\$ 38,295	4	\$ 162,409	3	\$ 127,255	0	\$ -	0	\$ -
East Carolina Univ.	Education	8	\$ 342,002	11	\$ 543,480	13	\$ 554,622	24	\$ 2,674,492	18	\$ 2,265,121
Elizabeth City State	Education	2	\$ 77,606	3	\$ 95,738	3	\$ 111,736	2	\$ 62,381	0	\$ -
Fayetteville State	Education	6	\$ 310,870	2	\$ 126,640	5	\$ 262,307	7	\$ 527,648	7	\$ 475,397
NC A&T State Univ.	Education	0	\$ -	2	\$ 114,820	5	\$ 273,932	5	\$ 256,710	4	\$ 267,744
NC Central Univ.	Education	2	\$ 89,225	5	\$ 169,639	4	\$ 239,115	2	\$ 70,800	4	\$ 145,000
University of NC School of Arts	Education	3	\$ 89,671	5	\$ 160,439	8	\$ 291,591	5	\$ 226,735	0	\$ -
NC State Univ.	Education	23	\$ 1,335,508	14	\$ 910,682	17	\$ 1,274,464	34	\$ 1,850,831	24	\$ 1,443,604
UNC-Hospitals	Education	5	\$ 590,315	11	\$ 837,385	8	\$ 623,149	6	\$ 720,630	5	\$ 430,266
UNC-Asheville	Education	1	\$ 25,610	0	\$ -	0	\$ -	1	\$ 31,320	1	\$ 42,000
UNC-Chapel Hill	Education	13	\$ 510,331	4	\$ 162,475	6	\$ 165,351	3	\$ 304,145	13	\$ 433,761
UNC-Charlotte	Education	5	\$ 189,877	4	\$ 159,178	4	\$ 156,088	1	\$ 30,255	3	\$ 133,617
UNC-Greensboro	Education	15	\$ 820,962	13	\$ 796,251	14	\$ 715,341	11	\$ 577,205	10	\$ 636,698
UNC-Pembroke	Education	0	\$ -	3	\$ 116,070	8	\$ 320,788	5	\$ 156,516	0	\$ -
UNC-Wilmington	Education	5	\$ 531,617	7	\$ 249,356	4	\$ 211,208	4	\$ 195,492	3	\$ 144,527
Western Carolina	Education	0	\$ -	0	\$ -	0	\$ -	0	\$ -	0	\$ -
Winston Salem State	Education	3	\$ 183,115	5	\$ 195,010	3	\$ 257,307	4	\$ 479,985	0	\$ -
NC School of Science & Math	Education	8	\$ 339,928	5	\$ 197,747	5	\$ 219,446	5	\$ 232,469	1	\$ 25,504
Total University System		102	\$ 5,601,196	102	\$ 5,223,768	115	\$ 6,023,932	137	\$ 9,066,063	111	\$ 7,152,912
Total Education		114	\$ 6,091,536	109	\$ 5,551,068	147	\$ 7,594,043	203	\$ 14,179,158	143	\$ 8,348,303
General Government											
Board of Electrolysis Examiners	General Government	0	\$ -	0	\$ -	0	\$ -	0	\$ -	0	\$ -
Dept. of Administration	General Government	3	\$ 93,002	3	\$ 130,765	0	\$ -	0	\$ -	14	\$ 572,806
Dept. of Cultural Resources	General Government	1	\$ 41,538	3	\$ 95,604	5	\$ 236,682	1	\$ 36,700	1	\$ 26,880
Dept. of Insurance	General Government	1	\$ 38,627	1	\$ 40,660	1	\$ 40,660	3	\$ 97,066	4	\$ 257,921
Dept. of Revenue	General Government	0	\$ -	0	\$ -	0	\$ -	0	\$ -	0	\$ -
Dept. of Secretary of State	General Government	1	\$ 30,137	0	\$ -	0	\$ -	2	\$ 97,205	2	\$ 116,746
Dept. of State Auditor	General Government	0	\$ -	0	\$ -	0	\$ -	1	\$ 43,688	3	\$ 140,138
Dept. of State Treasurer	General Government	10	\$ 736,455	4	\$ 228,554	6	\$ 280,829	7	\$ 359,298	9	\$ 392,778
NC General Assembly	General Government	1	\$ 54,950	2	\$ 81,493	2	\$ 151,004	1	\$ 157,447	1	\$ 50,000
NC Auctioneer Licensing Board	General Government	0	\$ -	0	\$ -	0	\$ -	0	\$ -	0	\$ -
NC Board of Opticians	General Government	0	\$ -	0	\$ -	0	\$ -	0	\$ -	0	\$ -
NC Housing & Finance Agency	General Government	2	\$ 175,820	0	\$ -	0	\$ -	0	\$ -	0	\$ -
NC Education Lottery	General Government	1	\$ 200,000	2	\$ 127,275	1	\$ 36,354	0	\$ -	0	\$ -
NC Psychology Board	General Government	0	\$ -	0	\$ -	0	\$ -	0	\$ -	0	\$ -
Office of Admin. Hearings	General Government	0	\$ -	0	\$ -	0	\$ -	0	\$ -	0	\$ -
Office of Information Technology Svcs.	General Government	0	\$ -	0	\$ -	1	\$ 59,441	4	\$ 147,104	0	\$ -
Office of Lt. Governor	General Government	0	\$ -	0	\$ -	0	\$ -	0	\$ -	0	\$ -
Office of State Controller	General Government	2	\$ 394,173	2	\$ 410,314	8	\$ 1,195,009	11	\$ 2,648,893	4	\$ 1,284,820
Office of State Personnel	General Government	0	\$ -	0	\$ -	0	\$ -	0	\$ -	0	\$ -
Office of the Governor	General Government	0	\$ -	0	\$ -	0	\$ -	2	\$ 56,293	0	\$ -
State Board of Examiners for Nursing Homes Adm.	General Government	0	\$ -	0	\$ -	0	\$ -	0	\$ -	0	\$ -
State Board of Barber Examiners	General Government	0	\$ -	0	\$ -	0	\$ -	0	\$ -	0	\$ -
State Board of Cosmetic Art Examiners	General Government	0	\$ -	0	\$ -	0	\$ -	0	\$ -	1	\$ 47,575
State Board of Elections	General Government	0	\$ -	0	\$ -	1	\$ 138,493	1	\$ 30,000	0	\$ -
State Health Benefit Office	General Government	2	\$ 160,049	3	\$ 102,588	0	\$ -	0	\$ -	1	\$ 31,402
State Ethics Commission	General Government	2	\$ 90,000	0	\$ -	0	\$ -	0	\$ -	0	\$ -
Total General Government		26	\$ 2,014,751	20	\$ 1,217,253	25	\$ 2,138,472	33	\$ 3,673,694	40	\$ 2,921,066

APPENDIX C (Cont.)

Summary of Personal Services Contracts Greater than \$25,000 by State Agency for FYs 2007 thru 2011

State Agency	Legislative Appropriation Committee	FY 2011		FY 2010		FY 2009		FY 2008		FY 2007	
		Number of Contracts	Amount								
Natural and Economic Resources											
Dept. of Agriculture & Consumer Services	NER	6	\$ 307,243	6	\$ 307,553	13	\$ 778,918	14	\$ 727,395	5	\$ 172,248
Dept. of Commerce	NER	9	\$ 1,682,681	8	\$ 1,959,576	6	\$ 781,428	6	\$ 952,043	7	\$ 1,422,676
Dept. of Envir. & Natural Resources	NER	0	\$ -	0	\$ -	2	\$ 142,625	1	\$ 62,291	1	\$ 67,709
NC Wildlife Resources Commission	NER	0	\$ -	0	\$ -	0	\$ -	0	\$ -	0	\$ -
Dept. of Labor	NER	0	\$ -	0	\$ -	0	\$ -	0	\$ -	0	\$ -
Empl. Security Commission	NER	1	\$ 29,052	0	\$ -	0	\$ -	0	\$ -	1	\$ 28,600
NC State Ports Authority	NER	0	\$ -	0	\$ -	0	\$ -	0	\$ -	0	\$ -
Total Nat. & Econ. Resources		16	\$ 2,018,976	14	\$ 2,267,128	21	\$ 1,702,971	21	\$ 1,741,729	14	\$ 1,691,233
Transportation											
Dept. of Transportation	Transportation	4	\$ 177,798	6	\$ 260,792	5	\$ 330,504	5	\$ 209,574	4	\$ 273,007
NC Global Transpark Authority	Transportation	1	\$ 95,211	1	\$ 34,086	1	\$ 125,471	2	\$ 84,000	2	\$ 84,000
Total Transportation		5	\$ 273,009	7	\$ 294,877	6	\$ 455,975	7	\$ 293,574	6	\$ 357,007
Dept. of Health and Human Serv.											
Central Administration	Health & Human Svcs.	8	\$ 602,265	6	\$ 316,234	8	\$ 698,885	9	\$ 427,887	3	\$ 182,748
Div. of Aging	Health & Human Svcs.	0	\$ -	0	\$ -	0	\$ -	0	\$ -	0	\$ -
Div. of Child Development	Health & Human Svcs.	0	\$ -	1	\$ 29,346	1	\$ 34,500	1	\$ 31,570	1	\$ 39,625
Div. of Health Services	Health & Human Svcs.	3	\$ 237,153	4	\$ 196,430	5	\$ 322,389	4	\$ 209,463	0	\$ -
Div. of Information Resource Mgmt.	Health & Human Svcs.	2	\$ 220,014	2	\$ 291,408	0	\$ -	0	\$ -	0	\$ -
Div. of Medical Assistance	Health & Human Svcs.	1	\$ 150,930	2	\$ 64,534	5	\$ 194,380	1	\$ 28,619	3	\$ 112,050
Div. of Mental Health	Health & Human Svcs.	7	\$ 351,427	7	\$ 321,296	8	\$ 432,859	6	\$ 293,533	14	\$ 725,044
Div. of Public Health	Health & Human Svcs.	0	\$ -	0	\$ -	3	\$ 135,583	2	\$ 145,820	0	\$ -
Div. of Services for the Blind	Health & Human Svcs.	0	\$ -	0	\$ -	0	\$ -	0	\$ -	0	\$ -
Div. of Social Services	Health & Human Svcs.	0	\$ -	1	\$ 107,652	2	\$ 63,035	4	\$ 228,724	4	\$ 174,269
Div. of Vocational Rehabilitation	Health & Human Svcs.	0	\$ -	0	\$ -	0	\$ -	0	\$ -	0	\$ -
Health Choice	Health & Human Svcs.	0	\$ -	0	\$ -	0	\$ -	0	\$ -	0	\$ -
Office of Education Services	Health & Human Svcs.	0	\$ -	0	\$ -	0	\$ -	0	\$ -	1	\$ 32,230
Total Dept. of Health & Human Svcs.		21	\$ 1,561,789	23	\$ 1,326,899	32	\$ 1,881,631	27	\$ 1,365,616	26	\$ 1,265,965
Justice and Public Safety											
Admin. Office of Courts - Indigent Defense Services	Justice & Public Safety	17	\$ 912,015	18	\$ 1,026,496	17	\$ 986,926	16	\$ 711,840	7	\$ 306,250
Admin. Office of Courts	Justice & Public Safety	46	\$ 1,708,663	50	\$ 1,922,298	49	\$ 1,725,294	1	\$ 33,000	1	\$ 33,000
Dept. of Correction	Justice & Public Safety	9	\$ 617,214	197	\$ 10,410,735	200	\$ 10,495,390	254	\$ 13,490,955	228	\$ 10,902,642
Dept. of Justice	Justice & Public Safety	177	\$ 9,024,232	6	\$ 461,312	6	\$ 289,908	5	\$ 420,305	6	\$ 422,170
Dept. of Crime Ctrl. & Pub. Safety	Justice & Public Safety	57	\$ 2,084,726	58	\$ 1,792,165	63	\$ 2,189,652	55	\$ 1,955,183	61	\$ 3,098,782
Dept. of Juvenile Justice & Delinquent Program	Justice & Public Safety	21	\$ 982,027	32	\$ 1,461,248	18	\$ 803,131	7	\$ 304,038	11	\$ 388,639
Total Justice & Public Safety		327	\$ 15,328,877	361	\$ 17,074,255	353	\$ 16,490,301	338	\$ 16,915,321	314	\$ 15,151,483
TOTAL ALL AGENCIES		509	\$ 27,288,937	534	\$ 27,731,481	584	\$ 30,263,392	629	\$ 38,169,091	543	\$ 29,735,057