

The North Carolina State Budget _____

Recommended Operating Budget

with Results-Based Information

_____ 2007-2009

Health and Human Services
Volume 3



Michael F. Easley
Governor

The North Carolina State Budget _____

Recommended Operating Budget

with Results-Based Information

_____ **2007-2009**

Health and Human Services
Volume 3

Office of State Budget and Management
Office of the Governor
Raleigh, North Carolina

www.osbm.state.nc.us

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Preface

The North Carolina State Budget: Recommended Operating Budget with Results-Based Information, 2007-2009 reflects the work of multiple people within the Office of State Budget and Management (OSBM), including budget administrators and analysts, economists, technical staff, and paraprofessionals. The OSBM team is listed below by administrative area.

This document is available online at www.osbm.state.nc.us. For additional information about its contents, please contact the appropriate administrator at the e-mail address cited below or by telephone at 919/807-4700. The mailing address for OSBM is 20320 Mail Service Center, Raleigh, NC 27699-0320.

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Introduction

Operating budget by department

The North Carolina State Budget: Recommended Operating Budget with Results-Based Information, 2007-2009 is a six-volume document that details Governor Easley's recommended fiscal plan for the budgets of each department in the State of North Carolina for the upcoming biennium.

New results-based information

Newly integrated with the budget details for each department are mission statements, goals, fund descriptions, key services supported by each fund or budget code, costs of services in dollars and staff, and performance measures. These results-based budgeting elements are intended to improve the reader's understanding of why an agency exists, what the agency does, how much money its services cost, and how effective its services are. The inclusion of these results-based budget elements is the first step in a multiyear effort to provide members of the North Carolina General Assembly and the public with expanded budget and operational information. Ultimately, this information is designed to improve funding, planning, and management decisions in state government.

Line item details

Line item base budget details for all budgeted budget codes and their component funds are available in a PDF file on the Web site of the Office of State Budget and Management, www.osbm.state.nc.us.

Order of presentation, explanation of codes

For publication of the recommended operating budget for state agencies, the various departments are grouped by function within the following volumes:

- Volume 1: Education
- Volume 2: General Government
- Volume 3: Health and Human Services

- Volume 4: Justice and Public Safety
- Volume 5: Natural and Economic Resources
- Volume 6: Transportation

Each volume is organized by department, by budget code, and then by fund code. Each department is assigned a unique five-digit budget code or set of budget codes (except for the Department of Health and Human Services, which has a unique budget code for each of its divisions). Each budget code is further divided into four-character fund codes that represent a group of activities for accounting purposes.¹ Within department budget codes, the recommended base budget is presented in order by fund code.

Presentation of base budget and recommended adjustments

The governor's recommended operating budget is comprised of two distinct components: 1) the base budget, or continuation budget, which enables a department to continue existing services and activities at current levels, and 2) recommended adjustments to the base budget, which include new programs and expansions to current activities, as well as reductions and eliminations. The base budget combined with any recommended adjustments yields the recommended operating budget for the biennium.

For each budget code in the General Fund that begins with "1", summarized information shows recommended appropriations and positions. Brief descriptions of recommended adjustments to the base budget are included with associated costs in dollars and positions. These costs are specified as recurring or nonrecurring. This section of information for the budget code is titled "Governor's Recommended Adjustments to Base Budget."

1. For example, the Department of Environment and Natural Resources (budget code 14300) has numerous fund codes corresponding to each of its programs. The budget for the Division of Marine Fisheries appears in three fund codes, one for each of its major activities, administration (14300-1315), research (14300-1320), and law enforcement (14300-1325).

Following the display of recommendations for adjustments to the base budget² is a section for the budget code titled “Base Budget and Results-Based Information.” This base budget section begins with graphs of expenditure and position histories that illustrate funding and staffing trends over the last several years.

The graphs in the base budget section for each budget code are followed by a summary base budget table. The summary base budget table displays the requirements, receipts, appropriation, and positions for the following:

- Actual expenditures for FY 2005-06 (the most recent year for which actual information is available)
- Certified budget for FY 2006-07
- Authorized budget for FY 2006-07
- Allowable adjustments, such as inflationary increases, to the current authorized budget for each fiscal year of the 2007-09 biennium
- Totals for each fiscal year of the 2007-09 biennium

Following the base budget table for a budget code is a display of base budget information for each fund within the budget code, in order by fund code. The presentation for each fund begins with a base budget for the fund followed by a fund description that explains the major activities being funded. The structure for the fund’s base budget table is the same as that for its parent budget code.

Results-based information presented with base budget

To explain and justify the expenditure of state taxpayers’ money, agencies have prepared supporting results-based information, which is developed at either the budget code level or the fund level. This information includes service statements that describe the lines of business, business processes, or set of activities supported by the fund or budget code; actual requirements (expenditures) and personnel requirements (FTEs) for each service statement; and performance measures that tie specifically to the services provided in the fund or budget code. The positions are those actually budgeted, not necessarily those filled.

2. For budget codes that do not begin with “1”, there are no adjustments to the base budget except for transportation budget codes.

Further information

Questions about *The North Carolina State Budget: Recommended Operating Budget with Results-Based Information, 2007-2009* or requests for further information may be directed to the Office of State Budget and Management, 20320 Mail Service Center, Raleigh, NC 27699-0320, or telephone (919) 807-4700. The document may also be downloaded from the Office of State Budget and Management’s Web site, www.osbm.state.nc.us.

Glossary

Authorized budget. The certified budget plus revisions delegated to the Office of State Budget and Management and to agencies by the State Budget Act (G.S. 143C).

Base budget. That portion of the recommended operating budget that maintains existing, authorized levels of recurring services in agencies for a biennium. The base budget, or continuation budget, is based on the current year’s authorized budget and may be adjusted for inflationary increases, annualization of programs, reductions for nonrecurring programs, and adjustments for receipts. The State Budget Act specifically mandates that enrollment increases in public schools, community colleges, and the university system be reflected in the continuation budget submitted for the legislature’s review.

Budget code. A five-digit number established for budgetary reporting. This number generally identifies major fund types and the responsible entity for reporting. The first digit is the fund type. The second and third digits usually identify a state department or university institution, except for the Department of Health and Human Services, where the budget code identifies the department’s divisions. Budget codes are established for the following fund types:

- 0xxxx - Institutional Funds Revenue
- 1xxxx - General Fund
- 2xxxx - Special Funds
- 3xxxx - Federal Funds
- 4xxxx - Capital Project Funds
- 5xxxx - Enterprise Funds
- 6xxxx - Trust and Agency Funds
- 7xxxx - Service Funds
- 8xxxx - Highway Funds

Certified budget. The budget as enacted by the General Assembly, including adjustments made for (1) distributions to state agencies from statewide reserves appropriated by the General Assembly, (2) distributions of reserves appropriated to a specific agency by the General Assembly, and (3) organizational or budget changes directed by the legislature but left to the governor to carry out.

Continuation budget. Another term for the base budget.

Expansion budget. That portion of the recommended operating budget that presents additional operating funds for expansion of existing services or activities, including inflationary costs for the state's share of locally-operated programs, new programs, or pilot projects; proposals to change a statutorily-controlled program by redirecting funds from one program to another; onetime major equipment purchases; and continued phase-in of new programs initiated in a previous biennium.

FTE (full-time equivalent). FTE is the percentage of time that a staff member works represented as a decimal. A full-time position is 1.00, a half-time position is .50, and a quarter-time position is .25.

Fund code. A four-character code that, with its parent budget code, uniquely identifies the fund. Most budget codes have multiple fund codes that may reflect a department's organizational structure and are created for each division within a department and/or for specific programs or purposes of a division. Fund codes are established as a result of authorization by the General Assembly; changes in the accounting standards; authorizations by OSBM; and/or grant awards from federal, state, local, or private agencies, or from individuals.

Fund description. A description of the purpose of the programs, services, activities, or functions funded in the fund code. It provides justification for the expenditure of the funds.

Goal. A broad statement of what a department wants to achieve over a long period of time. Goals explain how an agency fulfills its mission.

Mission statement. A description of an organization's basic purpose (its fundamental reason for being) that specifies its major functional role and communicates its values.

Performance measure. A quantitative characterization of the quality of, need for, or impact of a service provided, ideally to help determine whether a desired outcome has been attained.

Recommended operating budget. Total of the base budget and recommended expansions and reductions submitted by the governor to the General Assembly for a biennium beginning with an odd-numbered year, for example, 2007-09. The recommended operating budget does not include the capital improvements budget, which is found in the accompanying budget publication entitled, *The North Carolina State Budget: Summary of Recommendations*. A Six-Year Capital Improvements Plan that supports and explains all proposed capital improvements is prepared as a separate document.

Service analysis. A description of the relationship between an activity supported by a fund or budget code and the dollars and personnel allocated to those activities.

Service statement. A description of the set of specific activities, lines of business, or work processes that are funded by a particular budget code or fund code.

Department of Health and Human Services

Mission

To serve the people of North Carolina by enabling individuals, families, and communities to be healthy and secure, and to achieve social and economic well-being. To achieve this mission, the department will provide comprehensive and coordinated services for prevention, intervention, assistance, education, and empowerment; assure equal access to services; be accountable for achieving meaningful results; continuously improve service delivery by involving citizens; and support and develop employees as diverse, invaluable resources.

Governor's Recommended Adjustments to Base Budget

Department of Health and Human Services (144xx)

Recommended General Fund Budget and Positions

	<u>2007-08</u>	<u>2008-09</u>
Base Budget		
Requirements	\$16,244,464,821	\$17,061,181,319
Receipts	<u>\$11,712,380,850</u>	<u>\$12,240,778,227</u>
Appropriation	\$4,532,083,971	\$4,820,403,092
Recommended Adjustments		
Requirements	\$123,766,244	\$109,558,928
Receipts	<u>\$63,782,809</u>	<u>\$53,927,903</u>
Appropriation	\$59,983,435	\$55,631,025
Total		
Requirements	\$16,368,231,065	\$17,170,740,247
Receipts	<u>\$11,776,163,659</u>	<u>\$12,294,706,130</u>
Recommended Appropriation	<u>\$4,592,067,406</u>	<u>\$4,876,034,117</u>
<hr/>		
Positions		
Base Budget Positions	18,458.450	18,458.450
Reductions	-	-
Expansion	<u>137.000</u>	<u>256.000</u>
Recommended Positions	<u>18,595.450</u>	<u>18,714.450</u>

Total Recommended Reductions

	<u>2007-08</u>	<u>2008-09</u>
Recurring		
Requirements	-	-
Receipts	-	-
	<hr/>	<hr/>
Appropriation	-	-
Positions	-	-
Nonrecurring		
Requirements	-	-
Receipts	-	-
	<hr/>	<hr/>
Appropriation	-	-
Positions	-	-

Total Recommended Expansion

	<u>2007-08</u>	<u>2008-09</u>
Recurring		
Requirements	\$100,094,713	\$106,638,892
Receipts	59,062,352	53,927,903
	<hr/>	<hr/>
Appropriation	\$41,032,361	\$52,710,989
Positions	137.000	256.000
Nonrecurring		
Requirements	\$23,671,531	\$2,920,036
Receipts	4,720,457	-
	<hr/>	<hr/>
Appropriation	\$18,951,074	\$2,920,036
Positions	-	-

**Total Recommended Adjustments for
Department of Health and Human Services
2007-09**

	<u>2007-08</u>	<u>2008-09</u>
Recurring		
Requirements	\$100,094,713	\$106,638,892
Receipts	59,062,352	53,927,903
	<hr/>	<hr/>
Appropriation	\$41,032,361	\$52,710,989
Positions	137.000	256.000
Nonrecurring		
Requirements	\$23,671,531	\$2,920,036
Receipts	4,720,457	-
	<hr/>	<hr/>
Appropriation	\$18,951,074	\$2,920,036
Positions	-	-
Total Appropriation Adjustments	\$59,983,435	\$55,631,025
Total Position Adjustments	137.000	256.000

Division of Central Management and Support

Mission

Direct operations and provide support services for the department's agencies and institutions to ensure that legislative and administrative policies are properly implemented to the benefit of citizens, clients, and employees and to promote health care access and self-sufficiency for underserved, low income, and developmentally disabled people.

Goals

Employ an enterprise-wide approach in the design and delivery of programs and services to maximize flexibility and access to services for the ultimate benefit of North Carolina residents.

Establish a culture of continuous improvement by embracing performance management throughout the organization and implementing human resource practices to sustain a high performance workforce.

Improve program and service delivery by clearly identifying business needs and leveraging resources to meet those needs along with focusing efforts on internal process improvement.

Enhance internal and external communications and marketing efforts to better inform constituents about DHHS services, to maintain focus on customer service as well as to promote the department's agenda to the general public.

Governor's Recommended Adjustments to Base Budget

Division of Central Management and Support (14410)

Recommended General Fund Budget and Positions

	<u>2007-08</u>	<u>2008-09</u>
Base Budget		
Requirements	\$150,529,212	\$153,446,218
Receipts	<u>\$88,713,245</u>	<u>\$88,977,891</u>
Appropriation	\$61,815,967	\$64,468,327
Adjustments		
Requirements	\$29,538,867	\$3,180,273
Receipts	<u>\$19,482,776</u>	<u>\$229,226</u>
Appropriation	\$10,056,091	\$2,951,047
Total		
Requirements	\$180,068,079	\$156,626,491
Receipts	<u>\$108,196,021</u>	<u>\$89,207,117</u>
Recommended Appropriation	<u>\$71,872,058</u>	<u>\$67,419,374</u>
<hr/>		
Positions		
Base Budget Positions	710.250	710.250
Reductions	-	-
Expansion	<u>7.000</u>	<u>7.000</u>
Recommended Positions	<u>717.250</u>	<u>717.250</u>

Appropriation Items -- Recommended Adjustments

Expansion

2007-08

2008-09

1. Electronic Document Management

The Governor recommends an appropriation for DHHS to cover state Information Technology Services charges for Documentum, the electronic document management storage and retrieval solution offered by ITS. Divisions with high volumes of documents and records, such as the state psychiatric hospitals and Child Development, will particularly benefit from improved ability to store, index, and retrieve information.

Requirements	\$684,476	\$718,004
Requirements - Nonrecurring	\$63,000	\$2,750
Receipts	\$98,316	\$98,319
Receipts - Nonrecurring	\$8,816	-
Appropriation	\$640,344	\$622,435

2. Health Information Systems

The General Assembly in SFY 2007-08 authorized use of Public Health special funds to develop and implement a new Health Information System to replace the more than 20 year old system currently used to capture, monitor, report, and bill services provided in local health departments. The Governor recommends continued use of Public Health special funds plus an appropriation of state funds to continue the project and support ongoing operations. Included in this recommendation is one Business and Technology Applications Analyst position.

Requirements	\$849,902	\$875,086
Requirements - Nonrecurring	\$4,287,834	-
Receipts	-	-
Appropriation	\$5,137,736	\$875,086
Positions	1.000	1.000

3. Office of Medicaid Management Information Services

The Governor recommends budgeting DHHS non-federal receipts to match federal funding to support the Office of Medicaid Management Information Services and costs to replace the Medicaid Management Information System (MMIS), the Medicaid program claims payment

system. Of the receipts recommended here, \$15,420,566 is federal funding from the Centers for Medicaid and Medicare Services and \$3,855,142 is prior year earned revenue (earned by the various divisions of the department).

Requirements	\$19,275,708	-
Receipts	\$19,275,708	-
<hr/>		
Appropriation	-	-

4. Child Welfare E-Learning

The Governor recommends implementing on-line learning in child welfare for social workers and foster and adoptive parents. Implementation requires a Networking Analyst and Business and Technology Applications Analyst in the department's information technology division, plus funds for hardware and software. Of the total recommended, \$100,000 will be transferred to the Division of Social Services to contract for expertise in distance learning and family centered social work practices.

Requirements	\$308,481	\$316,954
Requirements - Nonrecurring	\$27,000	-
Receipts	-	-
<hr/>		
Appropriation	\$335,481	\$316,954
Positions	2.000	2.000

5. Attorney Positions

The Governor recommends an appropriation to DHHS to contract with the Attorney General's Office for additional legal support in the areas of Facility Services, Medicaid, and Child Development.

Requirements	\$388,106	\$519,138
Receipts	\$99,936	\$130,907
<hr/>		
Appropriation	\$288,170	\$388,231

6. Housing and Homelessness Staffing

The Governor recommends transitioning three time-limited grant supported positions in the DHHS Office of the Secretary to full-time permanent staff. This staff is critical to working with other agencies (such as the Housing Finance Agency) and programs to expand the availability of affordable housing linked to appropriate supports and services.

Appropriation	\$154,360	\$248,341
Positions	3.000	3.000

7. Medical and Dental Recruitment Incentives

The Governor recommends addressing the health care access needs in rural and underserved areas of the state by increasing incentive funds to recruit and retain primary medical and dental providers in the state's

Health Professionals Shortage Areas. The recommended appropriation will also add one Medical Placement Specialist to increase the candidate pool and place providers.

Appropriation	\$500,000	\$500,000
Positions	1.000	1.000

8. NC Health Net

The Governor recommends that funds be appropriated to sustain provider networks that were developed and previously funded with federal HCAP grants. HCAP grants are no longer available to these networks. The networks coordinate free care to serve poor uninsured patients. Each network uses the funds for care managers, outreach, generic drugs, and other community supports.

Appropriation - Nonrecurring	\$3,000,000	-
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Total Recommended Expansion

	<u>2007-08</u>	<u>2008-09</u>
Recurring		
Requirements	\$22,161,033	\$3,177,523
Receipts	19,473,960	229,226
	<hr/>	<hr/>
Appropriation	\$2,687,073	\$2,948,297
Positions	7.000	7.000
Nonrecurring		
Requirements	\$7,377,834	\$2,750
Receipts	8,816	-
	<hr/>	<hr/>
Appropriation	\$7,369,018	\$2,750
Positions	-	-

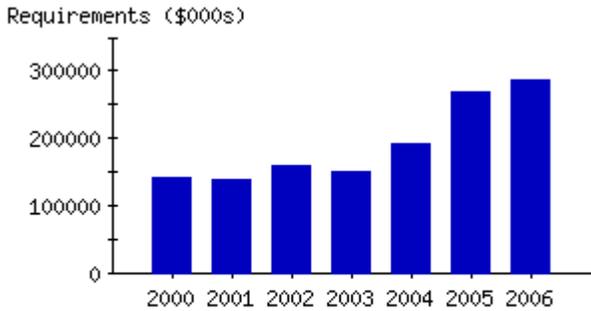
**Total Recommended Adjustments for
Division of Central Management and Support (14410)
2007-09**

	<u>2007-08</u>	<u>2008-09</u>
Recurring		
Requirements	\$22,161,033	\$3,177,523
Receipts	19,473,960	229,226
	\$2,687,073	\$2,948,297
Appropriation		
Positions	7.000	7.000
Nonrecurring		
Requirements	\$7,377,834	\$2,750
Receipts	8,816	-
	\$7,369,018	\$2,750
Appropriation		
Positions	-	-
Total Appropriation Adjustments	\$10,056,091	\$2,951,047
Total Position Adjustments	7.000	7.000

Base Budget and Results-Based Information

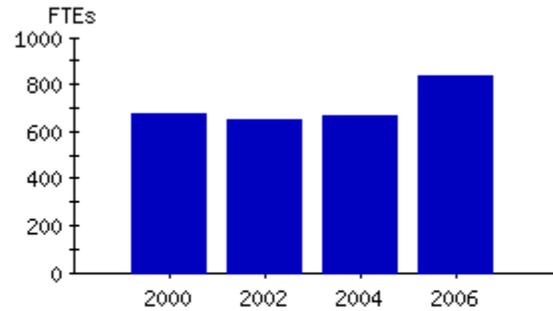
Budget Code 14410 DHHS - Division of Central Management and Support

**Actual Expenditures
by Fiscal Year**



Expenditure growth in 2004-05 and 2005-06 largely due to Senior Cares Prescription Assistance Program.

**Actual Positions
by Fiscal Year**



Position growth occurred primarily in the information technology funds -- contractor funding converted to positions for efficiency, Office of Medicaid Management Information Services established to oversee implementing new Medicaid claims management system, and technology projects required time-limited position support.

Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$287,680,176	\$173,956,035	\$174,548,271	(\$24,019,059)	\$150,529,212	(\$21,102,053)	\$153,446,218
Receipts	\$174,627,107	\$111,381,411	\$111,387,482	(\$22,674,237)	\$88,713,245	(\$22,409,591)	\$88,977,891
Appropriation	\$113,053,069	\$62,574,624	\$63,160,789	(\$1,344,822)	\$61,815,967	\$1,307,538	\$64,468,327
Positions	835.250	703.250	710.250	-	710.250	-	710.250

Budget Code 14410 DHHS - Division of Central Management and Support

Fund 14410-1010 Central Management and Administration — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$6,542,110	\$5,416,580	\$5,607,696	(\$63,338)	\$5,544,358	(\$58,332)	\$5,549,364
Receipts	\$2,858,687	\$1,149,325	\$1,149,325	\$1,548	\$1,150,873	\$1,769	\$1,151,094
Appropriation	\$3,683,423	\$4,267,255	\$4,458,371	(\$64,886)	\$4,393,485	(\$60,101)	\$4,398,270
Positions	46.250	44.250	47.250	-	47.250	-	47.250

Fund description

The Office of the Secretary sets policy and coordinates program administration including fiscal, automation and personnel activities in the Department of Health and Human Services to ensure that legislation and policy direction from the Governor are properly implemented. Additionally, this fund includes activities of the Office of Public Affairs, Office of Governmental Relations, Office of General Council, Office of Policy and Planning and Office of Equal Employment Opportunity.

Services for the fund

Secretary and Departmental Management - Develop and implement policies and manage department operations in compliance with NC statutes and administrative codes; and provide a strong and experienced executive management team to establish department goals and priorities, oversee division operations, and interface with decision makers elsewhere in government and the general public.

<u>Actual</u> <u>Requirements</u> <u>2005-06</u>	<u>Actual</u> <u>FTEs</u> <u>2005-06</u>
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\$4,482,414	37.250
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Office of Policy and Planning - Work with divisions and offices to develop effective policies, plans and procedures; analyze work processes and recommend improvements; and facilitate performance reviews of programs and services to achieve continuous improvement.

\$461,255	7.000
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Housing and Homeless Programs - Expand the supply of affordable housing options for persons with disabilities and improve collaboration with other components of the housing system to better serve persons with disabilities; and provide programs and services for homeless persons to ensure that homelessness is prevented whenever possible, and provide technical assistance and support to local communities and counties.

\$1,598,441	2.000
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Actual Totals

\$6,542,110	46.250
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Measures for the fund

	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Federal funding for the Homeless program (dollars)	\$149,550	\$261,098	\$223,581
Communities/County endorsed End Homelessness Plan	8	11	12
Persons with disabilities living in Housing Credit units	-	40	307

Fund 14410-1011 Administration and Support — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$8,422,858	\$4,991,014	\$5,285,807	\$91,968	\$5,377,775	\$102,207	\$5,388,014
Receipts	\$5,674,480	\$988,278	\$994,254	\$11,594	\$1,005,848	\$11,977	\$1,006,231
Appropriation	\$2,748,378	\$4,002,736	\$4,291,553	\$80,374	\$4,371,927	\$90,230	\$4,381,783
Positions	47.000	46.000	49.000	-	49.000	-	49.000

Fund description

The purpose of this fund is to provide fiscal and program analyses and evaluations, coordination of capital projects, property control, and purchase and contract management to ensure services are administered in an efficient and effective manner. Included in this fund are activities of the Division of Budget and Analysis, Division of Procurement and Contract Services, Office of Internal Audit, and Office of Property and Construction.

Services for the fund

	Actual Requirements 2005-06	Actual FTEs 2005-06
Budget and Analysis - Provide leadership and support through the development, execution and modification of the department's operating budget; the research and analysis of issues that impact the department's budgets, plans and programs; and development of innovative solutions to challenging problems.	\$1,673,258	17.000
Procurement and contract - Conduct training, guidance, and operational assistance to all of the department agencies through effective procurement and contract practices while maintaining compliance with applicable regulations.	\$702,009	11.000
Internal Audit - Communicate to the department's management with independent audits and analysis of various functions and programs within the department.	\$647,832	9.000
Property and Construction - Provide efficient facility services for all areas of the department, with special emphasis on those services that enhance the quality of care for clients of all DHHS divisions/facilities/schools; and ensure work space conducive to the success of its employees in providing client services.	\$766,844	10.000
Reimburse contractor for work to achieve revenue enhancements and cost containment throughout the department.	\$3,807,915	-
Disburse special appropriations.	\$825,000	-
Actual Totals	\$8,422,858	47.000

Measures for the fund

	2003-04	2004-05	2005-06
Contracts issued	3,128	3,187	3,306
Capital projects managed	-	91	136
Value of capital projects (\$ million)	-	\$78	\$220

Fund 14410-1012 Controller's Office — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$16,987,936	\$17,454,984	\$17,454,984	\$607,492	\$18,062,476	\$628,260	\$18,083,244
Receipts	\$6,295,214	\$5,281,014	\$5,281,014	\$4,017	\$5,285,031	\$5,478	\$5,286,492
Appropriation	\$10,692,722	\$12,173,970	\$12,173,970	\$603,475	\$12,777,445	\$622,782	\$12,796,752
Positions	296.000	295.000	295.000	-	295.000	-	295.000

Fund description

The purpose of this fund is to perform all accounting and financial reporting functions for the divisions and institutions within the department and to provide accountability for the resources appropriated to the department via the establishment of accounting, internal control, rate setting and cash management policies.

Services for the fund	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
General Accounting and Financial Management - Provide accounts payable and related financial management functions for each division/institution within the department.	\$5,125,773	123.000
Accounts Receivable - Perform accounts receivable functions by securing payment from third party payers; record and track accounts receivable; and processing and depositing cash, checks, and electronic funds for all division's within the department.	\$2,453,616	49.000
Cost Accounting/Financial Reporting - Design, implement, and maintain cost allocation systems for the DHHS, which includes managing the monthly cost allocation process; develop, update, and submit a various formal cost allocation plans and indirect cost rate proposals to the Division of Cost Allocation in Washington, DC, for approval; and perform comprehensive financial reporting for over 264 federal grants awarded to the department.	\$2,647,992	45.000
Program/Benefit Payments - Conduct accounting functions related to funding of local government agencies and nonprofit entities that receive state and federal funding from the department.	\$3,594,465	70.000
Administration and Support - Perform administrative and support functions to the division staff.	\$3,166,090	9.000
Actual Totals	\$16,987,936	296.000

Measures for the fund

	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Federal expenditures reporting (\$ million)	\$7,639	\$8,380	\$8,369
Audit with findings	12	8	-

Fund 14410-1013 Office Medicaid Management Information System — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$16,310,498	\$24,076,948	\$24,085,036	(\$23,285,344)	\$799,692	(\$23,285,344)	\$799,692
Receipts	\$16,018,032	\$23,893,616	\$23,901,704	(\$23,285,344)	\$616,360	(\$23,285,344)	\$616,360
Appropriation	\$292,466	\$183,332	\$183,332	\$0	\$183,332	\$0	\$183,332
Positions	70.000	8.000	8.000	-	8.000	-	8.000

Fund description

The purpose of this fund is to implement a new system that will continue to support the N.C. Medicaid Management Information System for the Medicaid health insurance program administered by the Division of Medical Assistance for all state Medicaid claims; multiple benefit programs designated by the state and administered by the Division of Mental Health, Developmental Disabilities, and Substance Abuse Services; certification of provider services overseen by the Division of Facility Services, and the Purchase of Medical Care System (POMCS) for the Division of Public Health.

Services for the fund	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
Support vendor payments for development of the new Medicaid Management Information System (MMIS).	\$9,718,494	-
Project management and support for development of the new MMIS.	\$6,592,004	70.000
Actual Totals	\$16,310,498	70.000

Fund 14410-1030 Citizen Services — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$925,304	\$857,338	\$927,938	\$53,626	\$981,564	\$54,578	\$982,516
Receipts	\$319,867	\$258,955	\$276,871	\$15,187	\$292,058	\$15,428	\$292,299
Appropriation	\$605,437	\$598,383	\$651,067	\$38,439	\$689,506	\$39,150	\$690,217
Positions	17.000	16.000	17.000	-	17.000	-	17.000

Fund description

This program guides citizens through the human service delivery system by providing information and referral to the proper department or agency and provides problem resolution for concerns or complaints via the ombudsman component. The Ombudsman responds to requests referred to the office by the Governor's Office, the Secretary of Health and Human Services, and other officials to investigate complaints or concerns relating to programs and services of the Department of Health and Human Services. Citizen Services staff effectively respond to requests received through the toll-free information and referral service known as the CARE-LINE for information on and/or referral to human services programs and organizations in government, non-profit agencies and support groups. The Office of Citizen Services assists agencies and organizations across the state that are interested in developing or enhancing information and referral operations by providing technical assistance and consultation, and educates the general public and agency personnel on information and referral services. This program provides statistical information on calls and ombudsman cases to the DHHS divisions for program evaluation and agency planning. The office also serves as the communications liaison in the Emergency Operations Center on behalf of the Secretary and DHHS.

Fund 14410-1110 NC Council on Developmental Disabilities — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$2,993,219	\$1,191,602	\$1,191,602	\$1,003	\$1,192,605	\$1,003	\$1,192,605
Receipts	\$2,878,226	\$1,072,619	\$1,072,619	\$0	\$1,072,619	\$0	\$1,072,619
Appropriation	\$114,993	\$118,983	\$118,983	\$1,003	\$119,986	\$1,003	\$119,986
Positions	11.000	11.000	11.000	-	11.000	-	11.000

Fund description

The purpose of the N.C. Council on Developmental Disabilities is to promote access to and participation in the design of culturally competent community services, individualized supports, and other forms of assistance and opportunities that enhance self-determination, independence, productivity, and integration and inclusion into the community for individuals with developmental disabilities and their families. This is done through conducting systemic change, capacity building, and advocacy activities, that are consistent with a participant and family-centered, comprehensive system, and coordinated array of services, supports and other assistance, in the areas of (1) employment; (2) community living; (3) prevention and child development; (4) self-determination; (5) health care; (6) recreation; (7) transportation; (8) education; (9) housing; and (10) system coordination and community education.

Services for the fund	<u>Actual Requirements</u> <u>2005-06</u>	<u>Actual FTEs</u> <u>2005-06</u>
Fund community service partners that conduct activities across the state that advance innovative, cost-effective ways of providing services by advocating changes to make North Carolina communities more welcoming to and supportive of people with disabilities.	\$2,993,219	11.000
Actual Totals	\$2,993,219	11.000

Measures for the fund

	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
People active in advocacy about employment	57	108	-
People active in advocacy about health	2,732	8,036	-
People active in advocacy about formal/informal community supports	270	1,292	-

Fund 14410-1210 Human Resources — Base Budget

	<u>2005-06 Actual</u>	<u>2006-07 Certified</u>	<u>2006-07 Authorized</u>	<u>2007-08 Adjustments</u>	<u>2007-08 Total</u>	<u>2008-09 Adjustments</u>	<u>2008-09 Total</u>
Requirements	\$2,716,750	\$2,696,704	\$2,696,704	\$44,256	\$2,740,960	\$55,354	\$2,752,058
Receipts	\$610,416	\$290,045	\$290,045	\$1,582	\$291,627	\$2,017	\$292,062
Appropriation	\$2,106,334	\$2,406,659	\$2,406,659	\$42,674	\$2,449,333	\$53,337	\$2,459,996
Positions	35.000	35.000	35.000	-	35.000	-	35.000

Fund description

The Division of Human Resources' mission is to provide the highest principles of professional human resources administration to the Department of Health and Human Services. Staff throughout the division serves as management consultants and employee advocates in support of the department's mission and goals. The purpose of this fund is to provide consultative, regulatory and monitoring services to over 19,000 employees and managers of the 14 divisions/offices and 18 institutions that comprise the Department of Health and Human Services through the broad functional areas of Classification and Pay, Employee and Management Development, Employee Relations, Employee Safety and Health, Recruitment Services, and Work/Life and Benefits Services.

Services for the fund	<u>Actual Requirements</u> <u>2005-06</u>	<u>Actual FTEs</u> <u>2005-06</u>
Classification and Compensation - Administer the State of North Carolina classification and pay plan for all positions in the department and maintain compliance with the Fair Labor Standards Act.	\$513,150	9.000

Employee and Management Development - Provide consulting services in training development, needs assessment and curriculum planning while overseeing training and staff development for the department.	\$423,009	4.000
Employee Relations - Oversee the department's grievance process, performance rating dispute process, unemployment insurance program and manage the resolution of employee appeals filed with the Office of Administrative Hearings, the State Personnel Commission and the courts.	\$393,265	4.000
Safety and Benefits Services - Administer and coordinate safety and health related programs for the department to ensure compliance with federal, state, and department regulations to maintain safe work environments for employees and clients.	\$221,744	4.000
Recruitment Services - Coordinate and facilitate recruitment activities throughout the department to ensure fair employment practices.	\$202,877	3.000
Human Resources for CMS - Provide human resource management for the Division of Central Management and Support.	\$218,408	4.000
Personnel Policies and Administration - Provide interpretation and consultation in the development and application of personnel policies and employee benefit programs; and perform administrative functions and support to the division.	\$744,297	7.000
Actual Totals	\$2,716,750	35.000

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Employees receiving supervisory and management training	295	400	360
Applicants evaluated for potential employment	96,000	98,000	95,500
Classification determinations made for the establishment of new and/or reallocation of existing positions	1,134	1,015	1,422

Fund 14410-1310 Office of Economic Opportunity — Base Budget

	2005-06	2006-07	2006-07	2007-08	2007-08	2008-09	2008-09
	Actual	Certified	Authorized	Adjustments	Total	Adjustments	Total
Requirements	\$18,486,062	\$19,329,918	\$19,329,918	\$2,604	\$19,332,522	\$3,009	\$19,332,927
Receipts	\$18,472,066	\$19,257,328	\$19,257,328	\$0	\$19,257,328	\$0	\$19,257,328
Appropriation	\$13,996	\$72,590	\$72,590	\$2,604	\$75,194	\$3,009	\$75,599
Positions	11.000	11.000	11.000	-	11.000	-	11.000

Fund description

The Office of Economic Opportunity administers federal and state programs that provide funds to local agencies to raise families, including homeless persons, out of poverty. Funds from the major grant programs (Community Services Block Grant and Emergency Shelter Grants) are used in local communities to carry out a wide range of strategies, based on local needs, which are designed to lead families to self-sufficiency.

Services for the fund	<u>Actual Requirements</u> <u>2005-06</u>	<u>Actual FTEs</u> <u>2005-06</u>
Develop innovative approaches to assist in meeting the nutritional needs of low-income individuals by identifying potential sponsors of nutrition programs that result in the initiation of new nutritional programs in under- or un-served areas.	\$123,736	-
Provide funds to Community Action Agencies and other community-based organizations for the delivery of programs and services designed to assist low-income families acquire the resources and skills necessary to achieve economic self-sufficiency.	\$15,589,903	7.000
Provide funds to statewide organizations for the operation of information and referral services to low-income seniors to facilitate their participation in public benefit programs.	\$54,128	-
Provide grants to local homeless assistance agencies for the operation of emergency facilities that provide shelter for homeless individuals and families.	\$2,357,752	1.000
Perform administrative functions and support.	\$360,543	3.000
Actual Totals	\$18,486,062	11.000

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Individuals provided shelter per year	44,038	45,031	46,302
Participants obtaining employment	1,456	1,407	1,320
Participants provided emergency assistance	3,020	2,365	2,423

Fund 14410-1311 Residential Energy Conservation Assistance Program — Base Budget

	<u>2005-06</u> <u>Actual</u>	<u>2006-07</u> <u>Certified</u>	<u>2006-07</u> <u>Authorized</u>	<u>2007-08</u> <u>Adjustments</u>	<u>2007-08</u> <u>Total</u>	<u>2008-09</u> <u>Adjustments</u>	<u>2008-09</u> <u>Total</u>
Requirements	\$12,318,963	\$18,643,929	\$18,643,929	\$0	\$18,643,929	\$0	\$18,643,929
Receipts	\$12,318,963	\$18,643,929	\$18,643,929	\$0	\$18,643,929	\$0	\$18,643,929
Appropriation	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Positions	5.000	5.000	5.000	-	5.000	-	5.000

Fund description

The Residential Energy Efficiency Services helps reduce energy costs for low income families through energy education, the installation of weatherization measures and the repair and replacement of inefficient heating and cooling systems while addressing health and safety hazards.

Services for the fund	<u>Actual Requirements</u> <u>2005-06</u>	<u>Actual FTEs</u> <u>2005-06</u>
Provide funds to local weatherization service providers to perform energy education and to install energy efficiency measures in the homes of low-income families to reduce energy usage and costs.	\$10,595,642	5.000
Provide funds to local weatherization assistance agencies to repair and replace heating and air systems in dwellings occupied by low-income families to reduce energy costs and to improve health and safety conditions.	\$1,723,321	-
Actual Totals	\$12,318,963	5.000

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Heating and/ or air systems repaired and/or replaced	1,551	1,297	1,033
Dwellings weatherized	3,930	3,696	3,456

Fund 14410-1410 Information Systems Services — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$47,587,685	\$52,094,662	\$52,122,301	\$4,553,818	\$56,676,119	\$7,420,787	\$59,543,088
Receipts	\$36,696,224	\$33,415,182	\$33,389,273	\$575,311	\$33,964,584	\$837,167	\$34,226,440
Appropriation	\$10,891,461	\$18,679,480	\$18,733,028	\$3,978,507	\$22,711,535	\$6,583,620	\$25,316,648
Positions	208.000	197.000	197.000	-	197.000	-	197.000

Fund description

The Division of Information Resource Management (DIRM) supports the business functions of the Department of Health and Human Services by developing, modifying, transferring, maintaining, and supporting automated systems; telecommunications and network design and management support; computer hardware planning and installation support; operational support including printing and distributing computer output; and technical assistance with acquisitions of computer hardware and software. DIRM also researches and analyzes existing federal, state, and departmental Information Resource Management (IRM) policies; develops recommendations for new IRM policies; and monitors the implementation of IRM policies adopted by the department; coordinates and supports IRM planning, quality assurance, and information resource security processes of the department.

Services for the fund

	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
Payments for information technology and other related data services on behalf of divisions.	\$22,188,299	-
Reimburse contractor and vendor contractual costs to support DHHS business functions.	\$12,909,182	-
Provide state staffing and other operational costs.	\$12,490,204	208.000
Actual Totals	\$47,587,685	208.000

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Legacy information technology applications managed	107	116	122

Fund 14410-1411 DIRM - Planning and Development — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$11,067,827	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	\$9,564,702	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	\$1,503,125	\$0	\$0	\$0	\$0	\$0	\$0
Positions	25.000	-	-	-	-	-	-

Fund description

This fund was established to create a method of capturing and reporting the planning and development expense for automation initiatives. This separation from the Division of Information Resource Management (DIRM) routine applications will assist with federal reporting as well as information prepared for Information Technology Services (ITS). Projects include applications development efforts as well as infrastructure projects for the Department of Health and Human Services.

Services for the fund	<u>Actual Requirements</u> <u>2005-06</u>	<u>Actual FTEs</u> <u>2005-06</u>
Develop and expend funds for North Carolina Families Accessing Services Through Technology (NC FAST) to use technology to enable county DSS workers to spend less time on administrative tasks and more time assisting families.	\$5,374,002	25.000
Develop and expend funds for the Health Information System (HIS) to capture, monitor, report and bill public health services.	\$432,125	-
Develop and expend funds for the Security Project to protect the security and privacy of information (particularly health information) maintained by DHHS.	\$837,445	-
Develop and expend funds for the Immunization Registry to maintain and provide timely access to complete and relevant immunization data.	\$1,400,234	-
Develop and expend funds for the Central Regional Psychiatric Hospital Automation Project (CHAPS) to implement an integrated network infrastructure for the new central psychiatric hospital.	\$979,907	-
All other expenditures.	\$2,044,114	-
Actual Totals	\$11,067,827	25.000

Measures for the fund

	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Information technology development projects managed	2	10	11

Fund 14410-1510 Office of Research Demonstration/Rural Health Dev. — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$58,960,450	\$20,500,435	\$20,500,435	(\$6,439,727)	\$14,060,708	(\$6,438,158)	\$14,062,277
Receipts	\$47,646,951	\$4,649,582	\$4,649,582	\$1,868	\$4,651,450	\$1,917	\$4,651,499
Appropriation	\$11,313,499	\$15,850,853	\$15,850,853	(\$6,441,595)	\$9,409,258	(\$6,440,075)	\$9,410,778
Positions	42.000	35.000	35.000	-	35.000	-	35.000

Fund description

The purpose of the Office of Research, Demonstrations, and Rural Health Development is to make quality primary medical and dental care available and accessible to residents in North Carolina's rural communities, particularly the uninsured and medically-indigent, by assisting local leadership to identify appropriate resources, by recruiting physicians and dentists, and by developing primary care systems and centers. The office designs and tests innovative health care delivery strategies for Medicaid and other indigent residents to bring about improvement in health status, reduction of health disparities, and improvement in cost effectiveness.

Assistance is provided to migrant and seasonal farm workers in obtaining needed services, to small rural hospitals in network development, and to communities in developing prescription drug assistance programs.

Services for the fund	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
Community Care of North Carolina - Build community health networks by facilitating a community based provider organization that promotes long-term quality, cost, access, and utilization objectives in the management of care for Medicaid recipients.	\$1,614,729	7.000
Community Health Grants - Provide targeted grant funding to community providers to increase access to care for uninsured and medically indigent patients at existing facilities, develop new service delivery sites, and create new or expand existing services provided to uninsured and medically indigent patients including medical services, dental services, pharmacy, and mental/behavioral health.	\$1,999,147	-
Medical and Dental Placement - Recruit medical and dental providers by conducting interviews, matching candidates, and providing incentives in order to promote access the health care in underserved communities.	\$3,064,201	2.000
N.C. Farm Worker Health - Provide increased access to primary preventive health care services through a network of service providers for migrant and seasonal farm workers to improve the health of these individuals and their families.	\$2,392,665	5.000
Prescription Assistance - Provides prescription drug assistance to uninsured, low-income senior patients through sites across the state that are issued software, known as the Medicaid Access and Review Program (MARF), to make access to prescription drugs through pharmaceutical manufacturers indigent drug programs easier through enrollment of senior residents in Medicare Part D.	\$40,754,754	6.000
Rural Health Centers - Assist local communities in establishing and operating a community-owned center by providing technical assistance, operational and capital funding and indigent care funding through the Medical Assistance Plan (MAP) which enables the centers to provide primary care to rural residents who face geographic and economic barriers to receiving care.	\$4,903,963	17.000
Rural Hospital Assistance - Support to rural hospitals that are the most financially vulnerable through grants aimed at covering costs allowing the treatment of a higher percentage of medically indigent and uninsured patients.	\$3,631,806	2.000
Perform administrative functions and support to the division programs.	\$599,185	3.000
Actual Totals	\$58,960,450	42.000

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Counties participating in Community Care of NC	75	92	99
Uninsured and indigent individuals served through Community Health Grants	-	-	95,840
Requests for free or reduced-cost prescription drugs	15,580	179,043	519,103

Fund 14410-1710 Office of School Readiness — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$63,705,501	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	\$170,458	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	\$63,535,043	\$0	\$0	\$0	\$0	\$0	\$0
Positions	22.000	-	-	-	-	-	-

Fund description

The purpose of the Office of School Readiness is to administer the More at Four Pre-K Program high quality educational pre-kindergarten classrooms to prepare at-risk four-year-olds to succeed in school. These funds must be combined with other funding and resources to establish these classrooms in all counties. Eligibility is based on family income and other at-risk factors. The first priority is to serve children who have never received child care or are currently unserved. Secondary priorities are to serve children eligible for subsidy but not receiving it and children who are in facilities that do not meet the More at Four standards. The More at Four program was moved to the Department of Public Instruction effective July 1, 2006.

Fund 14410-1810 Revenue Clearing Account — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	(\$148,917)	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The Revenue Clearing Fund records accounting transactions that detail by funding source the revenue due from federal and other funding agencies as a result of expenditures that earned those dollars in the operating funds of the agency. Actual receipts received from funding agencies are also recorded in this fund. The Revenue Clearing Fund balance indicates the current status of the agency's accounts receivable for the funding sources reflected in the clearing account at any point in the fiscal year.

Fund 14410-1910 Reserves and Transfers — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$0	(\$6,414,583)	(\$6,414,583)	\$6,414,583	\$0	\$6,414,583	\$0
Receipts	\$3,000,000	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	(\$3,000,000)	(\$6,414,583)	(\$6,414,583)	\$6,414,583	\$0	\$6,414,583	\$0
Positions	-	-	-	-	-	-	-

Fund description

This Fund is used to record budgetary reserves and non-operating transfers.

Fund 14410-1991 Indirect Cost - Reserve — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$158,116	\$116,418	\$116,418	\$0	\$116,418	\$0	\$116,418
Receipts	\$259,246	\$116,418	\$116,418	\$0	\$116,418	\$0	\$116,418
Appropriation	(\$101,130)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The purpose of this fund is to account for funds earned for indirect costs from federal funding sources. The rate or amount of indirect cost that may be earned is negotiated each year with the federal DHHS Division of Cost Allocation based upon prior year statewide and departmental overhead costs.

Fund 14410-1992 Prior Year Earned Revenue — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$2,466,506	\$565,120	\$565,120	\$0	\$565,120	\$0	\$565,120
Receipts	\$2,471,886	\$565,120	\$565,120	\$0	\$565,120	\$0	\$565,120
Appropriation	(\$5,380)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This fund is used to record revenue received in the current fiscal year, primarily from federal funding sources, that was earned in a prior fiscal year.

Fund 14410-1993 Prior Years Audits and Adjustments — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$2,454,069	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	\$3,494,526	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	(\$1,040,457)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This fund is used to record adjustments to expenditures or receipts for activities or transactions that occurred in a prior fiscal year. Adjustments tracked in this fund include paybacks from subgrantees as a result of audits of prior year operations.

Fund 14410-1995 Reserve for Automation — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$15,576,322	\$12,434,966	\$12,434,966	(\$6,000,000)	\$6,434,966	(\$6,000,000)	\$6,434,966
Receipts	\$6,026,080	\$1,800,000	\$1,800,000	\$0	\$1,800,000	\$0	\$1,800,000
Appropriation	\$9,550,242	\$10,634,966	\$10,634,966	(\$6,000,000)	\$4,634,966	(\$6,000,000)	\$4,634,966
Positions	-	-	-	-	-	-	-

Fund description

This fund records the budget and expenditures associated with new development and enhancements of approved Information Technology Services (ITS) systems. The information is used for generating the reporting information required by the federal and state government to obtain federal funding and to make management decisions.

Division of Aging and Adult Services

Mission

Promote the independence and enhance the dignity of North Carolina's older adults, persons with disabilities, and their families through a community based system of opportunities, services, benefits, and protections that offer choice, and to help ready younger generations to make the most of their later years.

Goals

Increase older adults' choice of living arrangements and promote independence by providing access to in-home and community based services to older adults and their caregivers with emphasis on serving socially and economically needy seniors.

Engaging community partners (faith, health/human service, and business communities) in raising awareness, providing services, and affecting policies to support family caregivers so caregivers of older adults and older caregivers of minor grandchildren have the resources they need to continue their caregiving role.

Promote and protect the health, safety, welfare, and rights of all long term care residents through advocacy and increased access to services.

Assist communities in providing comprehensive and relevant support services to families and professional caregivers serving residents with Alzheimer's disease and related disorders.

Assist persons 55 and older avoid preventable declines in health status and independent functioning and promote healthy lifestyles and overall wellness resulting ultimately in a better quality of life through health promotion education and screening programs, as well as sponsorship of the Senior Games for persons 55 years of age and older.

Enhance access to information and legal assistance to seniors who otherwise would not have the resources to acquire needed information or legal assistance.

Provide useful community services that foster individual economic self-sufficiency through training and job placement for low income persons 55 years of age and older.

Support senior center operations and programs and construct, renovate, or maintain senior center facilities. The centers provide activities and services such as transportation and congregate meals that enhance the quality of life for older adults.

Governor's Recommended Adjustments to Base Budget

Division of Aging and Adult Services (14411)

Recommended General Fund Budget and Positions

	<u>2007-08</u>	<u>2008-09</u>
Base Budget		
Requirements	\$83,374,137	\$83,378,152
Receipts	<u>\$48,730,548</u>	<u>\$48,732,973</u>
Appropriation	\$34,643,589	\$34,645,179
Adjustments		
Requirements	\$264,000	\$264,000
Receipts	=	=
Appropriation	\$264,000	\$264,000
Total		
Requirements	\$83,638,137	\$83,642,152
Receipts	<u>\$48,730,548</u>	<u>\$48,732,973</u>
Recommended Appropriation	<u>\$34,907,589</u>	<u>\$34,909,179</u>
<hr/>		
Positions		
Base Budget Positions	57.000	57.000
Reductions	-	-
Expansion	-	-
Recommended Positions	<u>57.000</u>	<u>57.000</u>

Appropriation Items -- Recommended Adjustments

Expansion

2007-08 2008-09

1. Pilot Funding for Quality Improvement Initiative in Adult Care Homes

The governor recommends funding to support the Quality Improvement Initiative as developed by the division and the Carolina Center for Medical Excellence. The initiative is a collaboration with the adult care home industry and will focus on medication management. The pilot will include between 80 and 100 facilities in Alamance, Buncombe, Nash, and Rutherford Counties.

Appropriation \$264,000 \$264,000

Total Recommended Expansion

2007-08 2008-09

Recurring

Requirements	\$264,000	\$264,000
Receipts	-	-
<hr/>		
Appropriation	\$264,000	\$264,000
Positions	-	-

Nonrecurring

Requirements	-	-
Receipts	-	-
<hr/>		
Appropriation	-	-
Positions	-	-

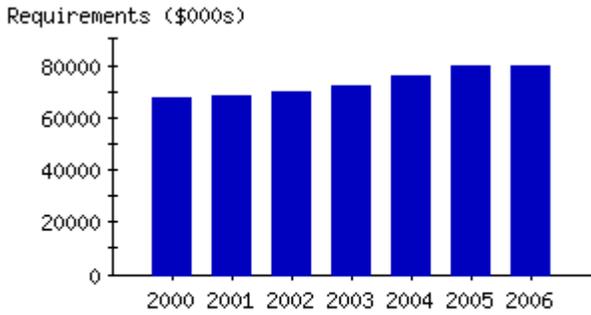
**Total Recommended Adjustments for
Division of Aging and Adult Services (14411)
2007-09**

	<u>2007-08</u>	<u>2008-09</u>
Recurring		
Requirements	\$264,000	\$264,000
Receipts	-	-
	\$264,000	\$264,000
Appropriation	\$264,000	\$264,000
Positions	-	-
Nonrecurring		
Requirements	-	-
Receipts	-	-
	-	-
Appropriation	-	-
Positions	-	-
Total Appropriation Adjustments	\$264,000	\$264,000
Total Position Adjustments	-	-

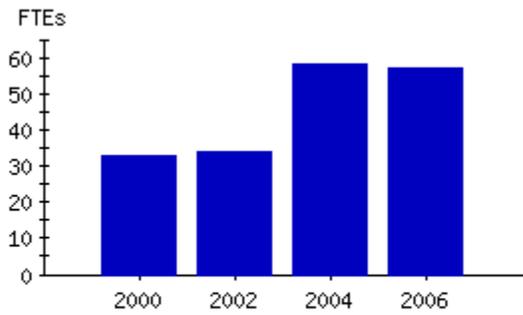
Base Budget and Results-Based Information

Budget Code 14411 DHHS - Division of Aging and Adult Services

**Actual Expenditures
by Fiscal Year**



**Actual Positions
by Fiscal Year**



2004 - Adult Services Section moved from Division of Social Services to Division of Aging, consistent the NC Long Term Plan.

Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$79,768,776	\$83,292,719	\$83,354,951	\$19,186	\$83,374,137	\$23,201	\$83,378,152
Receipts	\$49,796,065	\$48,723,365	\$48,723,365	\$7,183	\$48,730,548	\$9,608	\$48,732,973
Appropriation	\$29,972,711	\$34,569,354	\$34,631,586	\$12,003	\$34,643,589	\$13,593	\$34,645,179
Positions	57.000	56.000	57.000	-	57.000	-	57.000

Budget Code 14411 DHHS - Division of Aging and Adult Services

Fund 14411-1110 State Administration — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$2,386,422	\$2,480,236	\$2,480,236	\$2,203	\$2,482,439	\$2,203	\$2,482,439
Receipts	\$1,713,378	\$1,759,074	\$1,759,074	\$1,652	\$1,760,726	\$1,652	\$1,760,726
Appropriation	\$673,044	\$721,162	\$721,162	\$551	\$721,713	\$551	\$721,713
Positions	30.000	30.000	30.000	-	30.000	-	30.000

Fund description

These funds are used to provide administration for the Division of Aging and Adult Services. The division advocates for opportunities to enable older adults to remain active in their community, assists older adult citizens and/or their family caregivers by developing programs, fiscal policies, and guidelines for an array of social, health, employment support, and nutritional services funded under the Older Americans Act and with state funds. The division also provides technical assistance and training for Area Agencies on Aging and more than 440 local service providers, as well as conducts programs and disseminates information on topics of interest to current and future older adults and family caregivers. The division also provides services and benefits to older and disabled adults through the 100 county departments of social services. The division monitors for compliance with state and federal program and fiscal requirements. The division also provides leadership to facilitate local, regional, and state level strategic planning to meet the needs of well, moderately impaired, and frail older adults.

Services for the fund	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
State Administration, Director's Office - Develops policy in collaboration with the Department of Health and Human Services; manages federal, state, and local relations; and leads the management and administration of the division and the delivery of services to older and disabled adults.	\$537,928	2.000
Budget, Planning, and Systems Support - Manages the division's budget and information system, including allocation of federal and state funds, coordination of programmatic and fiscal monitoring, and coordination of the division's web site; responsible for the development of the State Aging Services Plan required by the NC General Assembly and the State's Title III plan required by the Older Americans Act.	\$778,564	12.000
Services Operations - Manages programs providing services of the Home and Community Care Block Grant, which include in-home services, transportation, nutrition, respite care, care management, housing and home improvements, and senior centers. Manages activities relevant to Alzheimer's disease and provides training and technical assistance to local service providers.	\$770,739	12.500
Elder Rights Administration - Administers programs under Title VII of the Older Americans Act including long term care ombudsman; prevention of elder abuse, neglect, and exploitation; and initiatives to prevent consumer fraud and promote consumer rights.	\$99,911	1.500
Senior Community Service Employment Program - Administer the program by application of annual grant, allocation of funds to regional participants, collect demographic data, train, and monitor programs.	\$95,700	1.500
Other Non-Recurring Grants - Distribute and monitor non-recurring grants which enable older adults to remain active in their community. The .5 FTE is paid by state funds and is a portion of the required non-federal match.	\$103,580	.500
Actual Totals	\$2,386,422	30.000

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Training workshops	5	5	8
Monitoring site visits	56	46	79

Fund 14411-1170 Adult Services — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$2,146,800	\$2,534,370	\$2,596,602	\$15,096	\$2,611,698	\$17,130	\$2,613,732
Receipts	\$1,464,724	\$1,700,087	\$1,700,087	\$3,927	\$1,704,014	\$4,668	\$1,704,755
Appropriation	\$682,076	\$834,283	\$896,515	\$11,169	\$907,684	\$12,462	\$908,977
Positions	23.000	22.000	23.000	-	23.000	-	23.000

Fund description

Adult Services Administration ensures that social services for the elderly and disabled adults and families, (e.g., Adult Protective Services, guardianship, at-risk case management) are developed, implemented, and administered accurately and consistently across the state, primarily through county departments of social services. Programs for adults are designed to prevent or delay institutional care; provide a continuum of community based services for elderly, disabled, and needy adults; and strengthen and support family based care. Administrative support includes the provision of training and technical assistance to service delivery agencies, as well as supervision and monitoring to ensure policy compliance and to strengthen quality of service. Costs of Adult Services programs are recorded in the Division of Social Services budget.

Services for the fund	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
UNC Center for Aging Research and Educational Services Training (UNC CARES) - Provide training through UNC CARES to county departments of social services to assist adult service workers and supervisors in effectively addressing the needs of disabled and elderly clients.	\$271,538	-
Adult Services Administration - Manages and administers adult service programs by providing training and technical support to providers and monitor programs to ensure policy compliance and quality of service.	\$1,875,262	23.000
Actual Totals	\$2,146,800	23.000

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Training sessions of effective Social Work Practice with Adults	4	3	3
Training sessions of Adult Services Supervisor's Training	4	6	6
Training sessions of Working with Clients with Serious Mental Illness	2	2	2

Fund 14411-1210 Community Based Services — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$72,668,130	\$75,166,414	\$75,166,414	\$0	\$75,166,414	\$0	\$75,166,414
Receipts	\$44,397,123	\$43,021,757	\$43,021,757	\$0	\$43,021,757	\$0	\$43,021,757
Appropriation	\$28,271,007	\$32,144,657	\$32,144,657	\$0	\$32,144,657	\$0	\$32,144,657
Positions	-	-	-	-	-	-	-

Fund description

This program assists North Carolina's older citizens and/or their family caregivers by funding Area Agencies on Aging which administer and monitor various home and community based services that: help frail older adults remain at home as long as possible; promote the health, wellness, and nutritional status of older adults; support the development and operation of senior centers; provide transportation assistance for medical, recreational, nutritional, and social reasons; and increase employment opportunities for older adults as well as promote educational, volunteer, and leisure opportunities for older adults. The Family Caregiver Support Program is also supported through this fund.

Services for the fund

	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
Provide funding for in-home and community based services to older adults and their caregivers, especially socially and economically needy seniors to promote independence and quality of life.	\$58,081,906	-
Provide funding to community based organizations to provide caregiver assistance and relief to adults caring for seniors and older caregivers of minor children.	\$3,847,424	-
Provide funding for employment and training services for persons 55 and over that have limited employment prospects.	\$2,088,345	-
Provide funding to public and non-profit senior centers to support programs and general operations; to construct, renovate, and maintain facilities; and to reach unserved and underserved elderly.	\$1,802,800	-
Provide recurring federal and state grant funds to various programs including the North Carolina Senior Games, Alzheimer's Support, Duke Family Support Program, and the Senior Farmers' Market Nutrition Program.	\$4,691,242	-
Provide funding to promote healthy living of seniors through community based activities on exercise, nutrition, medication management, accident prevention, immunizations, and health screenings.	\$637,865	-
Provide funding for community based legal services through legal service organizations and private attorneys to protect the rights of the elderly and resolve disputes.	\$436,720	-
Allocate funds from North Carolina utility companies to Area Agencies on Aging to purchase fans and air conditioning units for low income seniors whose health is threatened by heat.	\$118,000	-
Provide non-recurring grants to various programs including the Aging and Disability Resource Centers, UNC CARE for Alzheimer's support, Performance Outcomes Measures Project, Planning Grant for enhanced state and community based aging services planning.	\$583,328	-
Provide non-recurring funds for various community based organizations and local governments as directed by the General Assembly.	\$380,500	-
Actual Totals	\$72,668,130	-

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Clients receiving in-home services	10,515	10,691	10,236
Clients receiving congregate meals services	28,298	26,796	26,698
Clients receiving home delivered meals services	18,441	17,471	16,907

Fund 14411-1310 Elder Rights Program — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$2,496,916	\$3,078,196	\$3,078,196	\$1,887	\$3,080,083	\$3,868	\$3,082,064
Receipts	\$2,088,439	\$2,208,944	\$2,208,944	\$1,604	\$2,210,548	\$3,288	\$2,212,232
Appropriation	\$408,477	\$869,252	\$869,252	\$283	\$869,535	\$580	\$869,832
Positions	4.000	4.000	4.000	-	4.000	-	4.000

Fund description

The Elder Rights Program supports a network of long term care ombudsmen employed by Area Agencies on Aging who protect the health and well being of residents of long term care facilities through advocacy, education, and an informal grievance resolution process. The program also conducts initiatives aimed at the prevention of abuse, neglect and/or exploitation, and strengthens consumer protections through initiatives and programs established by the NC Senior Consumer Fraud Task Force. The Elder Rights Program helps older adults to access legal services, develops volunteer programming, and educates the public about long term care.

Services for the fund

The Long Term Care Ombudsman Program - Provide direct advocacy and access services through the regional network of ombudsmen to all long term care residents that protect their health, safety, welfare, and rights by responding and investigating complaints from, or on behalf of, long term care residents.

<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
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\$2,241,078 -

The Long Term Care Ombudsman Program - Provide program operation oversight, training and technical assistance to regional ombudsmen, and serve as their back-up in addressing complaints received by the program.

\$174,370 3.000

The Legal Services Developer - Provide leadership in developing legal assistance programs for persons 60 years of age and older and plays a key role in assisting in the development and the provision of a strong elder rights system. Provides oversight of the Older Americans Act Title III B legal assistance program and assures that at-risk older people have access to the civil justice system.

\$81,468 1.000

Actual Totals

\$2,496,916 4.000

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Long term care complaints investigated for or on behalf of clients	3,460	2,972	3,044
Long term care complaints resolved	2,976	2,364	2,401
Long term care technical assistance responses provided to consumers	15,409	16,385	16,683

Fund 14411-1810 Revenue Clearing — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	(\$35,787)	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The Revenue Clearing Fund records accounting transactions that detail by funding source the revenue due from federal and other funding agencies as a result of expenditures that earned those dollars in the operating funds of the agency. Actual receipts received from funding agencies are also recorded in this fund. The Revenue Clearing Fund balance indicates the current status of the agency's accounts receivable for the funding sources reflected in the Clearing Account at any point in the fiscal year.

Fund 14411-1991 Indirect Cost - Reserve — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	(\$601)	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The purpose of this fund is to account for funds earned for indirect costs from federal funding sources. The rate or amount of indirect cost that may be earned is negotiated each year with the federal Department of Health and Human Services, Division of Cost Allocation based upon prior year statewide and departmental overhead costs.

Fund 14411-1992 Prior Year Earned Revenue — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$118,993	\$33,503	\$33,503	\$0	\$33,503	\$0	\$33,503
Receipts	\$215,783	\$33,503	\$33,503	\$0	\$33,503	\$0	\$33,503
Appropriation	(\$96,790)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This fund is used to record revenue received in the current fiscal year, primarily from federal funding sources, which was earned in a prior fiscal year.

Fund 14411-1993 Prior Year Adjustments or Audit Exceptions — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	(\$48,485)	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	(\$46,994)	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	(\$1,491)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This fund is used to record adjustments to expenditures or receipts for activities or transactions that occurred in a prior fiscal year. Adjustments tracked in this fund include paybacks from subgrantees as a result of audits of prior year operations.

Division of Child Development

Mission

Build a stronger social and economic future for North Carolina by promoting high-quality early childhood experiences.

Goals

Increase access to high-quality child care for low-income families.

Ensure the safety of children in child care arrangements.

Increase the availability of high-quality child care in North Carolina.

Ensure that young children, birth to five, enter school healthy and ready to learn through Smart Start, an early childhood initiative.

Governor's Recommended Adjustments to Base Budget

Division of Child Development (14420)

Recommended General Fund Budget and Positions

	<u>2007-08</u>	<u>2008-09</u>
Base Budget		
Requirements	\$623,274,358	\$623,289,678
Receipts	<u>\$326,986,210</u>	<u>\$326,986,210</u>
Appropriation	\$296,288,148	\$296,303,468
Adjustments		
Requirements	\$9,627,995	\$9,636,458
Receipts	=	=
Appropriation	\$9,627,995	\$9,636,458
Total		
Requirements	\$632,902,353	\$632,926,136
Receipts	<u>\$326,986,210</u>	<u>\$326,986,210</u>
Recommended Appropriation	<u>\$305,916,143</u>	<u>\$305,939,926</u>
<hr/>		
Positions		
Base Budget Positions	293.750	293.750
Reductions	-	-
Expansion	<u>3.000</u>	<u>3.000</u>
Recommended Positions	<u>296.750</u>	<u>296.750</u>

Appropriation Items -- Recommended Adjustments

Expansion

	<u>2007-08</u>	<u>2008-09</u>
1. Child Care Subsidies		
<p>The governor recommends funds to reduce the child care subsidy waiting list. The funds will serve an additional 2,000 children, bringing the total number of children served each month to about 100,600.</p>		
Appropriation	\$8,400,000	\$8,400,000
2. T.E.A.C.H. Early Childhood Project Scholarships		
<p>The Governor recommends funds to maintain funding for 850 existing scholarships and funding to add 200 new scholarships. Scholarships are used to cover the cost of tuition, books, and travel for child care providers working on a credential or degree in early childhood education or child development.</p>		
Appropriation	\$1,100,000	\$1,100,000
3. Increase IT Support Capacity		
<p>The governor recommends funding one Technology Support Analyst in the division to provide ongoing maintenance of the databases in the Workforce Unit and provide backup to the regulatory database in the Regulatory Services Section. Position is effective October 1, 2007.</p>		
Requirements	\$50,146	\$66,861
Requirements - Nonrecurring	\$5,200	-
Receipts	-	-
Appropriation	\$55,346	\$66,861
Positions	1.000	1.000
4. Additional Staffing for the Criminal Records Check Unit		
<p>The governor recommends two Processing Assistant VI positions in the Criminal Records Check Unit to maintain current processing times. These two positions are currently time-limited using nonrecurring funds. Positions are effective July 1, 2007.</p>		
Requirements	\$69,597	\$69,597
Requirements - Nonrecurring	\$3,052	-
Receipts	-	-
Appropriation	\$72,649	\$69,597
Positions	2.000	2.000

Total Recommended Expansion

	<u>2007-08</u>	<u>2008-09</u>
Recurring		
Requirements	\$9,619,743	\$9,636,458
Receipts	-	-
	<hr/>	<hr/>
Appropriation	\$9,619,743	\$9,636,458
Positions	3.000	3.000
Nonrecurring		
Requirements	\$8,252	-
Receipts	-	-
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Appropriation	\$8,252	-
Positions	-	-

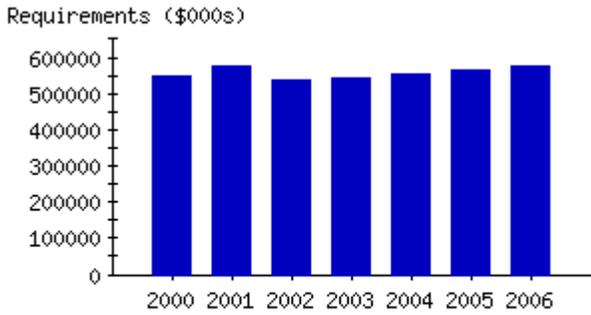
**Total Recommended Adjustments for
Division of Child Development (14420)
2007-09**

	<u>2007-08</u>	<u>2008-09</u>
Recurring		
Requirements	\$9,619,743	\$9,636,458
Receipts	-	-
	<hr/>	<hr/>
Appropriation	\$9,619,743	\$9,636,458
Positions	3.000	3.000
Nonrecurring		
Requirements	\$8,252	-
Receipts	-	-
	<hr/>	<hr/>
Appropriation	\$8,252	-
Positions	-	-
Total Appropriation Adjustments	\$9,627,995	\$9,636,458
Total Position Adjustments	3.000	3.000

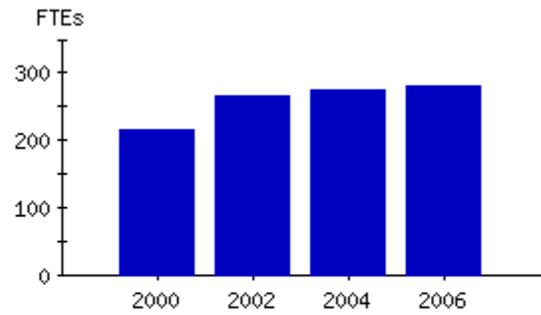
Base Budget and Results-Based Information

Budget Code 14420 DHHS - Division of Child Development

**Actual Expenditures
by Fiscal Year**



**Actual Positions
by Fiscal Year**



Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$578,362,477	\$624,110,724	\$624,113,078	(\$838,720)	\$623,274,358	(\$823,400)	\$623,289,678
Receipts	\$309,835,170	\$326,986,210	\$326,986,210	\$0	\$326,986,210	\$0	\$326,986,210
Appropriation	\$268,527,307	\$297,124,514	\$297,126,868	(\$838,720)	\$296,288,148	(\$823,400)	\$296,303,468
Positions	279.750	292.750	293.750	-	293.750	-	293.750

Budget Code 14420 DHHS - Division of Child Development

Fund 14420-1111 General Administration — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$18,445,611	\$22,114,921	\$22,117,275	\$161,280	\$22,278,555	\$176,600	\$22,293,875
Receipts	\$13,217,638	\$15,827,388	\$15,827,388	\$0	\$15,827,388	\$0	\$15,827,388
Appropriation	\$5,227,973	\$6,287,533	\$6,289,887	\$161,280	\$6,451,167	\$176,600	\$6,466,487
Positions	279.750	292.750	293.750	-	293.750	-	293.750

Fund description

The purpose of the General Administration Fund is to support child care and other early child development programs. These funds are used to provide administration for the Division of Child Development, inspect all child care centers and homes for compliance with applicable laws, investigate all reports of alleged abuse or neglect in child care centers and homes and take appropriate action to protect children and provide administration for the Subsidized Child Care Program.

Services for the fund

	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
License all child care centers and family child care homes in North Carolina, monitor child care arrangements for compliance with requirements, investigate complaints about child care arrangements, including reports of child abuse or neglect, and take appropriate action to assure a safe and healthy child care environment.	\$11,254,152	196.750
Administer the Subsidized Child Care Program which includes developing and issuing policy to local purchasing agencies, monitoring for compliance with program policies, and approving child care centers and family child care homes for participation in the Subsidized Child Care Program.	\$1,599,318	26.000
Coordinate the criminal record check process, submit records to the State Bureau of Investigation (SBI) and/or the Federal Bureau of Investigation, and provide information or make recommendations for employment for regulated child care employees, adoptive and foster parents, nursing homes direct access employees, family and adult care home employees, and mental health facility employees. Amount shown includes payments to the SBI for background checks.	\$882,141	11.000
Prepare and manage the division's budget, purchase equipment and supplies, and develop and monitor contracts and financial reports.	\$868,568	15.000
Approve required in-service training for child care providers, develop and maintain credential requirements for the North Carolina Early Childhood Credential/Family Child Care/Administration Credential Programs, and manage the contract for the Teacher Education and Compensation Helps (T.E.A.C.H.) Early Childhood Project to ensure a qualified and educated child care workforce.	\$728,412	13.000
Manage all personnel within the division, review research on early childhood development issues, respond to requests from the public, prepare and present information and data about activities within the agency, and ensure the effective coordination of services. Provide consumer education by making information about child care providers available on the division's Web site.	\$522,003	10.000
Design and support information systems to support policy and programmatic functions, produce reports that show child care trends and figures in the state, maintain all automated office support systems, and provide project management support to division initiatives.	\$314,799	4.000

Provide staff support to the North Carolina Interagency Coordinating Council to assure the coordination and availability of comprehensive services for children with special needs and their families. Provide leadership to local interagency coordinating councils that design and coordinate services for children with disabilities in each of the state's 100 counties. Amount shown includes funds for contracted activities.	\$211,762	2.000
Facilitate collaboration between the federal Head Start Bureau and providers at the local level for services for Head Start children and their families. Amount shown includes funds for contracted activities. This program was transferred to the Office of School Readiness in the Department of Public Instruction during state fiscal year 2006-07.	\$156,642	2.000
Transfer funds to other agencies and departments to cover expenses such as audits, NC flex benefits, civil penalties, sale of surplus property, and department initiatives.	\$1,907,813	-
Actual Totals	\$18,445,611	279.750

Measures for the fund

	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Monitoring and technical assistance visits to child care facilities performed by regulatory field staff	13,975	17,419	18,869
Abuse and/or neglect investigations completed	1,079	923	816
Percentage of child care centers (2-5 star license) with a 3 or higher in both education and program standards	29.5 %	40.0 %	49.0 %
Criminal record checks performed	22,500	28,520	32,248

Fund 14420-1190 Revenue Clearing — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	(\$136,523)	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The Revenue Clearing Fund records accounting transactions that detail by funding source the revenue due from federal and other funding agencies as a result of expenditures that earned those dollars in the operating funds of the agency. Actual receipts received from funding agencies are also recorded in this fund. The Revenue Clearing Fund balance indicates the current status of the agency's accounts receivable for the funding sources reflected in the clearing account at any point in the fiscal year.

Fund 14420-1711 Early Childhood Initiative — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$190,109,893	\$203,687,096	\$203,687,096	\$0	\$203,687,096	\$0	\$203,687,096
Receipts	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	\$190,109,893	\$203,687,096	\$203,687,096	\$0	\$203,687,096	\$0	\$203,687,096
Positions	-	-	-	-	-	-	-

Fund description

The purpose of Smart Start is to support local and statewide initiatives by providing quality early childhood education programs and support services available to all children, birth through age five, and their families. Established in 1993 by the North Carolina General Assembly, the North Carolina Partnership for Children provides statewide oversight of local Smart Start programs to improve the quality, availability, and affordability of high-quality child care and early childhood education; to increase access to children's health services; and to provide family support to ensure that all children, birth to five, enter school healthy and ready to succeed.

Services for the fund	<u>Actual Requirements</u> 2005-06	<u>Actual FTEs</u> 2005-06
Contract with the North Carolina Partnership for Children to provide financial support to 80 local Smart Start organizations for early childhood initiatives that improve the quality, availability, and affordability of early childhood education; increase access to children's health services; and provide family support services.	\$114,112,137	-
Provide funding for Smart Start funded subsidized child care services to assist with the purchase of child care for eligible families in need. Of the amount shown, \$5,613,544 was used by local purchasing agencies to offset the cost of eligibility determination.	\$60,943,300	-
Contract with Child Care Services Association to manage the Child Care WAGES project that provides annual salary supplements to child care workers who obtain post-secondary child development education. This contract is supported with Smart Start funds (\$9,388,821) for salary supplements and federal funds (\$1,500,964, budgeted in fund 1811) for administration and overhead.	\$9,388,821	-
Contract with the North Carolina Partnership for Children to provide administrative oversight of local Smart Start organizations by approving local plans, tracking performance standards, providing training and technical assistance, collecting data, and monitoring to ascertain that outcomes are being achieved.	\$5,665,635	-
Actual Totals	\$190,109,893	-

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Children receiving Smart Start subsidized child care services	53,295	47,572	51,048
Child care teachers receiving professional development supplements, including WAGES supplements ¹	8,115	16,473	13,858
Percentage of children receiving Smart Start subsidized child care services that are enrolled in 4-5 star-rated child care centers	52 %	55 %	62 %

¹Includes participants receiving Smart Start salary supplements outside the WAGES program.

Fund 14420-1811 Child Development Services — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$367,760,267	\$398,308,707	\$398,308,707	(\$1,000,000)	\$397,308,707	(\$1,000,000)	\$397,308,707
Receipts	\$294,706,783	\$311,158,822	\$311,158,822	\$0	\$311,158,822	\$0	\$311,158,822
Appropriation	\$73,053,484	\$87,149,885	\$87,149,885	(\$1,000,000)	\$86,149,885	(\$1,000,000)	\$86,149,885
Positions	-	-	-	-	-	-	-

Fund description

The purpose of the Child Development Services Fund is to support subsidized child care and special initiatives to improve the quality and availability of the services provided. To carry out this responsibility, these funds are used to subsidize the cost of child care for eligible children to enable their parents to work or receive training and to enhance the development of children. Funds are also used to improve child care by promoting training and increased compensation for caregivers, providing loans or grants to child care providers to improve and expand services, promoting the development of child care resource and referral programs to assist families with locating high-quality child care, and supporting the implementation of the star-rated child care licensing system.

Services for the fund

	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
Provide financial reimbursement to county departments of social services and other local purchasing agencies for monthly expenditures for subsidized child care to enable low-income children access to safe, high-quality child care. Of the amount shown, \$503,966 was used by local purchasing agencies to offset the cost of eligibility determination.	\$341,201,876	-
Contract with Child Care Resource and Referral Agencies (CCR&R) across the state to provide families with a point of access for receiving assistance in identifying options and making informed decisions regarding child care choices, and matching families' needs to available child care resources. CCR&R also provides services to child care providers that target specific needs such as infant/toddler care, preschool care, school-age care, and children's challenging behaviors while in care.	\$11,499,224	-
Contract with Child Care Services Association (CCSA) to administer the T.E.A.C.H Early Childhood Project, a statewide early childhood education scholarship program that provides comprehensive scholarships for child care providers that help pay the cost of tuition, books, and travel while working on a credential or degree in early childhood education or child development.	\$4,618,604	-
Contract with CCSA to administer the T.E.A.C.H. Early Childhood Health Insurance Program, a program that reimburses a portion of the cost of health insurance in child care facilities that have all highly educated staff or participate in the T.E.A.C.H Early Childhood Project.	\$3,291,896	-
Contract with universities, community colleges, and private non-profit organizations to establish and/or provide services that ensure all families with typically developing and special needs children, ages 0-12, have a choice of high-quality child care available statewide.	\$2,977,531	-
Contract with the University of North Carolina, Greensboro to manage a staff of qualified rating scale assessors to conduct Environmental Rating Scale assessments of child care facilities and More at Four programs statewide.	\$2,670,172	-
Contract with CCSA to manage the Child Care WAGE\$ project that provides annual salary supplements to child care workers who obtain post-secondary education related to child development and remain in their jobs for a specified length of time. This contract is supported with Smart Start and federal funds. Amount listed represents only the federal funds, which is for administration and overhead of the program.	\$1,500,964	-
Actual Totals	\$367,760,267	-

Measures for the fund

	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Children receiving non Smart Start funded subsidized child care services	156,534	155,339	149,946
Percentage of children potentially eligible for subsidized child care services who actually receive services	43 %	43 %	42 %
T.E.A.C.H. Early Childhood Project participants receiving increased compensation as a result of increased education	3,802	4,387	4,470
Percentage of total children enrolled in child care facilities statewide that are enrolled in high-quality (3, 4, or 5 star license) facilities	75 %	78 %	79 %

Fund 14420-1992 Prior Year Earned Revenue — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$528,591	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	\$528,592	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	(\$1)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This fund is used to record revenue received in the current fiscal year, primarily from federal funding sources, that was earned in a prior fiscal year.

Fund 14420-1993 Prior Year Unearned Revenue — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$1,518,115	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	\$1,518,680	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	(\$565)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This fund is used to record adjustments to expenditures or receipts for activities or transactions that occurred in a prior fiscal year. Adjustments tracked in this fund include paybacks from subgrantees as a result of audits of prior year operations.

Office of Education Services

Mission

The mission of the Office of Education Services is to provide quality, comprehensive, developmental, and educational opportunities for eligible students ages birth to 21 and their families so that students can develop the skills necessary to lead productive lives -- vocationally, socially, and personally -- resulting ultimately in the achievement of their highest potential for independent and successful lives.

Goals

Provide management and support services to assure quality operation of DHHS schools (OES residential schools and preschool programs and DHHS mental health schools).

Provide a challenging learning environment which prepares each child for a meaningful and productive life in a changing world through individualized, comprehensive educational and residential programs for deaf, hard of hearing, and deaf-blind students at the schools for the deaf.

Provide students at the Governor Morehead School for the Blind a quality education and inspiration for individual success through service delivery models including public school settings, long and short-term on-campus placements and residential and day placements.

Provide quality early intervention and preschool programming to children ages birth to five years with diagnosed visual impairments so that they can receive all of the tools and strategies necessary to successfully compensate for their disability.

Provide quality, comprehensive developmental and educational early intervention services to children ages birth to three who are deaf or hard of hearing and their families through the services of itinerant teachers in the Early Intervention Program.

Governor's Recommended Adjustments to Base Budget

Office of Education Services (14424)

Recommended General Fund Budget and Positions

	<u>2007-08</u>	<u>2008-09</u>
Base Budget		
Requirements	\$40,911,059	\$40,774,546
Receipts	<u>\$2,255,787</u>	<u>\$2,255,787</u>
Appropriation	\$38,655,272	\$38,518,759
Adjustments		
Requirements	\$718,591	\$1,410,071
Receipts	=	=
Appropriation	\$718,591	\$1,410,071
Total		
Requirements	\$41,629,650	\$42,184,617
Receipts	<u>\$2,255,787</u>	<u>\$2,255,787</u>
Recommended Appropriation	<u>\$39,373,863</u>	<u>\$39,928,830</u>
<hr/>		
Positions		
Base Budget Positions	651.120	651.120
Reductions	-	-
Expansion	<u>20.000</u>	<u>31.000</u>
Recommended Positions	<u>671.120</u>	<u>682.120</u>

Appropriation Items -- Recommended Adjustments

Expansion

2007-08

2008-09

1. Extended High School Programs at Schools for the Deaf

The Governor recommends an extended high school program for each of the state's two schools for the deaf to provide incentives for deaf and hard of hearing students to remain in school, receive a high school diploma, get skills and training needed for high-skilled jobs, and earn credits toward an associate degree. The NC School for the Deaf in Morganton will partner with Western Piedmont Community College in 2007-08, and the Eastern NC School for the Deaf in Wilson will partner with Wilson Technical Community College in 2008-09 to offer this program to students 16 years of age and older. Funding supports teaching and residential staff needed to implement the program.

Appropriation	\$384,155	\$996,150
Positions	11.000	22.000

2. Student Life Services at GMS

The Governor recommends adding four student life services staff at Governor Morehead School for the Blind to provide appropriate safety for students. Student life staff work with children in grades K-12 to provide academic support; recreational, independent living, social and behavioral skills; and student transportation. This recommendation adds two Residential Trainers, one Residential Life Attendant, and one Vehicle Operator.

Appropriation	\$88,690	\$118,253
Positions	4.000	4.000

3. Resource Officers at Schools for the Deaf

The Governor recommends funding to contract for School Resource Officers at the Eastern NC School for the Deaf and the NC School for the Deaf in Morganton. Resource Officers perform three functions: law enforcement officer, law-related counselor, and law-related educator.

Appropriation	\$82,000	\$82,000
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4. Behavior Support at Schools for the Deaf and Blind

The Governor recommends adding two Behavior Programming Technicians each at the NC School for the Deaf in Morganton and the Governor Morehead School for the Blind to implement systematic and individualized strategies for achieving social and learning outcomes while preventing problem behavior with all students.

Appropriation	\$106,712	\$142,283
Positions	4.000	4.000

5. School Technology Leadership

The Governor recommends funding an Educational Media Consultant in the Office of Education Services to integrate instructional programs with technology. The position will collaborate with department and state technology staff, DPI, leaders in assistive technology, teachers, and children and their families to integrate assistive and adaptive technology into DHHS schools serving children that are deaf, hard of hearing, blind, visually impaired, and/or have mental health needs.

Requirements	\$53,534	\$71,385
Requirements - Nonrecurring	\$3,500	-
Receipts	-	-
<hr/>		
Appropriation	\$57,034	\$71,385
Positions	1.000	1.000

Total Recommended Expansion

	<u>2007-08</u>	<u>2008-09</u>
Recurring		
Requirements	\$715,091	\$1,410,071
Receipts	-	-
<hr/>		
Appropriation	\$715,091	\$1,410,071
Positions	20.000	31.000
Nonrecurring		
Requirements	\$3,500	-
Receipts	-	-
<hr/>		
Appropriation	\$3,500	-
Positions	-	-

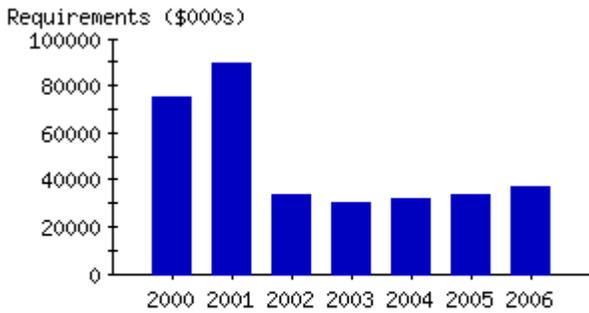
**Total Recommended Adjustments for
Office of Education Services (14424)
2007-09**

	<u>2007-08</u>	<u>2008-09</u>
Recurring		
Requirements	\$715,091	\$1,410,071
Receipts	-	-
	\$715,091	\$1,410,071
Appropriation	\$715,091	\$1,410,071
Positions	20.000	31.000
Nonrecurring		
Requirements	\$3,500	-
Receipts	-	-
	\$3,500	-
Appropriation	\$3,500	-
Positions	-	-
Total Appropriation Adjustments	\$718,591	\$1,410,071
Total Position Adjustments	20.000	31.000

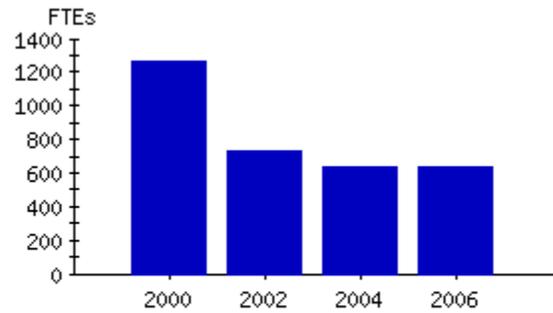
Base Budget and Results-Based Information

Budget Code 14424 DHHS - Office of Education Services

**Actual Expenditures
by Fiscal Year**



**Actual Positions
by Fiscal Year**



Drop in expenditures and positions due largely to closure of Central School for the Deaf in Greensboro

Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$37,111,638	\$40,573,433	\$40,558,742	\$352,317	\$40,911,059	\$215,804	\$40,774,546
Receipts	\$3,497,930	\$2,255,787	\$2,255,787	\$0	\$2,255,787	\$0	\$2,255,787
Appropriation	\$33,613,708	\$38,317,646	\$38,302,955	\$352,317	\$38,655,272	\$215,804	\$38,518,759
Positions	629.870	651.870	651.120	-	651.120	-	651.120

Budget Code 14424 DHHS - Office of Education Services

Fund 14424-1101 Western NC School for the Deaf — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$8,650,068	\$9,848,352	\$9,806,611	(\$63,817)	\$9,742,794	(\$61,248)	\$9,745,363
Receipts	\$193,863	\$123,100	\$123,100	\$0	\$123,100	\$0	\$123,100
Appropriation	\$8,456,205	\$9,725,252	\$9,683,511	(\$63,817)	\$9,619,694	(\$61,248)	\$9,622,263
Positions	174.120	178.120	178.120	-	178.120	-	178.120

Fund description

This fund is used to provide quality educational programming for students who are deaf, hard of hearing and deaf-blind from the 47 western NC counties and the students whose Individualized Educational Programs (IEP) recommend their attendance at a day program or residential school for the deaf. Funds allow students to receive K-12 instructional services which culminates in students receiving a North Carolina diploma or certificate as well as related services specified by their IEPs. Funding supports staff collaboration with Vocational Rehabilitation to facilitate transition services for students 14-21 years of age. Funding also supports outreach efforts that provide students, local education agencies, public school educators, parents, and community members access to a variety of services related to the intellectual, social, and emotional development of deaf and hard of hearing students.

Services for the fund

	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
Provide the NC Standard Course of Study and independent living skills as specified in their Individualized Education Plan (IEP) to students age 5 - 21 in Western North Carolina who are deaf, hard of hearing, or deaf-blind so they will successfully graduate with a high school diploma and/or trained in vocational area of employment, which will allow them to achieve their highest level of independence and success.	\$3,545,720	74.000
Provide social, emotional, and academic enrichment in a safe and secure environment for all students who are residential at the North Carolina School for the Deaf through organized group activities planned by residential staff to strengthen independent living skills and develop communication skills with peer groups and adult role models.	\$1,214,324	40.000
Provide quality nutritional meal services to all students on a daily basis as outline in the state and federal child nutrition program.	\$511,041	13.000
Provide direct access to medical services, counseling, occupational, physical, and speech-language therapy through the student health center and telemedicine program to sustain mental and physical health.	\$1,015,114	20.125
Provide other administrative support functions necessary to administer the school, manage the budget and human resources functions, and maintain resources to educate our students so that they may receive a diploma or certificate and become productive, contributing citizens.	\$1,341,325	18.000
Provide auxiliary and other support functions necessary to maintain the school including housekeeping, school maintenance, and utilities.	\$1,022,544	9.000
Actual Totals	\$8,650,068	174.120

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Students educated through the K-12 Instructional Program	127	134	131
Residential Students	91	92	93
Complaints seen in the student health center	8,393	2,913	5,878

Fund 14424-1201 Eastern NC School for the Deaf — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$9,345,791	\$10,837,745	\$10,800,189	\$194,746	\$10,994,935	\$97,048	\$10,897,237
Receipts	\$130,819	\$138,575	\$138,575	\$0	\$138,575	\$0	\$138,575
Appropriation	\$9,214,972	\$10,699,170	\$10,661,614	\$194,746	\$10,856,360	\$97,048	\$10,758,662
Positions	195.000	195.000	195.000	-	195.000	-	195.000

Fund description

This fund is used to provide quality educational programming for students who are deaf, hard of hearing and deaf-blind from the 53 eastern NC counties and the students whose Individualized Educational Programs recommend their attendance at a day program or residential school for the deaf. Funds allow students to receive K-12 instructional services which culminates in students receiving a North Carolina diploma or certificate as well as related services specified by their Individual Educational Plans. Funding supports staff collaboration with Vocational Rehabilitation to facilitate transition services for students 14-21 years of age. Funding also supports outreach efforts that provide students, local education agencies, public school educators, parents, and community members access to a variety of services related to the intellectual, social, and emotional development of deaf and hard of hearing students.

Services for the fund

	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
Provide the NC Standard Course of Study and independent living skills as specified in their Individualized Education Plan (IEP) to students age 5 - 21 in Western North Carolina who are deaf, hard of hearing, or deaf-blind so they will successfully graduate with a high school diploma and/or trained in vocational area of employment, which will allow them to achieve their highest level of independence and success.	\$4,041,544	83.250
Provide social, emotional, and academic enrichment in a safe and secure environment for all students who are residential at the North Carolina School for the Deaf through organized group activities planned by residential staff to strengthen independent living skills and develop communication skills with peer groups and adult role models.	\$1,304,918	42.000
Provide quality nutritional meal services to all students on a daily basis as outline in the state and federal child nutrition program.	\$442,697	12.000
Provide direct access to medical services, counseling, occupational, physical, and speech-language therapy through the student health center and telemedicine program to sustain mental and physical health.	\$427,819	11.000
Provide deaf/blind students instructional services from deaf/blind teachers and/or deaf/blind interveners, independent living skills, specialized accommodations and therapies as outlined in their Individualized Education Program (IEP)	\$93,090	6.750
Provide support functions necessary to administer the school, manage the budget and human resources and maintain resources to educate our students to that they may receive a diploma or certificate and become productive, contributing citizens.	\$1,131,641	14.000
Provide auxiliary and other support functions necessary to maintain the school including housekeeping, school maintenance, and utilities.	\$1,904,082	26.000
Actual Totals	\$9,345,791	195.000

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Students educated through the K-12 Instructional Program	108	98	100
Residential students	91	82	85
Complaints seen in the student health center	3,825	6,471	5,964

Fund 14424-1405 Governor Morehead School — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$8,627,006	\$9,075,267	\$9,066,244	\$195,673	\$9,261,917	\$153,852	\$9,220,096
Receipts	\$952,268	\$649,124	\$649,124	\$0	\$649,124	\$0	\$649,124
Appropriation	\$7,674,738	\$8,426,143	\$8,417,120	\$195,673	\$8,612,793	\$153,852	\$8,570,972
Positions	155.000	155.000	155.000	-	155.000	-	155.000

Fund description

This fund is used to support the Governor Morehead School for the Blind that provides quality educational programming for visually impaired children and youth ages 5 through 21 from all 100 NC counties. The school offers day and residential programs that provide instruction in the standard course of study which culminates in students receiving a North Carolina diploma or certificate as well as support services as recommended. Funding supports staff collaboration with Division of Services for the Blind to facilitate transition services for students 14-21 years of age; research-based training opportunities through the North Carolina Central University Visually Impaired program; and outreach efforts that provide access to a variety of services related to the intellectual, social, and emotional development of visually impaired students. Outreach also includes short term programming on campus for disability specific training, such as Braille and/or Orientation and Mobility.

Services for the fund	<u>Actual</u> <u>Requirements</u> <u>2005-06</u>	<u>Actual</u> <u>FTEs</u> <u>2005-06</u>
Provide the NC Standard Course of Study and independent living skills as specified in their Individualized Education Plan (IEP) to students age 5 - 21 in Western North Carolina who are deaf, hard of hearing, or deaf-blind so they will successfully graduate with a high school diploma and/or trained in vocational area of employment, which will allow them to achieve their highest level of independence and success.	\$3,968,292	52.000
Provide social, emotional, and academic enrichment in a safe and secure environment for all students who are residential at the North Carolina School for the Deaf through organized group activities planned by residential staff to strengthen independent living skills and develop communication skills with peer groups and adult role models.	\$954,143	33.750
Provide quality nutritional meal services to all students on a daily basis as outline in the state and federal child nutrition program.	\$484,955	11.000
Provide direct access to medical services, counseling, occupational, physical, and speech-language therapy through the student health center and telemedicine program to sustain mental and physical health.	\$503,044	5.000
Provide itinerant evaluation through assessing a students residual vision in his/her educational environment and make recommendations for modifications as well as consultation services to Local Education Agencies (LEAs) on an as needed by invitation basis.	\$238,994	8.000
Provide once a month, weekly camps for visually impaired students and focus on various skills needed by students to compensate for their visual impairment.	\$158,017	2.000

Provide other administrative support functions necessary to administer the school, manage the budget and human resources and maintain resources to educate our students so that they may receive a diploma or certificate and become productive, contributing citizens.	\$866,708	17.250
Provide auxiliary and other support functions necessary to maintain the school including housekeeping, school maintenance, and utilities.	\$1,452,853	26.000
Actual Totals	\$8,627,006	155.000

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Students served through itinerant services	76	735	792
Students served through outreach short-term program	32	42	49
Students educated through the K-12 Instructional Program	70	77	74

Fund 14424-1406 Governor Morehead Preschool — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$3,313,511	\$3,768,952	\$3,879,568	\$81,756	\$3,961,324	\$81,756	\$3,961,324
Receipts	\$174,344	\$128,363	\$128,363	\$0	\$128,363	\$0	\$128,363
Appropriation	\$3,139,167	\$3,640,589	\$3,751,205	\$81,756	\$3,832,961	\$81,756	\$3,832,961
Positions	49.000	55.750	55.750	-	55.750	-	55.750

Fund description

This fund is used to manage a statewide system of home and center-based early intervention services to children ages birth to five years old with diagnosed visual impairments. GMS preschool staff work with families and community professionals from a network of 15 satellite sites to provide a variety of intervention services such as pre-Braille, pre-Orientation and Mobility, low vision use, compensatory skill use, and adaptive equipment. In addition to these direct services, GMP provides on-site consultation, staff development and training, and assistance with assessments that aid the development of intervention plans.

Services for the fund

	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
Train and educate students with visual impairments in sensory development by providing intervention services such as pre-Braille, pre-Orientation and Mobility, low vision use, compensatory skill use, and adaptive equipment to promote individual independence and self-sufficiency.	\$3,133,676	49.000
Assist families in increasing their knowledge about visual impairments and assist them in developing the skills necessary to be successful advocates for their child.	\$179,835	-
Actual Totals	\$3,313,511	49.000

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Percentage of students transitioned and placed into educational environments that promote and facilitate independence and self-sufficiency	100 %	100 %	100 %
Students educated through the Governor Morehead Preschool	646	739	690
Families involved in the assessment and intervention process	255	312	690

Fund 14424-1505 Early Intervention Services - Preschool — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$2,877,246	\$3,662,903	\$3,623,381	\$111,657	\$3,735,038	\$111,212	\$3,734,593
Receipts	\$84,392	\$54,564	\$54,564	\$0	\$54,564	\$0	\$54,564
Appropriation	\$2,792,854	\$3,608,339	\$3,568,817	\$111,657	\$3,680,474	\$111,212	\$3,680,029
Positions	44.750	56.000	55.250	-	55.250	-	55.250

Fund description

The fund is used to manage a statewide system of home and center-based early intervention services to children who are birth to three years old with hearing impairments through the use of interventionists who work with children, families, and community professionals on the range of issues related to hearing loss; to provide itinerant services in the home or daycare setting for children with hearing loss, focusing on language development and communication skills; to evaluate these children regularly to monitor progress in all areas of development, including communication skills; to function in accordance with state and federal mandates in serving children with hearing loss as their primary disability; and to work collaboratively with other agencies that provide services to children who are ages birth to three in order to maximize resources.

Services for the fund

	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
Provide quality, comprehensive developmental and educational early intervention services to children ages birth to three who are deaf or hard of hearing and their families through parent education, participation and specialized instruction to develop language and communication and minimize delays upon transitioning to their local education systems in Western North Carolina.	\$1,155,278	16.750
Provide quality, comprehensive developmental and educational early intervention services to children ages birth to three who are deaf or hard of hearing and their families through parent education, participation and specialized instruction to develop language and communication and minimize delays upon transitioning to their local education systems in Eastern North Carolina.	\$1,215,471	18.000
Provide quality, comprehensive developmental and educational early intervention services to children ages birth to three who are deaf or hard of hearing and their families through parent education, participation and specialized instruction to develop language and communication and minimize delays upon transitioning to their local education systems in Central North Carolina.	\$506,497	10.000
Actual Totals	\$2,877,246	44.750

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Percentage of parents/caregivers involved in early intervention sessions	50.00 %	60.00 %	66.00 %
Children ages birth to three receiving developmental and educational services	429	449	475

Fund 14424-1701 Family Resource Centers — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$737,038	\$905,273	\$905,273	(\$168,235)	\$737,038	(\$168,235)	\$737,038
Receipts	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	\$737,038	\$905,273	\$905,273	(\$168,235)	\$737,038	(\$168,235)	\$737,038
Positions	-	-	-	-	-	-	-

Fund description

The Family Resource Centers have been privatized and are now operated under contract with Beginnings for Parents of Hearing Impaired Children, a private not-for-profit agency. Beginnings provides emotional support, counseling, unbiased education, assessment, advocacy, and referral to families with deaf or hard of hearing children. They also provide outreach to professionals who work with deaf and hard of hearing children. The Auditory Learning Center provides training and technical assistance to early intervention and public school providers serving children who are deaf or hard of hearing. The Center conducts workshops in the areas of speech, language, and auditory development and provides direct services (Aural Habilitation) to children and adults.

Services for the fund

Contract with Beginnings for Parents of Hearing Impaired Children to serve parents of children, from birth through age 21, who are deaf or hard of hearing by providing objective information and technical assistance so that they can actively participate in shaping a home environment and educational experience that promotes their child's success in school.

<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
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\$687,038 -

Contract with Auditory Learning Center to provide training to North Carolina professionals that work with children, from birth to 21, who are deaf or hard of hearing so that they can provide effective intervention resulting in the delivery of an appropriate education for the children.

\$50,000 -

Actual Totals

\$737,038 -

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Families/parents contacted or served through Beginnings	135	415	300
Professionals trained through Auditory Learning Centers	853	1,168	1,598
Counties served	61	66	57

Fund 14424-1801 Central Administration — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$2,831,656	\$2,453,307	\$2,455,842	\$537	\$2,456,379	\$1,419	\$2,457,261
Receipts	\$1,145,904	\$1,140,427	\$1,140,427	\$0	\$1,140,427	\$0	\$1,140,427
Appropriation	\$1,685,752	\$1,312,880	\$1,315,415	\$537	\$1,315,952	\$1,419	\$1,316,834
Positions	12.000	12.000	12.000	-	12.000	-	12.000

Fund description

This fund is for the Central Office staff which provides management and support services to assure quality operation of DHHS schools (OES residential schools and preschool programs and DHHS mental health schools).

Services for the fund

	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
Provide oversight and support to the DHHS schools in the areas of management, accountability, school improvement, technology, transition services, and administrative reviews.	\$504,582	4.000
Provide oversight and support to the DHHS schools in the areas of teacher licensure; manage transportation services and funding provided by NC Department of Public Instruction.	\$1,111,055	1.000
Provide oversight and support to the DHHS schools in the areas of testing, exceptional children issues, compliance, and evaluations	\$767,302	3.000
Provide oversight and support to the DHHS schools (residential schools for the deaf and hard of hearing; the school for visually impaired; and the mental health schools) in the areas of fiscal management, personnel services, and purchasing.	\$448,717	4.000
Actual Totals	\$2,831,656	12.000

Measures for the fund

	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Exceptional Children Compliance Reviews conducted by staff	-	105	77
Percentage of Highly Qualified Teachers licensed to teach deaf, blind, and multi-handicapped students	95 %	95 %	95 %
Percentage of schools meeting school improvement goals and compliance under the North Carolina ABCs programs	66 %	66 %	66 %

Fund 14424-1991 Indirect Reserves — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$0	\$21,634	\$21,634	\$0	\$21,634	\$0	\$21,634
Receipts	\$0	\$21,634	\$21,634	\$0	\$21,634	\$0	\$21,634
Appropriation	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The purpose of this fund is to account for funds earned for indirect costs from federal funding sources. The rate or amount of indirect cost that may be earned is negotiated each year with the federal DHHS Division of Cost Allocation based upon prior year statewide and departmental overhead costs.

Fund 14424-1992 Prior Year Revenue — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$729,526	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	\$816,340	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	(\$86,814)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This fund is used to record revenue received in the current fiscal year, primarily from federal funding sources, that was earned in a prior fiscal year.

Fund 14424-1993 Prior Period Refunds — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	(\$204)	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	(\$204)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This fund is used to record adjustments to expenditures or receipts for activities or transactions that occurred in a prior fiscal year. Adjustments tracked in this fund include paybacks from subgrantees as a result of audits of prior year operations.

Division of Public Health

Mission

To promote and contribute to the highest possible level of health for the people of North Carolina.

Goals

Protect the health of North Carolinians by reducing the incidence of diseases in North Carolina by providing preventive services, education, training and disease outbreak management services to local communities and professionals in NC.

Eliminate tuberculosis (TB) as a public health problem in NC by reducing the number of new cases of TB and by controlling the spread of TB into the general population.

Assure optimum health and well being of children, birth through twenty-one years, including related services for adults that may affect their children's physical and behavioral health outcomes.

Maximize the developmental potential of at-risk children and increase the capacity of their families to support the children's developmental needs.

Assure pregnant women in the state have access to early and continuous prenatal care.

Assure that State and Local Public Health Departments have in place a multi-level all hazards public health response system for public health emergencies, whether natural or man made, to protect the health and safety of NC citizens.

Provide specific medical and environmental laboratory services to public and private health providers' organizations responsible for the promotion, protection and assurances of the health of North Carolina citizens.

Collect, maintain, and analyze health data to study the extent, nature, and impact of illness and disability on the population of the state to examine the determinants of health and health hazards, to determine the extent of health resources, to measure utilization of health care and its costs, and to undertake and support research, demonstrations, and evaluations respecting new or improved methods for obtaining data.

Register vital events (births, deaths, marriages, and divorces) as they occur statewide and to provide copies of vital certificates to those who need them and are entitled to receive them.

Improve selected health practices among low income families to reduce the number of unintended pregnancies.

Governor's Recommended Adjustments to Base Budget

Division of Public Health (14430)

Recommended General Fund Budget and Positions

	<u>2007-08</u>	<u>2008-09</u>
Base Budget		
Requirements	\$693,670,234	\$693,393,089
Receipts	<u>\$519,026,494</u>	<u>\$519,061,642</u>
Appropriation	\$174,643,740	\$174,331,447
Adjustments		
Requirements	\$12,062,879	\$5,385,234
Receipts	-	-
Appropriation	\$12,062,879	\$5,385,234
Total		
Requirements	\$705,733,113	\$698,778,323
Receipts	<u>\$519,026,494</u>	<u>\$519,061,642</u>
Recommended Appropriation	<u>\$186,706,619</u>	<u>\$179,716,681</u>
<hr/>		
Positions		
Base Budget Positions	2,044.960	2,044.960
Reductions	-	-
Expansion	<u>4.000</u>	<u>4.000</u>
Recommended Positions	<u>2,048.960</u>	<u>2,048.960</u>

Appropriation Items -- Recommended Adjustments

Expansion

	<u>2007-08</u>	<u>2008-09</u>
1. Pandemic Influenza Planning		
The governor recommends providing the State match for purchase of 843,939 treatment courses of antivirals Tamiflu and Relenza in the event of a pandemic flu outbreak.		
Appropriation	\$50,400	\$50,400
Appropriation - Nonrecurring	\$9,626,340	\$2,880,610
2. Food Borne/Tick Borne Diseases		
The governor recommends creating two positions for surveillance, public education, and participation in a demonstration project (in conjunction with DENR) associated with food borne and tick borne diseases.		
Appropriation	\$340,007	\$374,329
Positions	2.000	2.000
3. Expanded Public Health Lab Testing		
The governor recommends funds to conduct tests for Human Papilloma Virus (HPV), food borne diseases, tick borne diseases, and additional HIV testing for pregnant women.		
Requirements	\$293,632	\$329,895
Requirements - Nonrecurring	\$2,500	-
Receipts	-	-
	<hr/>	<hr/>
Appropriation	\$296,132	\$329,895
Positions	2.000	2.000
4. Community Focused Initiative to Eliminate Health Disparities		
The governor recommends supporting community focused initiatives to eliminate health disparities through prevention, planning, and statewide service grants to eligible local organizations.		
Appropriation	\$750,000	\$750,000
5. HIV Prevention - Focus on Counseling and Testing		
The governor recommends increasing the capacity of local health departments, community based organizations, historically black colleges and universities, and state agencies by increasing the number of persons that will benefit from the full spectrum of HIV prevention activities. The focus will be on counseling, testing, and early medical interventions.		
Appropriation	\$1,000,000	\$1,000,000

Total Recommended Expansion

	<u>2007-08</u>	<u>2008-09</u>
Recurring		
Requirements	\$2,434,039	\$2,504,624
Receipts	-	-
	<hr/>	<hr/>
Appropriation	\$2,434,039	\$2,504,624
Positions	4.000	4.000
Nonrecurring		
Requirements	\$9,628,840	\$2,880,610
Receipts	-	-
	<hr/>	<hr/>
Appropriation	\$9,628,840	\$2,880,610
Positions	-	-

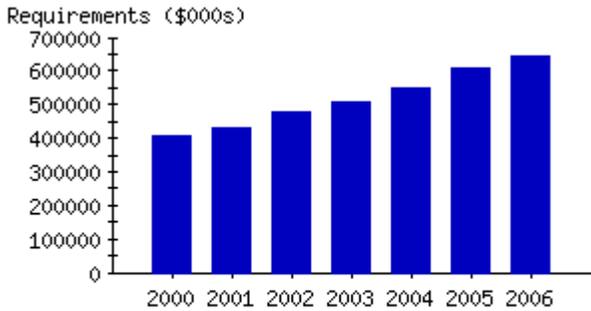
**Total Recommended Adjustments for
Division of Public Health (14430)
2007-09**

	<u>2007-08</u>	<u>2008-09</u>
Recurring		
Requirements	\$2,434,039	\$2,504,624
Receipts	-	-
	<hr/>	<hr/>
Appropriation	\$2,434,039	\$2,504,624
Positions	4.000	4.000
Nonrecurring		
Requirements	\$9,628,840	\$2,880,610
Receipts	-	-
	<hr/>	<hr/>
Appropriation	\$9,628,840	\$2,880,610
Positions	-	-
Total Appropriation Adjustments	\$12,062,879	\$5,385,234
Total Position Adjustments	4.000	4.000

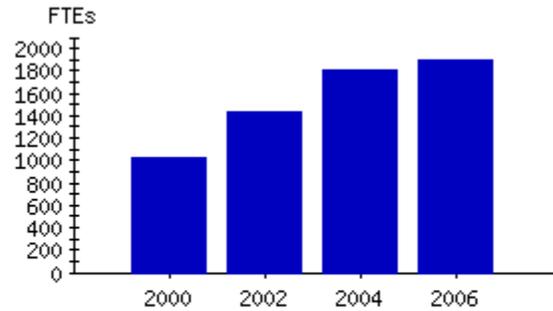
Base Budget and Results-Based Information

Budget Code 14430 DHHS - Division of Public Health

**Actual Expenditures
by Fiscal Year**



**Actual Positions
by Fiscal Year**



Growth in positions due largely to the addition of 14 state managed Children's Developmental Service Agencies.

Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$645,959,847	\$695,740,241	\$695,813,227	(\$2,142,993)	\$693,670,234	(\$2,420,138)	\$693,393,089
Receipts	\$500,350,909	\$519,301,376	\$519,302,348	(\$275,854)	\$519,026,494	(\$240,706)	\$519,061,642
Appropriation	\$145,608,938	\$176,438,865	\$176,510,879	(\$1,867,139)	\$174,643,740	(\$2,179,432)	\$174,331,447
Positions	1,902.000	2,044.960	2,044.960	-	2,044.960	-	2,044.960

Budget Code 14430 DHHS - Division of Public Health

Fund 14430-1110 Division Offices — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$9,107,358	\$6,026,293	\$6,117,077	\$89,360	\$6,206,437	\$95,025	\$6,212,102
Receipts	\$4,504,851	\$1,467,869	\$1,469,818	\$4,692	\$1,474,510	\$6,983	\$1,476,801
Appropriation	\$4,602,507	\$4,558,424	\$4,647,259	\$84,668	\$4,731,927	\$88,042	\$4,735,301
Positions	62.600	60.950	62.550	-	62.550	-	62.550

Fund description

This fund is used to develop and analyze policy, conduct program planning and coordination, secure and allocate resources, and provide fiscal oversight and control.

Services for the fund	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
Division of Public Health Administration provides funds to support of Office of the Director, personnel, and information technology. Also supports budgeting, purchasing, financial administration associated with accounts payable and inventory management, contracting, grants administration, and cost accounting.	\$8,957,273	61.550
Provide local Technical Assistance and Training.	\$150,090	1.000
Actual Totals	\$9,107,358	62.600

Measures for the fund

	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Budget Revisions completed	1,408	1,550	1,569
Personnel Actions completed	690	765	875

Fund 14430-1160 State Center for Health Statistics — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$4,019,305	\$4,648,287	\$4,578,220	\$17,842	\$4,596,062	\$20,836	\$4,599,056
Receipts	\$1,712,656	\$2,155,594	\$2,155,594	\$12,107	\$2,167,701	\$12,958	\$2,168,552
Appropriation	\$2,306,649	\$2,492,693	\$2,422,626	\$5,735	\$2,428,361	\$7,878	\$2,430,504
Positions	63.000	64.000	63.000	-	63.000	-	63.000

Fund description

The purpose of State Center for Health Statistics (SHCS) is to study the occurrence of disease and disability in North Carolina, and its impact on the population's health and well being. SCHS provides expert health related research and analysis that are needed to address critical health policy issues affecting the state. SCHS serves a variety of customers including federal, state, and local agencies, researchers and the general public. Services offered by SCHS include: 1. provide analyses about the health status of North Carolinians; 2. ensure that timely accurate and high quality health-related data are available; 3. develop working

relationships with other public and private agencies to improve the availability of databases and their analyses; 4. support DPH and DHHS in data processing, survey operations and statistical analyses; 5. assist local health departments and other community agencies in assessing community health status and evaluating progress in achieving local health objectives; and 6. ensure the efficient collection, management, and analysis of data for the purpose of public health program planning and evaluation.

Services for the fund	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
NC Birth Defects Monitoring Program (BDMP) collects, analyzes and reports information on the occurrence of birth defects in North Carolina. Also assists in the design and evaluation of services and interventions, conducts research into the causes of birth defects, and identifies and refers children for services.	\$583,867	12.000
NC Central Cancer Registry (CCR) monitors trends in cancer incidence, assists in the planning and evaluation of public health programs, and releases data for epidemiologic research.	\$1,461,710	24.000
Statistical Services Unit (SSU) monitors, researches, and reports on the health status of North Carolinians and provides information to health program managers and policy makers.	\$728,928	20.000
Program Support is responsible for the day-to-day management of fiscal, personnel, training, purchasing, and facilities management functions within the State Center for Health Statistics.	\$1,244,795	7.000
Actual Totals	\$4,019,305	63.000

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Annual requests for data	3,533	3,698	3,094
Registration of new cancer cases in North Carolina	47,000	50,980	51,500
New case reports of major birth defects in North Carolina	2,043	2,575	2,900

Fund 14430-1410 Local Health Services — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$21,737,854	\$11,165,646	\$11,200,836	\$17,215	\$11,218,051	\$18,706	\$11,219,542
Receipts	\$11,406,717	\$1,086,655	\$1,085,391	(\$15)	\$1,085,376	\$246	\$1,085,637
Appropriation	\$10,331,137	\$10,078,991	\$10,115,445	\$17,230	\$10,132,675	\$18,460	\$10,133,905
Positions	30.500	30.210	29.500	-	29.500	-	29.500

Fund description

This fund is used to provide information, training, consultation, and technical assistance on minority health issues to national, state, and local health and human service agencies, community-based organizations, and other public and private health agencies. In addition, the fund provides tools, consultation, and technical assistance to local health departments on management, efficiency, and productivity issues. It is also used to negotiate appropriate Medicaid reimbursement rates based on annual cost study results and to assure that Health Services Information Systems provides appropriate interface for local agencies to seek reimbursement for services from all payment sources.

Services for the fund	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
General Aid to County provides administrative funding and support to 85 local health departments.	\$5,626,772	-

Local Technical Assistance and Training provides financial resources to assist the 85 local health departments with fiscal and administrative issues, including policies and procedures, as well as serving as the primary contact for local health directors on leadership and management issues.	\$7,141,532	6.960
Local Health Department Administration	\$464,672	5.000
Public Consulting Group (PCG) contract identifies Medicaid Admin Claims on behalf of counties. These funds are transferred to a special budget code to support the new Health Information System (HIS). Funds are also transferred to support the PCG Contract.	\$3,104,393	-
Office of Minority Health and Health Disparities provides funds, consultative and technical assistance, and training to community based organizations, faith based organizations, American Indian tribes, and local health departments to build capacity to provide prevention services which eliminate health disparities and reduce barriers to health services.	\$3,210,609	11.040
Chronic Disease works with providers to supply technical and educational assistance about chronic disease issues to government and business leaders, health care providers, and the public.	\$934,882	7.500
Disburse special appropriations.	\$1,255,000	-
Actual Totals	\$21,737,854	30.500

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
LHDs that have fiscal management and reporting in compliance with all requirements	85	85	85

Fund 14430-1420 Medical Examiner — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$4,792,149	\$4,643,182	\$4,663,494	\$134,320	\$4,797,814	\$136,833	\$4,800,327
Receipts	\$1,736,826	\$1,270,222	\$1,270,222	\$114,118	\$1,384,340	\$114,118	\$1,384,340
Appropriation	\$3,055,323	\$3,372,960	\$3,393,272	\$20,202	\$3,413,474	\$22,715	\$3,415,987
Positions	32.000	32.000	32.000	-	32.000	-	32.000

Fund description

The purpose of this fund is to conduct medical/legal death investigations, autopsies, and toxicology tests; investigate childhood deaths, particularly those due to suspected abuse and neglect; monitor the incidence and distribution of deaths; maintain a database of results of postmortem investigations, autopsies, and tests; record causes of death; provide copies of completed medical examiner investigations, autopsies, and toxicology reports to the public; maintain the incidence and distribution of deaths due to homicides, suicides, natural diseases, and drugs; respond to requests for information; provide expert witness testimony in criminal proceedings; and provide instructional hours in medical/legal education.

Services for the fund	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
The toxicology laboratory serves all 100 counties for the NC Medical Examiner System by providing forensic analytical testing of specimens and evidence from medical examiner cases.	\$862,260	8.500

The Medical Examiner (ME) system investigates traumatic, suspicious or unattended deaths to insure that the cause and manner of death is properly certified. Local MEs or pathologists decide which deaths fall under ME jurisdiction, order an autopsy to document injuries or disease, and file death certificates.	\$2,833,713	11.500
Child Fatality Prevention Team investigates child fatalities due to injury or neglect. Multidisciplinary review focuses on prevention and policy recommendations. Training for local agencies is conducted to improve the quality of child death investigation.	\$206,173	3.000
The Office of the Chief Medical Examiner (OCME) provides administrative support for the statewide ME system by collecting documents for all ME cases, entering data into the Medical Examiner Information System (MEIS), prepare case files for administrative review, and responding to requests for copies of reports and data.	\$889,998	9.000
Actual Totals	\$4,792,149	32.000

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Death certifications issued as mandated by North Carolina general statutes	9,574	10,203	9,951
Child fatality reviews completed	352	724	1,161

Fund 14430-1430 Office of Chief Nurse — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$1,131,082	\$1,550,766	\$1,550,017	\$5,268	\$1,555,285	\$10,543	\$1,560,560
Receipts	\$501,071	\$691,203	\$696,886	(\$1,577)	\$695,309	\$882	\$697,768
Appropriation	\$630,011	\$859,563	\$853,131	\$6,845	\$859,976	\$9,661	\$862,792
Positions	9.000	9.000	9.000	-	9.000	-	9.000

Fund description

The purpose of this fund is to provide training via the Public Health Training and Information Network (PHTIN) as well as using on-line and traditional mechanisms to provide tools, technical assistance and consultation on nursing issues in local health departments.

Services for the fund	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
Nursing consultation provides assistance to local health departments on policies and procedures, standing orders, legal nursing practice, quality improvement and efficiency of clinical services. Also serves as the lead in providing technical assistance to local health departments (LHDs) seeking initial accreditation in each local health department accreditation cycle.	\$338,707	5.000
Public Health Training and Information Network (PHTIN) provides education and information updates to staff in 85 local health departments (LHDs). The Network provides a hub for scheduling and technical support through a contract between DPH and UNC-CH.	\$475,606	1.000

Program Support includes the head of the unit who is the key resource for local health directors, rules on qualifications for local health director positions, lead liaison with Medicaid, a resource for the HIS project, and DPH lead on the local health department accreditation. Also supports two administrative positions that maintain the unit's databases, and provide other support functions.

\$316,770 3.000

Actual Totals \$1,131,082 9.000

Measures for the fund

2003-04 2004-05 2005-06

LHDs seeking initial accreditation that achieve full accreditation status	6	4	10
LHDs participating in training/informational updates via PHTIN	85	85	85

Fund 14430-1435 Dental Health Services — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$5,115,376	\$5,925,702	\$5,915,385	\$59,032	\$5,974,417	\$62,491	\$5,977,876
Receipts	\$1,291,934	\$1,386,868	\$1,385,529	\$17,141	\$1,402,670	\$17,919	\$1,403,448
Appropriation	\$3,823,442	\$4,538,834	\$4,529,856	\$41,891	\$4,571,747	\$44,572	\$4,574,428
Positions	72.000	73.000	73.000	-	73.000	-	73.000

Fund description

The purpose of this fund is to implement a statewide plan for providing education and preventive services to reduce tooth decay and promote oral health. Services include activities to provide direct dental preventive services such as sealants to targeted high-risk children; monitor community water supplies for optimal fluoride levels and provide technical assistance regarding fluoridation; provide dental screening and referral for dental treatment services; provide instruction on dental care, sealants, nutrition, fluorides, diabetes, plaque control and injury prevention; provide consultation to local public health service providers; maintain and provide public information on a dental health surveillance database on disease levels, sealants, treatment and dental needs; and provide specialty training in dental public health. This fund also supports a division-wide school health initiative which aims to improve the health and academic performance of school children by positively impacting school health policy, services and their environment.

Services for the fund

Actual Requirements Actual FTEs
2005-06 2005-06

Promotes oral health with dental sealants for high risk children, fluoride mouth rinse in targeted schools, community water fluoridation, instruction on preventive measures in preschools, training/support for physicians and health departments providing oral health education, dental screening, and fluoride varnish for infants and toddlers.	\$2,080,668	29.200
Provides preventive educational services in elementary schools and community settings. Topics include dental care, sealants, nutrition, fluoride, plaque control, tobacco use, and injury prevention.	\$1,094,696	15.400
Conducts dental assessments on kindergarten and 5th graders in public schools. Evaluate the need for dental referrals, treatments, and sealants and coordinate the service. Collect surveillance data for public availability.	\$1,654,749	23.400

Program Support for dental health services which includes processing travel reimbursements, leave records, data entry in service and surveillance databases, budget/accounting operations, grants management, vendor contact, purchase supplies/materials/equipment, maintain warehouse, and providing supplies to field staff.	\$285,260	4.000
Actual Totals	\$5,115,376	72.000

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Fifth grade children who have never had tooth decay in their permanent teeth	58,346	60,513	61,236
Children with preventive dental sealants	31,476	33,793	35,453
Residents on community water systems who receive the benefits of fluoridation	5,053,207	5,112,656	5,193,532

Fund 14430-1440 Highway Safety Scientific Service — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$2,339,306	\$2,711,560	\$2,711,560	\$22,837	\$2,734,397	\$22,836	\$2,734,396
Receipts	\$2,295,392	\$2,697,163	\$2,697,163	\$12,267	\$2,709,430	\$12,266	\$2,709,429
Appropriation	\$43,914	\$14,397	\$14,397	\$10,570	\$24,967	\$10,570	\$24,967
Positions	29.000	31.000	31.000	-	31.000	-	31.000

Fund description

Prevent and reduce the risks/consequences of one of the leading causes of injuries and deaths in North Carolina, alcohol/drug related crashes. Increase public awareness about drinking alcohol or taking drugs and driving a motor vehicle. Provide state-of-the-art breath alcohol test instrumentation and equipment for law enforcement used in processing drivers detected and apprehended for impaired driving.

Services for the fund

	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
Conducts alcohol/drug training and certification for law enforcement officers enhancing their knowledge, skills and abilities to detect and apprehend impaired drivers reducing the tragedies and public health care costs.	\$973,989	15.000
Provides technical/scientific support to our court system in the prosecution of the impaired driver. Work with state prosecutors by providing an understanding of the effects of alcohol/drugs in the body greatly reduces the defense community attempts to challenge the breath/blood testing results.	\$60,471	1.000
Breath Alcohol Testing (BAT) Mobile Unit Coordinators conducts Driving While Impaired Checkpoints maximizing the deterrent effect and increasing the perception of the "risk of apprehension" of motorists. Works with high schools, colleges, universities and public health communities reaching out to young adult drivers providing public health education about drinking and driving.	\$576,101	5.000

Program Support collaborates with law enforcement training coordinators over complex issues of scheduling, confirming and allocating officers to attend the more than 350 comprehensive alcohol and drug training classes that certify officers to administer tests on drivers suspected of impaired driving.	\$728,747	8.000
Actual Totals	\$2,339,306	29.000

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
General public and young adults reached through highway safety/educational events across the state	275,820	332,330	280,788
Impaired drivers removed from state streets and highways	59,141	58,726	56,348
Law enforcement officers trained in detecting, apprehending and conducting breath/blood tests on impaired drivers	3,484	3,903	3,683

Fund 14430-1451 Communicable Disease/Bioterrorism — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$6,459,572	\$6,919,274	\$6,966,315	(\$377,459)	\$6,588,856	(\$373,072)	\$6,593,243
Receipts	\$1,849,053	\$1,563,111	\$1,563,111	(\$58)	\$1,563,053	(\$58)	\$1,563,053
Appropriation	\$4,610,519	\$5,356,163	\$5,403,204	(\$377,401)	\$5,025,803	(\$373,014)	\$5,030,190
Positions	23.200	23.210	23.210	-	23.210	-	23.210

Fund description

The purpose of this fund is to work with local and regional health departments to ensure prompt reporting and control of non-sexually transmitted communicable diseases and to assist with disease outbreak investigations. A secondary focus is to test and treat persons with active tuberculosis infections and their close contacts. Ensures enforcement of applicable public health law and issues new administrative rules pertaining to communicable disease control. Maintain current policies and databases required to produce reports and support training activities for communicable diseases and tuberculosis.

Services for the fund	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
General Communicable Disease Control unit provides technical assistance, consultation, disease surveillance, disease outbreak assistance and training to local health departments, hospital infection control departments, physicians' offices and clinics statewide to reduce the impact of non-sexually transmitted diseases in NC.	\$2,261,600	9.500
Eliminate Tuberculosis (TB) as a public health problem in North Carolina (NC) by reducing the number of new cases of TB and controlling the spread of TB in the general population resulting in a higher quality of life for the citizens of NC.	\$4,197,961	13.710
Actual Totals	\$6,459,572	23.200

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Confirmed case reports of communicable, reportable diseases submitted to the federal Centers for Disease Control and Prevention	6,511	6,560	5,562
Responses to communicable disease questions and/or disease outbreak investigations	2,547	2,531	2,536
Confirmed case reports of TB, reportable diseases submitted to the federal Centers for Disease Control and Prevention	381	329	374

Fund 14430-1461 HIV/STD Prevention and Care — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$58,376,458	\$55,772,052	\$55,786,932	\$2,464,740	\$58,251,672	\$1,962,797	\$57,749,729
Receipts	\$43,556,349	\$40,769,743	\$40,769,743	\$43,400	\$40,813,143	\$50,172	\$40,819,915
Appropriation	\$14,820,109	\$15,002,309	\$15,017,189	\$2,421,340	\$17,438,529	\$1,912,625	\$16,929,814
Positions	132.000	132.000	132.000	-	132.000	-	132.000

Fund description

This fund is used to provide training, consultation, and technical assistance to public and community health agency staff; work with public and community health agencies to investigate HIV and other STD reports; locate and counsel partners; diagnose, treat, and educate individuals; work with public and community agencies to provide a continuum of outpatient care and support services to people infected with and affected by HIV; ensure enforcement of applicable public health law and administrative rules; and maintain current policies and databases required to produce reports and support training.

Services for the fund

	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
AIDS Care provides direct funding to 16 primary medical/dental care projects and 8 consortia, who provide primary medical/dental care and support services, HIV-related medications and mental health and substance abuse treatment to low income citizens affected by HIV/AIDS.	\$7,670,909	16.000
NC AIDS Drug Assistance Program (ADAP) provides eligible low-income residents with assistance in obtaining medications to fight HIV/AIDS and the infections that often accompany the disease. ADAP pharmaceuticals are purchased and distributed under a \$1.2 million contract with ProCare Pharmacy Direct, Inc., a specialty pharmacy.	\$35,034,579	2.500
HIV Pediatric Services provides information and referrals, ongoing training, health care and support, information, and education to HIV infected children and teens and their families in Eastern North Carolina to decrease and eventually eradicate the in-home transmission of HIV/AIDS.	\$760,078	1.000
HIV/STD Prevention and Community Planning funds agencies in the communities to provide training, technical assistance, surveillance, field service activities and assessment services to prevent the spread of HIV, Syphilis and other sexually transmitted diseases (including Hepatitis C) in North Carolina.	\$12,311,562	110.500
Housing Opportunities for Persons With AIDS (HOPWA) provides housing-related financial assistance to 17 agencies across the state which provides stable and affordable housing for low income persons living with HIV/AIDS and their families in North Carolina. This is accomplished through providing Tenant-Based Rental Assistance, Short-Term Rent, mortgage and utility assistance, supportive services, resource identification and housing information to the target population.	\$1,975,121	2.000

Transfer to other DHHS agencies to support of departmental objectives in the area of mental health and IT.	\$624,194	-
Actual Totals	\$58,376,458	132.000

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Unduplicated count of persons served by non-ADAP federal Ryan White funds	6,785	7,097	7,230
Citizens at high risk for HIV and Syphilis who received counseling and testing services	-	20,843	24,548
Clients served with health education and risk reduction services	22,675	26,821	33,393

Fund 14430-1465 Vital Records — Base Budget

	<u>2005-06</u> Actual	<u>2006-07</u> Certified	<u>2006-07</u> Authorized	<u>2007-08</u> Adjustments	<u>2007-08</u> Total	<u>2008-09</u> Adjustments	<u>2008-09</u> Total
Requirements	\$3,185,606	\$4,041,848	\$4,058,689	(\$1,428,596)	\$2,630,093	(\$1,426,027)	\$2,632,662
Receipts	\$2,803,477	\$1,668,341	\$1,668,341	\$2,597	\$1,670,938	\$2,597	\$1,670,938
Appropriation	\$382,129	\$2,373,507	\$2,390,348	(\$1,431,193)	\$959,155	(\$1,428,624)	\$961,724
Positions	61.000	61.000	61.000	-	61.000	-	61.000

Fund description

This fund is used to register vital events (births, deaths, marriages, and divorces). Provide copies of vital certificates, raw demographic data to health researchers and statistical analysts.

Services for the fund	<u>Actual</u> <u>Requirements</u> <u>2005-06</u>	<u>Actual</u> <u>FTEs</u> <u>2005-06</u>
Performs records processing duties including receiving records from locals, making necessary edits, coding required facts, organizing records for archiving, and ensuring quality standards are met for final acceptance and registration by the State Registrar.	\$1,208,524	27.000
Customer Service interacts directly with the public requesting vital certificates. Research and retrieve records, verify requester eligibility to receive records, collect fees, inspect and ensure document quality, and provide document certification.	\$805,683	18.000
Provides program support for fiscal administration, records management, training stakeholders for vital event registration, data entry & electronic information system maintenance, and report generation including statistical extracts for state/federal partners.	\$1,171,399	16.000
Actual Totals	\$3,185,606	61.000

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Events registered and certificates or copies provided to the public	456,522	427,260	464,611

Fund 14430-1471 Occupational Health and Environmental Epidemiology — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$3,813,498	\$4,339,162	\$4,335,249	\$1,151	\$4,336,400	\$4,083	\$4,339,332
Receipts	\$2,384,701	\$2,915,671	\$2,915,671	(\$4,614)	\$2,911,057	(\$3,496)	\$2,912,175
Appropriation	\$1,428,797	\$1,423,491	\$1,419,578	\$5,765	\$1,425,343	\$7,579	\$1,427,157
Positions	42.700	42.750	42.750	-	42.750	-	42.750

Fund description

The purpose of this fund is to investigate, review, and evaluate reports of occupational injury or illness and environmental or zoonotic conditions, including possible contamination of private and public water supplies, suspected of posing a risk to human health. The fund provides rabies tags to veterinarians and county health departments for identification of vaccinated domestic animals. Additionally, the fund is used for asbestos/lead abatement by training and certifying abatement contractors, inspecting and issuing permits for abatement sites, investigating complaints, and enforcing regulation compliance.

Services for the fund

	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
Industrial Hygiene Consultation (IHC) evaluates and recommends control for biological, chemical and physical hazards, tests public buildings, and collaborates on recommendations and guidance statements issued to effect policy development. IHC's provide consultation, train LHD's, industries, etc., and develops outreach materials.	\$323,715	3.750
Hazardous Substances Emergency Events Surveillance unit monitors 100 counties for characteristics of hazardous substances emergencies and morbidity/mortality, identifies risk factors & strategies to reduce future morbidity/mortality, and consults on recommendations/guidance statements issued to effect rule and/or policy development.	\$75,110	1.000
Asbestos & Lead Based Paint Hazard Management unit serves to minimize exposure to asbestos and lead-based paint by assuring that materials are removed properly. Duties include accrediting companies performing abatement, issue permits, inspect activities, develop educational materials, inspect schools, train LEA's, perform OSHA inspections, and develop policy.	\$1,184,731	20.000
Occupational & Injury Surveillance unit operates the Adult Lead Surveillance Program and the Pesticide Illness & Injury Surveillance Program. Prevention information is shared with affected persons. Staff describes the frequency, distribution and characteristics of work related illnesses/injuries, identifies risk factors and strategies that might reduce morbidity/mortality, conducts data analysis, and prepares reports.	\$167,311	1.000
Medical Evaluation & Risk Assessment unit evaluates environmental and occupational exposures to hazardous substances. Also conducts education on Spay/Neuter Program & rabies. The unit designs, conducts, and reviews studies which help identify strategies to reduce environmental exposures and risks, provides round the clock information on rabies and zoonotic exposure, distributes rabies tags, and develops policy.	\$1,503,667	10.000
Provides support for Epidemiology Section to achieve goal of minimizing effects of harmful occupational and environmental exposures through hazard evaluation, policy development, and technical guidance.	\$558,950	7.000
Actual Totals	\$3,813,498	42.700

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Hazard evaluations completed	357	8,016	5,753
Technical consultations completed ¹	-	916,228	955,016

¹Includes 926,800 rabies tabs distributed and 28,216 in direct technical consultations, audits, inspections, permits, notifications, accreditations, and educational outreach consultations.

Fund 14430-1505 Women's and Children's Health — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$17,831,964	\$18,146,117	\$18,147,636	\$1,996	\$18,149,632	\$3,745	\$18,151,381
Receipts	\$9,614,989	\$9,778,489	\$9,778,489	\$0	\$9,778,489	\$0	\$9,778,489
Appropriation	\$8,216,975	\$8,367,628	\$8,369,147	\$1,996	\$8,371,143	\$3,745	\$8,372,892
Positions	8.000	9.000	9.000	-	9.000	-	9.000

Fund description

This fund budgets allocations to local health departments which are components of the Maternal and Child Health Block Grant state plan. Target service areas are Child Health, Family Planning, and Prenatal Care.

Services for the fund	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
Provides services that enhance the health, growth and development of children and youth through increased access, health promotion, prevention, early identification, treatment and intervention.	\$4,754,061	-
Provides a wide range of preventive care services for men's and women's reproductive and sexual health through local health departments and other community-based providers to decrease the number of unplanned pregnancies.	\$7,033,012	-
Provides a range of services designed to encourage women to begin prenatal care early and follow recommended guidelines. Services include medical supervision, health promotion, guidance, nutrition, health/behaviors interventions/support and referral as necessary.	\$5,341,307	-
Provide administrative support to Women's and Children's Health Section.	\$703,581	8.000
Actual Totals	\$17,831,964	8.000

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Unduplicated count of clients seen for maternal health services	63,538	63,544	64,185
Unduplicated count of children receiving preventive and related health care through local health departments	109,082	106,591	104,241
Unduplicated count of low income men and women who are provided family planning services	142,802	138,270	145,166

Fund 14430-1511 Women's Health — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$38,761,561	\$37,542,083	\$37,528,137	(\$623,760)	\$36,904,377	(\$622,001)	\$36,906,136
Receipts	\$32,411,038	\$29,986,161	\$29,981,866	\$8,528	\$29,990,394	\$8,973	\$29,990,839
Appropriation	\$6,350,523	\$7,555,922	\$7,546,271	(\$632,288)	\$6,913,983	(\$630,974)	\$6,915,297
Positions	43.800	43.750	43.750	-	43.750	-	43.750

Fund description

This fund is used to contract with local providers for prenatal services to low-income pregnant women including prenatal care, case management, counseling, outreach and postpartum services. In addition, it is used to coordinate resources to improve access to nutritional support and medical supervision, to conduct/contract for prenatal education training and seminars, monitor and evaluate services to determine impact on perinatal outcomes, and provide consultation and technical assistance to service providers. Resources budgeted in this fund also support the provision of preventive health care and contraceptive services for low-income women and men. Support is also provided for the primary and secondary prevention of adolescent pregnancies.

Services for the fund	Actual Requirements 2005-06	Actual FTEs 2005-06
Improves prenatal health outcomes for African American women and infants in 14 North Carolina counties through outreach, case management, health education, depression screening, and interconceptional care developed through community-based consortia.	\$2,062,935	6.750
Uses a regional coordination model to provide educational and community-awareness activities to increase the number of women of childbearing age who are aware of the connection between folic acid and birth defects and are motivated to take a daily multivitamin with folic acid in order to reduce the incidence of neural tube defects.	\$298,834	1.000
Provides educational and health care support services to prevent first pregnancies. Work with pregnant and parenting teens to prevent subsequent pregnancies by funding projects in local health departments and community-based organizations designed to impact youth in positive ways to reduce the risk of pregnancy.	\$3,982,601	4.000
Provides a wide range of preventive care services by funding clinics in local health departments and other community-based providers to decrease the number of unplanned pregnancies and the poor health outcomes associated with them.	\$26,834,563	13.500
Provides a range of services designed to encourage women to begin prenatal care early and follow recommended guidelines by funding local health departments and community based organizations to support clinical and ancillary services.	\$5,582,619	18.500
Actual Totals	\$38,761,561	43.800

Measures for the fund

	2003-04	2004-05	2005-06
Low income women receiving family planning services	135,760	135,832	137,402
Unduplicated count of clients seen in maternal health	63,538	63,544	64,185

Fund 14430-1515 Children's Developmental Service Agencies — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$64,317,094	\$73,518,598	\$73,468,647	\$2,104,364	\$75,573,011	\$2,144,935	\$75,613,582
Receipts	\$30,554,910	\$30,572,778	\$30,572,778	\$2,431	\$30,575,209	\$2,431	\$30,575,209
Appropriation	\$33,762,184	\$42,945,820	\$42,895,869	\$2,101,933	\$44,997,802	\$2,142,504	\$45,038,373
Positions	709.300	841.560	841.310	-	841.310	-	841.310

Fund description

This fund is used for the network of state managed Children's Developmental Services Agencies (CDSA) and reflects all of their operational activities.

Services for the fund

	<u>Actual Requirements</u> 2005-06	<u>Actual FTEs</u> 2005-06
Service coordination includes arranging, linking, integrating, coordinating and monitoring the delivery of services to children and families.	\$19,473,752	335.100
Specialized therapy service provides the functional needs of children related to language and communication, gross and fine motor development, adaptive behavior, play and learning.	\$5,131,400	88.300
Provides medical services necessary to assess or support children's developmental progress.	\$4,817,589	82.900
Evaluates services contributing to the on-going developmental assessment of children.	\$3,248,531	55.900
Provides billing, record-keeping, fiscal and program oversight, monitoring, policy guidance and program coordination for the early intervention program.	\$8,142,248	140.110
Provides POMCS (Purchase of Medical Care Services), respite, and transportation services as required. Services are delivered by community providers and reimbursed through this fund.	\$4,242,491	-
Contracts with four agencies to provide early intervention (EI) services to families within a specified catchment area. EI services are the same as those listed above and provided by the 14 state administered CDSAs.	\$12,180,629	-
Program support from the Administrative Office of DPH.	\$142,989	7.000
Transfers to other DHHS agencies in support of departmental objectives in the areas of mental health and IT.	\$6,937,453	-
Actual Totals	\$64,317,094	709.300

Measures for the fund

	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Children with Individualized Family Service Plans (2006 estimated)	12,436	14,251	16,500
Children referred to program	17,265	17,319	17,500

Fund 14430-1516 Early Intervention — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$1,901,091	\$2,641,892	\$2,649,782	\$96,316	\$2,746,098	\$97,988	\$2,747,770
Receipts	\$1,653,664	\$1,980,862	\$1,980,862	\$9,849	\$1,990,711	\$11,521	\$1,992,383
Appropriation	\$247,427	\$661,030	\$668,920	\$86,467	\$755,387	\$86,467	\$755,387
Positions	19.000	26.000	26.000	-	26.000	-	26.000

Fund description

This fund is used for the activities of the Early Intervention Branch. Includes state level planning and administration of the federal early intervention grant, policy and planning activities, quality improvement and monitoring and administration and oversight of local programs.

Services for the fund	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
Assesses and monitors adherence to federal and state mandates, quality indicators and family satisfaction.	\$556,134	9.000
Provides information, materials and support to implement the policies and practice guidance for the early intervention program. The UNC-Family Support Network contract is also included in this category. This contract provides resources to families referred to and enrolled in the early intervention program and to the CDSAs and community service providers.	\$860,052	3.000
Program support provides direction and oversight, policy guidance, planning and monitoring for the early intervention program.	\$484,903	7.000
Actual Totals	\$1,901,091	19.000

Measures for the fund

	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Children with Individualized Family Service Plans (2006 estimated)	12,436	14,251	16,500
Children referred to program	17,265	17,319	17,500

Fund 14430-1520 Sickle Cell and Genetic Counseling — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$6,554,743	\$7,229,776	\$7,228,216	\$213,455	\$7,441,671	\$232,558	\$7,460,774
Receipts	\$1,863,403	\$350,653	\$350,653	\$0	\$350,653	\$0	\$350,653
Appropriation	\$4,691,340	\$6,879,123	\$6,877,563	\$213,455	\$7,091,018	\$232,558	\$7,110,121
Positions	22.000	22.000	22.000	-	22.000	-	22.000

Fund description

This fund is used to support the sickle cell program. This program contracts with medical centers, community based organizations, and supports state staff to provide clinical services, care coordination, and education to families with sickle cell disease throughout the state. The fund also supports the Governor's Council on Sickle Cell Syndrome.

Services for the fund	<u>Actual Requirements</u> <u>2005-06</u>	<u>Actual FTEs</u> <u>2005-06</u>
Provides services that enhance the health, growth and development of children and youth through increased access, health promotion, prevention, early identification, treatment and intervention.	\$2,742,315	9.000
Distributes funds to medical centers to provide clinical services to persons with sickle cell disease and other hemoglobinopathies (blood diseases) throughout the state of NC. This service is provided to reduce mortality and morbidity of people living with sickle cell disease.	\$915,131	-
Provides funding to community-based organizations & support 9 FTE regional sickle cell/educator counselors. Delivers care coordination & education to North Carolinians with sickle cell disease, sickle cell trait & other hemoglobinopathies to reduce mortality & morbidity in people with sickle cell through clinics, home visits, and community efforts.	\$1,430,207	9.000
Provides funding for the provision of inpatient hospitalization, outpatient medical care, pharmaceutical, and other medical services for persons living with sickle cell disease through the Purchase of Medical Care program.	\$547,561	-
Division administrative office supports statewide operational/training/outreach services and operational expenses for Governor's Council on Sickle Cell Syndrome.	\$919,529	4.000
Actual Totals	\$6,554,743	22.000

Measures for the fund

	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Infants diagnosed with Sickle Cell Disease who have been followed throughout the first year of life by the Sickle Cell Program	107	119	121

Fund 14430-1531 Special Needs Children — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$13,594,952	\$16,502,515	\$16,496,961	\$249,926	\$16,746,887	\$293,676	\$16,790,637
Receipts	\$7,147,440	\$9,563,138	\$9,563,376	\$5,957	\$9,569,333	\$7,213	\$9,570,589
Appropriation	\$6,447,512	\$6,939,377	\$6,933,585	\$243,969	\$7,177,554	\$286,463	\$7,220,048
Positions	49.000	49.990	49.990	-	49.990	-	49.990

Fund description

This fund is used to provide services to children with special health care needs. Programs and services are designed to assure identification and proper referral of children with developmental delays, chronic illness, or disabling conditions. Assist with costs of health care and related services for children (and some adults) with special health care needs. Provide training, consultation and technical assistance to family members, health care providers and other care givers in order to promote optimal growth and development for these children.

Services for the fund	<u>Actual Requirements</u> <u>2005-06</u>	<u>Actual FTEs</u> <u>2005-06</u>
Special Needs Plan implements and evaluates services for children with special health care needs (CSHCN). These children have, or are at increased risk for, chronic physical, developmental, behavioral, or emotional conditions and represent approximately 18% of the birth to 21 year old population in North Carolina.	\$8,768,492	28.000
Health Promotion focuses on population-based interventions that promote health and wellness in children. Initiatives address availability, accessibility, and utilization of primary and preventive health services for children from birth to age 21 years of age including vision screening.	\$263,676	4.000
School Health promotes the maximum physical, social, emotional and educational growth of children and adolescents in the school setting. Work is focused on strengthening and supporting the network of local school nurses and school health centers. These positions have lead responsibility for the planning, implementation, training, education and coordination of services provided by school health nurses and school health centers operating at the local level.	\$489,078	7.000
Purchase of Medical Care funds a range of services for children with special health care needs (CSHCN) related to specific medical conditions when costs are not covered by private insurance, Medicaid, CAP waiver programs or other funding sources. Includes services for assistive technology for approved devices for children eligible or enrolled in the Early Intervention Program. Cystic Fibrosis program funds cover specific costs for low income children and adults.	\$2,738,465	-
Carolina Children's Communicative Disorders Program contract supports comprehensive and multidisciplinary evaluation and treatment of communicative disorders, including those related to speech and hearing, for children ages birth to 21 regardless of their ability to pay.	\$676,049	-
Program support provides leadership and oversight of a statewide coordinated system of care to address children's preventive health needs.	\$659,191	10.000
Actual Totals	\$13,594,952	49.000

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Newborns receiving metabolic screening in birthing hospitals by one month of age	120,328	122,110	124,815
Information and referral calls answered through the NC Family Health Resource Line	43,702	35,819	33,725
Educational materials related to Health Check/NC Health Choice Programs distributed to families and providers	1,117,586	1,960,027	1,596,480

Fund 14430-1535 Child Health — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$11,461,997	\$18,962,457	\$18,948,093	(\$4,996,245)	\$13,951,848	(\$4,996,245)	\$13,951,848
Receipts	\$4,969,427	\$2,026,496	\$2,026,496	(\$1,888)	\$2,024,608	(\$1,888)	\$2,024,608
Appropriation	\$6,492,570	\$16,935,961	\$16,921,597	(\$4,994,357)	\$11,927,240	(\$4,994,357)	\$11,927,240
Positions	23.200	23.500	23.150	-	23.150	-	23.150

Fund description

This fund is used to provide preventive and primary care services to children from birth to 18 years of age via local service providers, including contracts for direct clinic services. In addition, it is used to coordinate resources to improve access to child health and school health services, and to conduct training and educational activities on childhood initiatives including parenting, vision screening, healthy weight, health and safety in child care, and prevention of child fatalities.

Services for the fund	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
Plans, implements, and evaluates services for children with special health care needs (CSHCN). These children have or are at increased risk for chronic physical, developmental, behavioral, or emotional conditions and represent approximately 18% of the birth to 21 year old population in NC.	\$616,474	1.000
Health promotion focuses on population-based interventions that promote health and wellness in children. Initiatives address availability, accessibility and utilization of primary/preventive health services for children from 0 to age 21 years.	\$2,712,450	9.000
School Health promotes physical, social, emotional, & educational growth of children/adolescents in the school setting. Focus on strengthening & supporting the local school nurses, school health centers and the Early Childhood Vision Program.	\$6,749,764	11.150
Prevent Blindness contract has two areas of focus that provides vision screening to children in North Carolina. One program is designed to train certified vision screeners for the school age population and the second program is intended to meet the vision screening requirements unique to preschool age children.	\$796,583	-
Child and Family Support Teams are designed to meet the needs of at-risk students in publicly-funded Local Education Agencies (LEAs). For the 07-09 biennium, funding for Child and Family Support Teams will be made from the Department of Public Instruction.	\$426,822	-
Program Support provides leadership and oversight to a statewide coordinated system of care to address the needs of children who have or are at risk for health, social-emotional and behavioral problems or conditions.	\$159,901	2.000
Actual Totals	\$11,461,997	23.200

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Unduplicated children receiving preventive and related health care through local health departments	109,082	106,591	104,241
Information and referral calls answered through the NC Family Health Resource Line	43,702	35,819	33,725
Educational materials related to Health Check/NC Health Choice Programs distributed to families and providers	1,117,586	1,960,027	1,596,480

Fund 14430-1540 Nutrition and Dietary Services — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$191,861,705	\$217,028,191	\$217,028,271	(\$113,190)	\$216,915,081	(\$107,401)	\$216,920,870
Receipts	\$191,127,650	\$215,978,313	\$215,978,313	(\$113,084)	\$215,865,229	(\$107,337)	\$215,870,976
Appropriation	\$734,055	\$1,049,878	\$1,049,958	(\$106)	\$1,049,852	(\$64)	\$1,049,894
Positions	33.200	33.230	33.230	-	33.230	-	33.230

Fund description

The purpose of the Women, Infants, and Children (WIC) Program fund is to contract with local agencies for nutrition education and provision of WIC Program services. Contract vendors (grocery stores) are reimbursed for the provision of nutritious supplemental foods for income-eligible participants at nutritional risk.

Services for the fund	Actual Requirements 2005-06	Actual FTEs 2005-06
Payments are made for WIC Supplemental Foods. Program participants receive WIC checks to redeem at contracting grocery stores to purchase specific supplemental foods (milk, juice, iron fortified cereal, eggs, cheese and infant formula). \$49,904,388 is received through a rebate with Mead Johnson Co. for contracted infant formula. Funds to support the purchase of fresh fruits and vegetables through the Farmers' Market Nutrition Program are also included.	\$155,310,652	-
Policy development, implementation, and evaluation of WIC program requirements including contract management, provider relations, program integrity, information systems, State Agency Model (SAM) project management, audit resolution, and the USDA State Plan. Contracts with Financial Services Management Corp. for fiscal intermediary (banking) services & contracts with WIC Service providers.	\$34,784,603	14.730
Responsible for WIC program eligibility, nutrition assessment, breastfeeding promotion, eligible supplemental foods, local agency monitoring, and nutrition surveillance and program evaluation.	\$1,049,498	7.000
Responsible for the development, implementation, and evaluation of nutrition education tools and resources for State and local agency staff in the WIC and the Child Nutrition Programs in response to clinical issues, program policy and federal mandates.	\$716,952	11.500
Actual Totals	\$191,861,705	33.200

Measures for the fund

	2003-04	2004-05	2005-06
Pregnant women participating in WIC who received WIC program services during the first trimester of pregnancy	32,295	33,087	33,721
Women enrolled in WIC who initiated breastfeeding	35,010	37,669	40,022
Average number of program participants per month	218,345	224,670	230,140

Fund 14430-1551 Chronic Diseases — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$24,740,626	\$25,719,055	\$25,730,223	\$37,247	\$25,767,470	\$45,433	\$25,775,656
Receipts	\$17,104,245	\$17,932,836	\$17,932,836	\$1,410	\$17,934,246	\$6,929	\$17,939,765
Appropriation	\$7,636,381	\$7,786,219	\$7,797,387	\$35,837	\$7,833,224	\$38,504	\$7,835,891
Positions	115.100	114.470	115.180	-	115.180	-	115.180

Fund description

The purpose of this fund is used to increase state and local capacity to address physical activity, nutrition, tobacco use, diabetes, hypertension, arthritis, asthma, cancer, and injury prevention. Goals include analysis and dissemination of surveillance data. This is used in program planning, addressing health disparities for underserved and high-risk populations, and integrating work across the fund among aging populations. The fund also provides limited direct service resources for cancer, kidney, and refugee health services. In addition, it provides tools, consultation, and technical assistance to local health departments and other stakeholders regarding broad public health approaches to systems, policy and environmental changes that impact chronic

diseases and risk factors. The fund maintains the Advisory Committee on Cancer Coordination and Control, the Justus Warren Heart Disease and Stroke Prevention Task Force, the Diabetes Advisory Council and the Asthma Alliance.

Services for the fund	<u>Actual Requirements</u> 2005-06	<u>Actual FTEs</u> 2005-06
Develops and disseminates information about obesity prevention to community health and health care professionals, worksites, schools, faith centers, and child care centers. Collaborates with local partners to advocate for policy, systems, and environmental changes that support physical activity and healthy eating. Provides funding and technical support to health departments for health promotion programs that address nutrition, physical activity, and tobacco prevention.	\$4,610,356	21.000
Provides health education and intervention services to eligible women to increase awareness of prevention and lifestyle changes such as weight control, smoking cessation, exercise and proper diet in controlling chronic diseases to lower the risk of heart disease through WiseWoman.	\$1,342,641	5.000
Administers state and federal programs that provide breast and cervical cancers screening and diagnostic services for women and provide diagnostic and treatment services for individuals who may have cancer. Develops and implements strategies among groups in order to improve state-wide cancer prevention, screening, and treatment for NC citizens.	\$8,167,424	22.000
Provides education and awareness activities regarding the diabetes risk factors facing NC consumers, and healthcare professionals in an effort to decrease the morbidity and mortality of diabetes. The education and awareness will be furthered through the utilization of social marketing, environmental and policy change, work site wellness, Internet resources, and associations with the ECU Fellowship, Chronic Disease Collaborative, Project DIRECT Academy, and Diabetes Today.	\$1,176,662	7.500
Builds the capacity of statewide partners and local coalitions to implement evidence-based tobacco use prevention policies, programs and services by collecting and reporting on tobacco health data, identifying model policies, providing training and technical assistance, conducting and reporting on the results of evaluations, educating the public and decision makers on effective strategies to reduce tobacco use, and advocating for policy and environmental changes.	\$2,906,904	20.250
Partners and collaborates to advocate for policy, systems and environmental changes impacting disparate populations at risk for heart disease and stroke.	\$2,577,029	12.000
Promotes asthma education, policy, and environmental changes by producing the Asthma State Plan and Burden Document. Increases public awareness by providing leadership, resources, and technical assistance to local coalitions and the Asthma Alliance of North Carolina.	\$195,144	4.500
Maintains a comprehensive injury surveillance system that provides statistics on fatal and non-fatal injuries. Develops and evaluates evidence-based injury and violence prevention policies and programs for state and local agencies.	\$2,151,460	13.000
Provides direct funding to local dialysis centers to cover the cost of transportation to and from dialysis centers, medication, and emergency related expenses for people with chronic kidney disease who meet the eligibility requirements.	\$255,642	-
Provides oversight, technical assistance, and funding to local health departments to ensure that newly arrived refugees receive a timely refugee health assessment which identifies health conditions that will impede the successful resettlement of the refugee and/or pose a threat to the public health.	\$52,737	1.000
Establishes a toll-free hotline through Wake Forest University to provide medication assistance, education and resources for persons with epilepsy and other neurological disorders which improves quality of life and enhances access to needed resources and services.	\$177,301	-
Works with providers to supply technical and educational assistance about chronic disease issues to government and business leaders, health care providers and the public.	\$941,384	7.930

Provides training and resources to arthritis instructors who in turn will provide skills necessary for arthritis sufferers to better manage their condition. Integrates arthritis services and resources into the infrastructure and programming of state and local agencies to ensure sustainability of support services. Develops, maintains, and promotes tools for arthritis-related education and communication which increases public awareness.

\$185,923 1.000

Actual Totals \$24,740,626 115.100

Measures for the fund

2003-04 2004-05 2005-06

Women screened for early detection of breast/cervical cancer 14,059 15,004 13,328

Increase in policies and programs that target physical activity and nutrition 184 272 137

Fund 14430-1560 Public Health Laboratory Services — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$21,024,457	\$17,020,226	\$17,018,135	(\$206,161)	\$16,811,974	(\$193,712)	\$16,824,423
Receipts	\$16,606,527	\$10,959,628	\$10,959,628	\$1,976	\$10,961,604	\$4,197	\$10,963,825
Appropriation	\$4,417,930	\$6,060,598	\$6,058,507	(\$208,137)	\$5,850,370	(\$197,909)	\$5,860,598
Positions	190.000	190.000	190.000	-	190.000	-	190.000

Fund description

This fund is used for laboratory examinations of samples submitted by appropriate health care providers; for maintaining databases of results; and for providing training, testing and certification to other laboratories.

Services for the fund

Actual Requirements Actual FTEs
2005-06 2005-06

Provides pap screening and reflex testing to detect cervical cancer in women. \$2,359,788 27.000

Provides microbiological, chemical (inorganic and organic) and radiochemical contaminants testing of water, milk, soil and other environmental samples. Provides certification of environmental laboratories under the EPA Safe Drinking Water Act. \$2,202,535 31.000

Provides clinical and reference microbiological testing services, including bacteriology, mycology, parasitology, mycobacteriology (TB). Many of these bacterial pathogens lead to food borne illness, meningococcal disease and vaccine preventable diseases such as whooping cough (pertussis). \$1,164,761 23.000

Provides screening for over 30 metabolic disorders (including PKU, hypothyroidism, galactosemia, and sickle cell anemia) for every baby born in North Carolina. Provides blood lead screening for children six months to six years of age to detect lead poisoning and blood grouping and type testing for prenatal patients. \$4,143,222 33.000

Provides viral culture and rabies testing, serological detection of numerous bacterial and viral agents (HIV, Syphilis, Hepatitis, RMSF, WNV, etc.), and nucleic acid detection of Chlamydia and Gonorrhea and Influenza virus. \$3,059,840 38.000

Provides training and consultation to improve public health laboratory services by conducting lectures and hands-on workshops, teleconferences, videoconferencing, and on-line training modules. Through the NC CLIA Contract Program, four regionally-based staff members serve as Technical Consultants with responsibilities to 47 local health departments. \$621,480 11.000

Provides leadership and management of all laboratory services as well as quality assessment, applied research, scientific services, and operational support (Medicaid and test fee billing, container/kit preparation, shipping, receiving and storage of goods, inventory management and scientific equipment maintenance).	\$2,296,465	27.000
Transfers to other DHHS agencies in support of departmental objectives in the areas of mental health and IT.	\$5,176,356	-
Actual Totals	\$21,024,457	190.000

Measures for the fund	2003-04	2004-05	2005-06
Laboratory tests (clinical/environmental) performed	808,247	848,659	918,117
Drinking water labs certified (EPA Safe Drinking Water Program)	229	235	229
Personnel trained through laboratory improvement unit directed workshops	1,447	1,748	1,668

Fund 14430-1561 Bioterrorism Grant Regular — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$22,717,615	\$24,865,254	\$24,865,254	(\$42,368)	\$24,822,886	(\$41,837)	\$24,823,417
Receipts	\$22,719,814	\$24,865,254	\$24,865,254	(\$42,368)	\$24,822,886	(\$41,837)	\$24,823,417
Appropriation	(\$2,199)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	52.600	52.570	52.570	-	52.570	-	52.570

Fund description

This fund supports activities at the state, regional and local levels to plan, prepare, respond, recover, and mitigate against the full range of public health threats, including acts of terrorism, emerging natural diseases and natural disasters. Major activities include preparation of plans, conducting responses during actual events and exercises, and coordination with other agencies at the federal, state regional and local levels. This is one of four funds (1561, 1562, 1563 and 1564) that support public health preparedness and response.

Services for the fund

Monitors and responds to public health emergencies by preparing and exercising public health response plans, conducting public health responses during actual events (whether natural or man made), and ensuring a coordinated public health response among federal, state, regional and local response partners in order to protect the health and safety of the citizens of NC.

Surveillance For Public Health Emergency Preparedness program monitors disease tracking systems (NC Disease Event Tracking and Epidemiologic Collection Tool) that provides daily information on the status of certain reportable diseases. NC DETECT merges data from 24 hour emergency departments, ambulance providers, poison centers, and animal health sources. Data is reviewed and analyzed to determine if a disease outbreak or disease cluster is of public health interest.

Actual Requirements 2005-06	Actual FTEs 2005-06
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\$3,170,507	15.000
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\$11,654,634	2.570
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Provides medical and environmental laboratory services through the completion of over 110 clinical tests and over 65 environmental tests, and training and consultation services to local health departments, hospitals, commercial laboratories, private health care professionals (physicians), community-based organizations, and state and regional staff from the Division of Public Health, DENR, and Department of Agriculture and Consumer Services to protect the health of NC citizens.	\$3,851,635	18.000
Provides technical assistance, consultation, surveillance on health risk assessments, hazard evaluations, and public health preparedness and response expertise for chemical, radiological, biological, environmental events to local health depts., hospitals, clinics, physician offices, animal control agencies, industry, schools, and general public.	\$48,481	1.000
Maintains a comprehensive injury surveillance system that provides statistics on fatal and non-fatal injuries and develops and evaluates evidence-based injury and violence prevention policies and programs for state and local agencies to reduce the number of injury and violence-related deaths and disabilities in North Carolina.	\$72,208	2.000
Investigates unnatural, suspicious, traumatic, or unattended deaths through autopsies and review of county medical examiner findings to provide timely accurate reports of investigative results to families, law enforcement agencies and other interested parties. The Child Fatality Prevention Team reviews and investigates child deaths and provides training to law enforcement, health care and other local agencies on proper techniques for child death investigations.	\$102,782	3.000
Supports information technology (IT) services for the Public Health Preparedness and Response system in NC which is in compliance with federal recommendations for the Public Health Information Network (PHIN). Provides support for preparedness IT needs including system development and enhancement, operations of the Public Health Command Center, and IT support during public response deployments.	\$3,817,343	11.000
Actual Totals	\$22,717,615	52.600

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
State and local health departments which have All-Hazards Emergency Response Plans	0	86	86
State exercises completed in public health preparedness and response	3	8	15

Fund 14430-1562 Bioterrorism Grant SNS — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$85,028	\$43,559	\$43,559	\$0	\$43,559	\$0	\$43,559
Receipts	\$85,028	\$43,559	\$43,559	\$0	\$43,559	\$0	\$43,559
Appropriation	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This fund supports activities related to the acquisition and distribution of the Strategic National Stockpile (SNS) and related medical supplies within the context of public health preparedness and response. This is one of four funds (1561, 1562, 1563 and 1564) that support public health preparedness and response.

Services for the fund	<u>Actual Requirements</u> <u>2005-06</u>	<u>Actual FTEs</u> <u>2005-06</u>
Monitors and responds to public health emergencies by preparing and exercising public health response plans (including plans for acquisition and distribution of the Strategic National Stockpile), conducting public health responses during actual events, and ensuring a coordinated public health response among federal, state, regional and local response partners in order to protect the health and safety of the citizens of NC.	\$83,827	-
Supports IT services for smallpox response efforts for the Public Health Preparedness and Response system in NC and in compliance with federal recommendations for the Public Health Information Network (PHIN). Provides support for preparedness IT needs including system development and enhancement (such as the Health Alert Network), and operations of the Public Health Command Center.	\$1,201	-
Actual Totals	\$85,028	-

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
State and local health departments which have All-Hazards Emergency Response Plans	-	86	86
State exercises completed in public health preparedness and response	3	8	15

Fund 14430-1563 Bioterrorism Grant Smallpox — Base Budget

	<u>2005-06</u> <u>Actual</u>	<u>2006-07</u> <u>Certified</u>	<u>2006-07</u> <u>Authorized</u>	<u>2007-08</u> <u>Adjustments</u>	<u>2007-08</u> <u>Total</u>	<u>2008-09</u> <u>Adjustments</u>	<u>2008-09</u> <u>Total</u>
Requirements	\$37,167	\$37,168	\$37,168	\$0	\$37,168	\$0	\$37,168
Receipts	\$37,167	\$37,168	\$37,168	\$0	\$37,168	\$0	\$37,168
Appropriation	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This fund supports activities related to planning, exercising and conducting responses to a smallpox attack, including vaccination, quarantine and isolation and related matters within the context of public health preparedness and response. This is one of four funds (1561, 1562, 1563 and 1564) that support public health preparedness and response.

Services for the fund	<u>Actual Requirements</u> <u>2005-06</u>	<u>Actual FTEs</u> <u>2005-06</u>
Monitors and responds to public health emergencies by preparing and exercising public health response plans, (including plans for responding to a potential smallpox attack), conducting public health responses during actual events, and ensuring a coordinated public health response among federal, state, regional and local response partners in order to protect the health and safety of the citizens of NC.	\$14,414	-

Monitors disease tracking systems (NC Disease Event Tracking and Epidemiologic Tool - NC DETECT) that provides daily information on the status of certain reportable diseases. NC DETECT merges data from 24 hour emergency departments, ambulance providers, poison centers, and animal health sources. Data is reviewed and analyzed to determine if a disease outbreak or disease cluster is of public health interest.	\$20,800	-
Administration	\$1,953	-
Actual Totals	\$37,167	-

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
State and local health departments which have All-Hazards Emergency Response Plans	-	86	86
Authorized public health users to access and monitor NC DETECT (NC Disease Event Tracking & Epidemiologic Collection Tool)	49	68	122

Fund 14430-1564 BT Grant Supplemental — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$672,571	\$2,025,426	\$2,025,426	\$0	\$2,025,426	\$0	\$2,025,426
Receipts	\$689,949	\$2,025,426	\$2,025,426	\$0	\$2,025,426	\$0	\$2,025,426
Appropriation	(\$17,378)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This fund supports the state's efforts related to planning, exercising and conducting a response to a potential Pandemic Influenza event. This includes non-pharmacologic interventions such as isolation, quarantine and social distancing; pharmacologic interventions such as vaccination and antiviral distribution; and medical surge response. This is one of four funds (1561, 1562, 1563 and 1564) that support public health preparedness and response.

Services for the fund	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
Monitors and responds to public health emergencies by preparing and exercising public health response plans, conducting public health responses during actual events, and ensuring a coordinated public health response among federal, state, regional and local response partners in order to protect the health and safety of the citizens of NC.	\$466,940	-
Monitors disease tracking systems (NC Disease Event Tracking and Epidemiologic Tool - NC DETECT) that provide daily information on the status of certain reportable diseases. NC DETECT merges data from 24 hour emergency departments, ambulance providers, poison centers, and animal health sources. Data is reviewed and analyzed to determine if a disease outbreak or disease cluster is of public health interest.	\$205,631	-
Actual Totals	\$672,571	-

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
State and local health departments which have Pandemic Influenza Preparedness and Response Plans	0	0	2

Fund 14430-1570 Immunization — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$22,209,177	\$28,159,869	\$28,159,662	\$478,440	\$28,638,102	\$533,167	\$28,692,829
Receipts	\$7,151,087	\$6,973,892	\$6,973,892	\$0	\$6,973,892	\$0	\$6,973,892
Appropriation	\$15,058,090	\$21,185,977	\$21,185,770	\$478,440	\$21,664,210	\$533,167	\$21,718,937
Positions	47.000	47.000	47.000	-	47.000	-	47.000

Fund description

This fund is used to develop and disseminate immunization information to health professionals and the public, distribute vaccine to public and private providers, provide technical assistance, monitor and evaluate services, develop and maintain a North Carolina Immunization Registry, and contract with local providers for immunization services.

Services for the fund	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
Purchases childhood vaccines, manages the state's UCVPD program and the federal Vaccine for Children (VFC) program, and provides technical assistance to public and private health care providers on the safety, storage, and handling of vaccines.	\$18,628,263	8.000
Monitors the frequency of vaccine-preventable diseases through surveillance, local health departments, hospitals, private provider offices, child care facilities, schools and universities to ensure all individuals from 0 through 18 years of age have received immunizations. Enforce immunization laws/compliance requirements, conduct visits to ensure the vaccine is being stored, handled and accounted for properly.	\$1,124,885	17.000
Supports the North Carolina Immunization Registry (NCIR) and data management program. Respond to requests from the general public for immunization information. Support the Immunization Branch staff on project evaluation including immunization survey design and methodology.	\$397,018	6.000
Supports development of educational materials based on CDC recommendations, work with media to develop strategies to disseminate critical information, provide outreach services beyond conventional limits, and enhance knowledge and skills of health care workers administering vaccines.	\$529,358	8.000
Provides administrative support to the immunization branch.	\$529,358	8.000
Transfers to other DHHS agencies in support of departmental objectives in the areas of mental health and IT.	\$1,000,295	-
Actual Totals	\$22,209,177	47.000

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Children with all recommended immunizations by two years of age	196,800	204,480	-

Fund 14430-1575 Child Nutrition Programs — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$83,844,460	\$98,554,283	\$98,554,283	(\$348,723)	\$98,205,560	(\$345,495)	\$98,208,788
Receipts	\$83,849,032	\$98,554,283	\$98,554,283	(\$348,723)	\$98,205,560	(\$345,495)	\$98,208,788
Appropriation	(\$4,572)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	32.800	32.770	32.770	-	32.770	-	32.770

Fund description

The purpose of this fund is to provide technical assistance to public health personnel to promote the delivery of nutrition services to adults and children and to provide reimbursement for nutritious meals for eligible children and adults who attend qualifying adult and child care facilities, and participate with Summer Food Service Program.

Services for the fund	Actual Requirements 2005-06	Actual FTEs 2005-06
Makes payment to contracting adult and child day care providers for meals served to children.	\$76,204,293	-
Manages, develops, implements, and evaluates program policy requirements including program eligibility, federal and state requirements, program outreach and expansion. Provide technical assistance, and administer agreements with institutions participating in Child and Adult Care Food Program (CACFP) (reimbursement is reflected in Meal and Sponsor Reimbursement).	\$2,003,318	17.880
Develops, implements, and evaluates program policy as it relates to program integrity, compliance with federal and state requirements, audit resolution and state reporting requirement compliance. Conduct administrative reviews of contracting CACFP institutions.	\$768,009	13.000
Provides nutritious meals and snacks to low income children to promote good nutritional health by reimbursing 87 qualified sponsors for meals provided through local programs when school is not in session.	\$4,868,840	1.890
Actual Totals	\$83,844,460	32.800

Measures for the fund

	2003-04	2004-05	2005-06
Program participation in Child and Adult Care Food (average daily attendance)	132,275	106,896	125,000
Program participation in Summer Food Service	109,520	110,615	111,710

Fund 14430-1810 Division of Public Health-Revenue Clearing Fund — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	(\$7,405,180)	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The Revenue Clearing Fund records accounting transactions that detail by funding source the revenue due from federal and other funding agencies as a result of expenditures that earned those dollars in the operating funds of the agency. Actual receipts received from funding agencies are also recorded in this fund. The revenue clearing fund balance indicates the current status of the agency's accounts receivable for the funding sources reflected in the clearing account at any point in the fiscal year.

Fund 14430-1991 Federal Indirect Reserve — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$621,991	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	\$2,330,652	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	(\$1,708,661)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This purpose of this fund is to account for funds earned for indirect costs from federal funding sources. The rate or amount of indirect cost that may be earned is negotiated each year with the federal DHHS Division of Cost Allocation based upon prior year statewide and departmental overhead costs.

Fund 14430-1992 Prior Year Earned Revenue — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$3,557,305	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	\$3,564,346	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	(\$7,041)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This fund is used to record revenue received in the current fiscal year, primarily from federal funding sources, that was earned in a prior fiscal year.

Fund 14430-1993 Prior Year Audits and Adjustments — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$86,779	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	\$232,694	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	(\$145,915)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This fund is used to record adjustments to expenditures or receipts for activities or transactions that occurred in a prior fiscal year. Adjustments tracked in this fund include paybacks from subgrantees as a result of audits of prior year operations.

Division of Social Services

Mission

The North Carolina Division of Social Services is dedicated to assisting and providing opportunities for individuals and families in need of basic economic support and services to become self-sufficient and self-reliant. The Division of Social Services advocates for and encourages individuals' rights to select actions appropriate to their needs. Primary to the Division is our commitment to provide families and children with family centered services that strive to achieve well being through ensuring safety and permanency. Furthermore, we recognize our responsibility through teamwork and professional effort to assist in this process. Toward this end, in cooperation with local departments of social services and other public and private entities, we seek to identify needs, devise and focus resources, and deliver services responsively, timely and compassionately.

Goals

Provide a safe, nurturing environment for infants, children and adolescents who are in DSS custody and unable to return to their biological parents, and through family centered services achieve reunification or permanent homes.

Reduce the rate of maltreatment of children through legally mandated involuntary services for families with infants, children and adolescents who have been harmed or are at imminent risk of harm due to the actions of or lack of protection by the child's parent or caretaker.

Help families become self sufficient through the consistent collection of child support payments.

Assist families to establish safe and supportive environments for their children through programs that support the healthy development of children, establish and maintain family involvement in program planning, and mobilize public and private community resources.

Provide shelter and services for victims of domestic violence.

Assist low income individuals and families with little or no income to buy nutritious food.

Provide funds to low income households to help pay energy expenses through publicly funded and community funded energy assistance programs.

Assist refugees and other specific immigrants to achieve economic self-sufficiency as soon as possible by providing them with intensive, refugee-specific public assistance and refugee social services.

Assist eligible low income families with children in achieving economic self-sufficiency through employment, training and supportive services while concurrently providing financial assistance.

Support healthy pregnancies and healthy babies by providing a concentrated regimen of services to qualified North Carolina residents who are experiencing an unplanned pregnancy.

Governor's Recommended Adjustments to Base Budget

Division of Social Services (14440)

Recommended General Fund Budget and Positions

	<u>2007-08</u>	<u>2008-09</u>
Base Budget		
Requirements	\$1,517,919,459	\$1,520,617,296
Receipts	<u>\$1,305,858,578</u>	<u>\$1,305,388,712</u>
Appropriation	\$212,060,881	\$215,228,584
Adjustments		
Requirements	\$4,290,647	\$7,643,493
Receipts	<u>\$47,641</u>	<u>\$83,190</u>
Appropriation	\$4,243,006	\$7,560,303
Total		
Requirements	\$1,522,210,106	\$1,528,260,789
Receipts	<u>\$1,305,906,219</u>	<u>\$1,305,471,902</u>
Recommended Appropriation	<u>\$216,303,887</u>	<u>\$222,788,887</u>
<hr/>		
Positions		
Base Budget Positions	766.000	766.000
Reductions	-	-
Expansion	<u>8.000</u>	<u>8.000</u>
Recommended Positions	<u>774.000</u>	<u>774.000</u>

Appropriation Items -- Recommended Adjustments

Expansion

2007-08

2008-09

1. Child Welfare Post Secondary Support Program

The Governor recommends funding to meet the post-secondary educational needs of foster youth aging out of the foster care system and special needs children adopted from foster care after age 12. This item will fund tuition, fees, room and board, books, and a contract to provide support services and assistance to these children as they progress through their education.

Appropriation	\$3,807,200	\$7,036,500
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2. Hearings and Appeals

The Governor recommends adding a Hearing Officer Supervisor and two Hearing Officers to increase staff in the DHHS Office of Hearings and Appeals. The office handles thousands of requests for appeals of decisions and determinations made regarding eligibility and benefits under Medicaid, State/County Special Assistance, child care subsidy, food stamps, and other social service programs.

Requirements	\$163,612	\$218,148
Requirements - Nonrecurring	\$14,325	-
Receipts	\$80,408	\$107,209
Receipts - Nonrecurring	\$7,040	-
<hr/>		
Appropriation	\$90,489	\$110,939
Positions	3.000	3.000

3. Child Welfare Oversight

The Governor recommends additional funds to strengthen oversight of Child Welfare Services. The recommended funding will replace lost federal funding for two existing Social Service Program Consultants that train child welfare workers in domestic violence detection and prevention (no new FTE requested) and add five new Social Service

Program Consultants to review and audit child welfare services being provided by 100 county Departments of Social Services and contractual providers.

Requirements	\$291,635	\$388,845
Requirements - Nonrecurring	\$13,875	-
Receipts	(\$44,539)	(\$24,019)
Receipts - Nonrecurring	\$4,732	-
Appropriation	\$345,317	\$412,864
Positions	5.000	5.000

Total Recommended Expansion

	<u>2007-08</u>	<u>2008-09</u>
Recurring		
Requirements	\$4,262,447	\$7,643,493
Receipts	35,869	83,190
Appropriation	\$4,226,578	\$7,560,303
Positions	8.000	8.000
Nonrecurring		
Requirements	\$28,200	-
Receipts	11,772	-
Appropriation	\$16,428	-
Positions	-	-

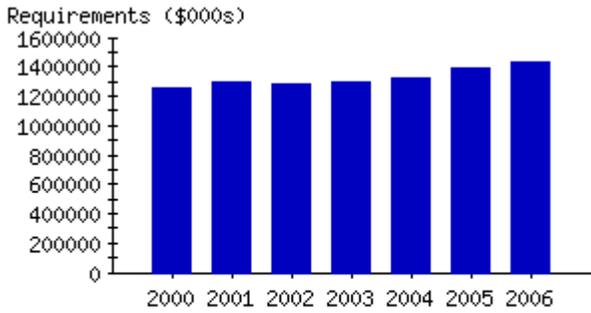
**Total Recommended Adjustments for
Division of Social Services (14440)
2007-09**

	<u>2007-08</u>	<u>2008-09</u>
Recurring		
Requirements	\$4,262,447	\$7,643,493
Receipts	35,869	83,190
	\$4,226,578	\$7,560,303
Appropriation		
Positions	8.000	8.000
Nonrecurring		
Requirements	\$28,200	-
Receipts	11,772	-
	\$16,428	-
Appropriation		
Positions	-	-
Total Appropriation Adjustments	\$4,243,006	\$7,560,303
Total Position Adjustments	8.000	8.000

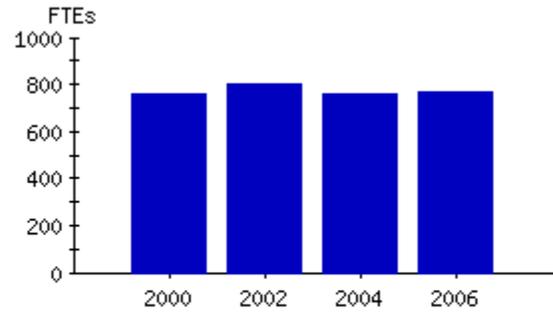
Base Budget and Results-Based Information

Budget Code 14440 DHHS - Division of Social Services

**Actual Expenditures
by Fiscal Year**



**Actual Positions
by Fiscal Year**



Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$1,434,827,915	\$1,515,244,068	\$1,515,244,068	\$2,675,391	\$1,517,919,459	\$5,373,228	\$1,520,617,296
Receipts	\$1,250,709,902	\$1,306,889,758	\$1,306,889,758	(\$1,031,180)	\$1,305,858,578	(\$1,501,046)	\$1,305,388,712
Appropriation	\$184,118,013	\$208,354,310	\$208,354,310	\$3,706,571	\$212,060,881	\$6,874,274	\$215,228,584
Positions	767.000	766.000	766.000	-	766.000	-	766.000

Budget Code 14440 DHHS - Division of Social Services

Fund 14440-1110 State Administration — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$20,567,990	\$19,783,084	\$19,783,084	\$5,373	\$19,788,457	\$12,405	\$19,795,489
Receipts	\$16,789,624	\$11,854,779	\$11,854,779	\$2,358	\$11,857,137	\$5,725	\$11,860,504
Appropriation	\$3,778,366	\$7,928,305	\$7,928,305	\$3,015	\$7,931,320	\$6,680	\$7,934,985
Positions	100.000	100.000	100.000	-	100.000	-	100.000

Fund description

Develop policies and procedures to carry out federal and state program requirements; to allocate funds to counties; to supervise program implementation and field operations; to develop and periodically update the state training plan; to provide accounting and statistical information regarding how funds are spent; to support development and operations of electronic data processing and telecommunication systems and respond to the legislative and rulemaking process. This fund includes the Office of the Director, personnel services, accounting and budget functions, planning, administrative, contract services, hearing and appeals and food stamp quality assurance.

Services for the fund

	<u>Actual</u> <u>Requirements</u> <u>2005-06</u>	<u>Actual</u> <u>FTEs</u> <u>2005-06</u>
Support and manage programs for the citizens of North Carolina by developing and insuring Federal and State policies and procedures are implemented, allocate funds, provide accounting and statistical information, Hearing and Appeals for disputed cases; and Departmental administrative support functions such as, Personnel, Director's Office, Budget, Contracts and Purchasing to ensure programs are administered effectively and efficiently.	\$19,718,253	84.000
Review food stamp recipients' cases state wide to insure families receive accurate food stamp benefits.	\$849,737	16.000
Actual Totals	\$20,567,990	100.000

Fund 14440-1130 Performance Mgmt/Economic Services — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$13,180,536	\$15,403,046	\$15,403,046	(\$673,323)	\$14,729,723	(\$672,292)	\$14,730,754
Receipts	\$10,325,789	\$11,333,219	\$11,333,219	\$41,030	\$11,374,249	\$41,751	\$11,374,970
Appropriation	\$2,854,747	\$4,069,827	\$4,069,827	(\$714,353)	\$3,355,474	(\$714,043)	\$3,355,784
Positions	56.000	56.000	56.000	-	56.000	-	56.000

Fund description

Provides guidance and supervision for county departments of social services in administering the Food Stamp Program and the Energy Program to ensure that these economic support programs focus on the goals of providing accurate and timely assistance to families in economic crisis. This Fund also supports Program Integrity activities by providing technical assistance to counties in the areas of detecting and preventing the occurrence of erroneous payments and prosecuting fraud.

Services for the fund	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
Manage and support the Low Income Heating Energy Assistance Program (LIHEAP) and Crisis Intervention Program (CIP) by issuing policy and procedures to county departments of social services, monitoring for program compliance and ensuring the annual payments are made appropriately and timely to clients. (See Funds 1240 (LIEAP) and 1280 (CIP) for payments.)	\$64,840	.300
Manage and support the Food Stamp Program by issuing policy and procedures to county departments of social services; monitoring for program compliance; ensuring benefits are paid appropriately and timely to clients through Electronic Benefits Transfer (EBT); operating the Employment and Training Program; assisting in funding Food Banks and facilitating nutrition education programs and providing customer service by operating a state wide call center for food stamp recipients.	\$10,550,212	44.800
Provide after school academic, social, and recreational programs for disadvantaged youth to improve their well being and provide support for Work Central, a career support center that helps former Work First recipients achieve economic self-sufficiency through contract with nonprofits to ensure a safe environment for youth.	\$222,530	.950
Support and manage programs for Food Stamp and LIEAP and provider funded energy assistance programs to ensure programs are administered effectively and efficiently for North Carolina citizens. Provider funded energy assistance program payments are in Fund 1280.	\$2,342,954	9.950
Actual Totals	\$13,180,536	56.000

Fund 14440-1140 Child Welfare Services — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$37,080,544	\$45,239,805	\$45,239,805	(\$5,493)	\$45,234,312	\$4,615	\$45,244,420
Receipts	\$28,574,266	\$35,514,019	\$35,514,019	(\$8,515)	\$35,505,504	(\$5,170)	\$35,508,849
Appropriation	\$8,506,278	\$9,725,786	\$9,725,786	\$3,022	\$9,728,808	\$9,785	\$9,735,571
Positions	131.000	130.000	130.000	-	130.000	-	130.000

Fund description

Ensures that programs for children and families are developed, implemented, and administered fairly and consistently across the state. These programs are supported by numerous federal grants including the Social Services Block Grant, Temporary Aid to Needy Families Block Grant and Child Welfare Services Funds, and by state appropriations such as the Special Children Adoption Incentive Funds. Federal participation in the programs varies according to source of funds. Programs for children and their families are designed to protect and promote the welfare of children in their homes and, when necessary, to provide care for them outside their homes until they can be reunited with their parents or relatives, placed for adoption, or otherwise placed in a permanent home. Family Support and Child Welfare is committed to providing family centered services to children and families to achieve well-being through ensuring self sufficiency, support, safety and permanency. Work First provides a variety of benefits and services to assist families with children in achieving self-sufficiency.

Services for the fund	<u>Actual Requirements</u> 2005-06	<u>Actual FTEs</u> 2005-06
Support and manage programs for Family Services and Child Welfare Programs to ensure programs are administered effectively and efficiently for North Carolina citizens.	\$1,214,478	14.000
Manage and support the Adoption Program by issuing policy and procedures to county departments of social services, monitoring for program compliance, providing adoption assistance payments (Fund 1250), maintaining adoption registry index and providing incentive payments to encourage adoption of special needs children to ensure permanent placement of children.	\$9,294,601	33.000
Manage and support the Child Protective Services Program by issuing policy and procedures to county departments of social services and monitoring for program compliance to ensure safety of children.	\$4,091,591	25.000
Family Preservation & Support - Provides family-focused and community-based interventions through contracts with community agencies targeting families with children who are at high risk of neglect, abuse, or dysfunction in the home or community, and are at risk of placement out of the home to ensure safe and supportive environment for the children of North Carolina.	\$8,072,308	3.000
Family Violence - Manage and support by providing shelter and services for victims of domestic violence through contracts with domestic violence shelter programs throughout the state to ensure the safety of victims and families.	\$2,804,006	3.000
Manage and support the Foster Care Program by issuing policy and procedures to county departments of social services, licensing foster homes, monitoring for program compliance, provide a safe, nurturing environment for infants, children and adolescents in DSS custody through family-centered services to achieve reunification or permanency, services to young adults who age out of the foster care, foster care assistance payments for eligible children (Fund 1260).	\$6,044,283	35.000
Manage and support the Work First Program by issuing policy and procedures to county departments of social services, monitoring for program compliance, providing intensive job related services, providing cash benefits (Fund 1220) to eligible families and providing a variety of services to Work First eligible's i.e. job readiness, job search which make up employment services, cash assistance and 200% poverty services.	\$5,559,277	18.000
Actual Totals	\$37,080,544	131.000

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Percentage of participants indicating an increase in strengths in the "Parent-Child Interactions/Parent-Child Relationships" category on the Family Support Outcome Scale	62.00 %	54.00 %	58.40 %
Percentage of participants indicating an increase in strengths in the "Child's Developmental Status (Social, Cognitive, etc.)" category on the Family Support Outcome Scale	62.00 %	64.00 %	62.20 %
Domestic violence victims served through shelters and related services	44,944	50,857	52,500

Fund 14440-1170 Refugee Assistance Programs — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$2,624,550	\$2,650,610	\$2,650,610	\$364	\$2,650,974	\$1,291	\$2,651,901
Receipts	\$2,624,548	\$2,650,610	\$2,650,610	\$364	\$2,650,974	\$1,291	\$2,651,901
Appropriation	\$2	\$0	\$0	\$0	\$0	\$0	\$0
Positions	4.000	4.000	4.000	-	4.000	-	4.000

Fund description

Administer the North Carolina Office of Refugee programs: Refugee Cash Assistance (RCA) and Refugee Medical Assistance (RMA) are two short-term public benefits programs available to refugees for the first eight months after their date of entry in the United States; Refugee Social Services (RSS) are longer-term specific programs for which refugees are eligible. Social Services primarily include employment services such as job development, job readiness and placement, job follow-up, vocational skills training, and English Language Training (ELT). Supportive services, including case management, transportation, interpretation/translation, and various social adjustment services, such as home and health management and community orientation, are also provided by local refugee service providers under contract with the NC State Refugee Office.

Services for the fund

Enable "refugee" and other eligible assistance and refugee social services. Eligible beneficiaries include "refugees", persons granted asylum, Cuban and Haitian entrants.

<u>Actual</u> <u>Requirements</u> <u>2005-06</u>	<u>Actual</u> <u>FTEs</u> <u>2005-06</u>
\$2,624,550	4.000
\$2,624,550	4.000

Fund 14440-1180 Aid to Counties — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$344,759,936	\$336,337,510	\$336,337,510	\$210,000	\$336,547,510	\$220,500	\$336,558,010
Receipts	\$328,725,679	\$319,735,021	\$319,735,021	\$0	\$319,735,021	\$0	\$319,735,021
Appropriation	\$16,034,257	\$16,602,489	\$16,602,489	\$210,000	\$16,812,489	\$220,500	\$16,822,989
Positions	-	-	-	-	-	-	-

Fund description

Provides financial assistance to county governments in meeting costs of administering public assistance and service programs. These include county department of social services' costs associated with determining if applicants meet financial and income requirements as well as other county administrative and personnel functions.

Services for the fund

Adoption Services - Reimburse administrative funds to county departments of social services to ensure counties receive their allotted funds based on federal and state laws and regulations in order to administer adoption programs.

Child Support Enforcement - Reimburse administrative funds to county departments of social services to ensure counties receive their allotted funds based on federal and state laws and regulations in order to administrator child support enforcement programs.

<u>Actual</u> <u>Requirements</u> <u>2005-06</u>	<u>Actual</u> <u>FTEs</u> <u>2005-06</u>
\$9,628,532	-
\$74,289,452	-

Family Preservation and Support - Reimburse administrative funds to county departments of social services to ensure counties receive their allotted funds based on federal and state laws and regulations in order to administrator family preservation programs.	\$177,774	-
Food Stamps - Reimburse county departments of social services for the costs of services provided in support of the food stamp program(s) based on allocated funds to ensure families are provided the necessary eligible services.	\$95,288,045	-
Foster Care Services - Reimburse county departments of social services for the costs of services provided in support of foster care program(s) based on allocated funds to ensure families are provided the necessary eligible services.	\$20,023,619	-
LIHEAP - Reimburse county departments of social services for the costs of services provided in support of energy assistance program(s) based on allocated funds to ensure families are provided the necessary eligible services.	\$4,410,522	-
Refugee Assistance Program - Reimburse county departments of social services for the costs of services provided in support of the refugee assistance program(s) based on allocated funds to ensure families are provided the necessary eligible services.	\$83,143	-
Work First - Reimburse county departments of social services for the costs of services provided in support of Work First program(s) based on allocated funds to ensure families are provided the necessary eligible services.	\$16,613,142	-
County Programs Funded By Counties - Reimburse allowable overhead costs based on tracking of counties' expenditures.	\$14,948,796	-
State Aid to Counties - Reimburse administrative funds to County Departments of Social Services to ensure counties receive their allotted funds based on federal and state laws and regulations in order to administer the programs.	\$5,475,571	-
Other Agency Supervised Programs - Division of Medical Assistance Reimburse administrative funds to County Departments of Social Services to ensure counties receive their allotted funds based on federal and state laws and regulations in order to administer the Medicaid programs.	\$39,691,670	-
Other Agency Supervised Programs - Division of Aging and Adult Services Reimburse administrative funds to County Departments of Social Services to ensure counties receive their allotted funds based on federal and state laws and regulations in order to administer the programs.	\$22,287,019	-
Other Agency Supervised Programs - Division of Child Development Reimburse administrative funds to County Departments of Social Services to ensure counties receive their allotted funds based on federal and state laws and regulations in order to administer the programs.	\$7,201,777	-
Other Agency Supervised Programs - Division of Public Health - Reimburse administrative funds to County Departments of Social Services to ensure counties receive their allotted funds based on federal and state laws and regulations in order to administer the programs.	\$442,585	-
Child Protective Services- Reimburse administrative funds to county departments of social services to ensure counties receive their allotted funds based on federal and state laws and regulations in order to administrator the programs.	\$34,198,290	-
Actual Totals	\$344,759,936	-

Measures for the fund

	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Average monthly number of food stamp recipients	719,457	783,877	838,064

Fund 14440-1210 Refugee Assistance Payments — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$402,826	\$772,700	\$772,700	\$0	\$772,700	\$0	\$772,700
Receipts	\$403,007	\$772,700	\$772,700	\$0	\$772,700	\$0	\$772,700
Appropriation	(\$181)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The Refugee Cash and Medical Assistance Program is utilized for newly arrived refugees into the United States who demonstrate financial need. The program is short-term (maximum of eight months) in nature and is used to provide support to assist refugees in becoming self-supporting.
(See Fund 1170, Refugee Assistance Programs for administrative costs.)

Services for the fund	<u>Actual Requirements</u> 2005-06	<u>Actual FTEs</u> 2005-06
Issue a payment for financial assistance to newly arrived refugees into the United States who demonstrate financial need.	\$402,826	-
Actual Totals	\$402,826	-

Measures for the fund

	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Eligible refugee clients assisted through Refugee Cash Assistance	331	500	484

Fund 14440-1220 Work First Family Assistance — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$107,180,011	\$119,168,969	\$119,168,969	(\$12,607)	\$119,156,362	(\$12,607)	\$119,156,362
Receipts	\$95,465,958	\$102,625,680	\$102,625,680	\$0	\$102,625,680	\$0	\$102,625,680
Appropriation	\$11,714,053	\$16,543,289	\$16,543,289	(\$12,607)	\$16,530,682	(\$12,607)	\$16,530,682
Positions	-	-	-	-	-	-	-

Fund description

Work First Family Assistance (WFFA) is administered at the county level under state supervision. It is intended to encourage the care of dependent children by furnishing financial assistance to their parents or relatives with whom they are living to help them maintain and strengthen family life and become more independent and self-supporting. (See Fund 1140, Child Welfare Services, for Work First program administration.)

Services for the fund	<u>Actual Requirements</u> 2005-06	<u>Actual FTEs</u> 2005-06
Issue a payment for financial assistance to parents or relatives for dependent children who meet the requirements established under Work First Family Assistance administered at the county level to help families become more independent and self-supporting.	\$107,180,012	-
Actual Totals	\$107,180,011	-

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Average monthly individuals receiving financial assistance	86,119	79,180	69,885

Fund 14440-1230 Special Assistance for Adults — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$139,479,916	\$145,630,676	\$145,630,676	\$3,437,790	\$149,068,466	\$3,437,790	\$149,068,466
Receipts	\$69,758,574	\$72,815,336	\$72,815,336	\$1,718,895	\$74,534,231	\$1,718,895	\$74,534,231
Appropriation	\$69,721,342	\$72,815,340	\$72,815,340	\$1,718,895	\$74,534,235	\$1,718,895	\$74,534,235
Positions	-	-	-	-	-	-	-

Fund description

This Fund is administered by the Division of Aging and Adult Services. In order to provide assistance to the aged and disabled with special needs, Special Assistance for Adults provides a cash supplement to eligible persons in licensed adult care homes or, at county option, to certain disabled persons in private living arrangements. This is a state/county supported program (50% state funded and 50% county funded) with no federal funds involved in the payment.

Services for the fund	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
Manage and support Special Assistance (SA) for Adults by issuing policy and procedures to county departments of social services; monitoring for program compliance; appropriately paying benefits to eligible adults; and ensuring the program complies with state laws and regulations.	\$139,479,916	-
Actual Totals	\$139,479,916	-

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Percentage of SA recipients served in a licensed adult care home	98.00 %	97.00 %	96.70 %

Fund 14440-1240 Energy Assistance — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$12,543,470	\$28,684,494	\$28,684,494	\$0	\$28,684,494	\$0	\$28,684,494
Receipts	\$12,543,470	\$28,684,494	\$28,684,494	\$0	\$28,684,494	\$0	\$28,684,494
Appropriation	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

Low Income Energy Assistance (LIEAP) is a federally funded entitlement program which provides funds to help low income families cope with increases in heating costs. A one-time cash payment is made to all eligible households. (See Fund 1130, LIHEAP for program administration.

Services for the fund	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
Issue an annual payment to eligible families to help defray the cost of heating.	\$12,543,470	-
Actual Totals	\$12,543,470	-

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Families that received a LIEAP payment	198,903	211,959	228,406

Fund 14440-1250 Adoption Assistance — Base Budget

	<u>2005-06 Actual</u>	<u>2006-07 Certified</u>	<u>2006-07 Authorized</u>	<u>2007-08 Adjustments</u>	<u>2007-08 Total</u>	<u>2008-09 Adjustments</u>	<u>2008-09 Total</u>
Requirements	\$71,181,573	\$77,976,772	\$77,976,772	\$0	\$77,976,772	\$550,901	\$78,527,673
Receipts	\$43,383,731	\$47,549,175	\$47,549,175	\$0	\$47,549,175	\$263,902	\$47,813,077
Appropriation	\$27,797,842	\$30,427,597	\$30,427,597	\$0	\$30,427,597	\$286,999	\$30,714,596
Positions	-	-	-	-	-	-	-

Fund description

Adoption Assistance provides payments and services to meet the needs of adoptive children who are physically or mentally handicapped, and otherwise hard to place for adoption because of their special needs. Assistance is in the form of monthly cash payments to families and reimbursements to county departments of social services for the purchase of legal, medical, and therapeutic services. Adoption assistance payments are funded by Title IV-E, Title IV-B (Child Welfare Services), and state and county funds. (See Fund 1140, Adoption, for program administration.)

Services for the fund	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
Issue a monthly payment to adoptive parents for eligible children to support the adoptive placement.	\$71,181,573	-
Actual Totals	\$71,181,573	-

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Foster children placed in permanent homes	1,042	1,019	1,053
Special needs foster children placed in adoptive homes	332	373	346

Fund 14440-1260 Foster Care Assistance — Base Budget

	<u>2005-06 Actual</u>	<u>2006-07 Certified</u>	<u>2006-07 Authorized</u>	<u>2007-08 Adjustments</u>	<u>2007-08 Total</u>	<u>2008-09 Adjustments</u>	<u>2008-09 Total</u>
Requirements	\$84,523,578	\$82,865,395	\$82,865,395	\$2,261,539	\$85,126,934	\$4,315,591	\$87,180,986
Receipts	\$62,699,096	\$58,266,566	\$58,266,566	\$1,536,115	\$59,802,681	\$2,936,196	\$61,202,762
Appropriation	\$21,824,482	\$24,598,829	\$24,598,829	\$725,424	\$25,324,253	\$1,379,395	\$25,978,224
Positions	-	-	-	-	-	-	-

Fund description

Foster Care Assistance provides financial assistance to needy children who are removed from their homes for placement in a foster care facility, where the child's placement and care are the responsibility of a county department of social services. Children may qualify for assistance under Title IV-E, Temporary Assistance for Needy Families grant, or the State Foster Home Fund. State Foster Home Fund payments are 50% state funded and 50% county funded. The matching rate for Title IV-E Foster Care is the same as for regular AFDC payments, and the rate of federal financial participation (matching rate) is subject to change. TANF for foster care payments is reimbursed at 100% federal. (See Fund 1140, Foster Care, for program administration.)

Services for the fund	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
Issue a monthly payment to foster parents for eligible children to support the foster care placement.	\$84,523,578	-
Actual Totals	\$84,523,578	-

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Family foster homes available	6,489	6,449	7,243
Children in Foster Care	10,112	10,442	11,309
Average length of stay (days) in foster care	312	299	300

Fund 14440-1280 County Public Assistance Payments — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$18,782,089	\$20,831,114	\$20,831,114	\$0	\$20,831,114	\$0	\$20,831,114
Receipts	\$15,845,336	\$20,831,114	\$20,831,114	\$0	\$20,831,114	\$0	\$20,831,114
Appropriation	\$2,936,753	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

County Public Assistance Payments include Crisis Intervention Payment (CIP), Piedmont Natural Gas (Share the Warmth), Wake Electric Membership Corp. (Wake Electric Roundup Program), and Progress Energy (Energy Neighbor Fund). The Crisis Intervention Payments Program is federally funded program that provides assistance to low income persons who are in a heating or cooling related crisis. Funds are allocated to each county department of social services based on the number of eligible households receiving Supplemental Security Income (SSI), Temporary Assistance to Needy Families (TANF), Medical Assistance and Food Stamps, and the number of non-categorical households in the county which meet the income requirements. Financial assistance is also available to eligible households in the services areas of Wake Electric Membership Corp., Piedmont Natural Gas and Progress Energy. These funds are made available through customer and company donations. See Fund 1130, LIHEAP, for program administration.

Services for the fund	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
Provide assistance through the Crisis Intervention Payments Program to families who find themselves in a heating or cooling emergency.	\$17,807,609	-

Distribute money contributed by private energy companies employees and customers through Energy Neighbors, Share the Warmth, and Wake Electric Round-up programs. Allocations are made for each company, who determine the allocation based on the number of residential customers in each county.	\$974,479	-
Actual Totals	\$18,782,089	-

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Households served by Progress Energy's Energy Neighbor Program	2,813	3,141	2,986
Families served by Crisis Intervention Payments	75,791	66,238	70,776

Fund 14440-1310 Transfers to Other State Agencies — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$12,615,146	\$16,722,724	\$16,722,724	\$0	\$16,722,724	\$0	\$16,722,724
Receipts	\$12,491,014	\$16,661,480	\$16,661,480	\$0	\$16,661,480	\$0	\$16,661,480
Appropriation	\$124,132	\$61,244	\$61,244	\$0	\$61,244	\$0	\$61,244
Positions	-	-	-	-	-	-	-

Fund description

Funds represent transfers to and contracts with other state agencies to perform the following required functions: ESC is to provide employment services to food stamps recipients; Community College to provide job preparation services for food stamp recipients; contract with Administrative Office of the Courts to provide all 100 counties access to conduct criminal records check; provide services to juveniles in need of court supervision who are placed out of their home state or runaways.

Services for the fund	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
Transfer funds to provide job search and other related employment services to certain food stamp recipients through contracts with Employee Security Commission and Community College to help families become employed and self sufficient.	\$9,582,012	-
Transfer funds to other state agencies to perform required functions.	\$3,033,134	-
Actual Totals	\$12,615,146	-

Fund 14440-1410 State Purchase of Service — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$2,581,374	\$2,460,430	\$2,460,430	\$0	\$2,460,430	\$0	\$2,460,430
Receipts	\$1,789,683	\$1,512,237	\$1,512,237	\$0	\$1,512,237	\$0	\$1,512,237
Appropriation	\$791,691	\$948,193	\$948,193	\$0	\$948,193	\$0	\$948,193
Positions	-	-	-	-	-	-	-

Fund description

State Purchase of Services includes the costs of state level services and contracts on behalf of clients in identified target populations. Services include return of runaways, maternity home payments, abortion payments, and child medical evaluation payments.

Services for the fund	<u>Actual Requirements</u> <u>2005-06</u>	<u>Actual FTEs</u> <u>2005-06</u>
Contract with community providers to perform child medical evaluations in situations of alleged abuse and neglect to ensure that appropriate child protective services are provided to the child based on their needs.	\$1,483,891	-
Provide reimbursement to maternity home providers for room and board and other related services for North Carolinians experiencing unplanned pregnancies to ensure the safety of mother and child.	\$1,097,483	-
Actual Totals	\$2,581,374	-

Measures for the fund

	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Individuals served in State Maternity Homes	228	222	226

Fund 14440-1480 County Services Program — Base Budget

	<u>2005-06 Actual</u>	<u>2006-07 Certified</u>	<u>2006-07 Authorized</u>	<u>2007-08 Adjustments</u>	<u>2007-08 Total</u>	<u>2008-09 Adjustments</u>	<u>2008-09 Total</u>
Requirements	\$525,517,404	\$529,199,547	\$529,199,547	\$0	\$529,199,547	\$0	\$529,199,547
Receipts	\$516,852,320	\$517,371,924	\$517,371,924	(\$2,300,000)	\$515,071,924	(\$4,020,310)	\$513,351,614
Appropriation	\$8,665,084	\$11,827,623	\$11,827,623	\$2,300,000	\$14,127,623	\$4,020,310	\$15,847,933
Positions	-	-	-	-	-	-	-

Fund description

This fund includes the costs of services purchased by and provided by county departments of social services. Administrative costs are not included. Costs reflect county-provided services to children, families, and elderly adults such as in-home services, case management, counseling, medical transportation, out of home placement, and disabled adolescent parenting, child and adult protective services, permanency planning, purchase of psychological services, family planning, and other federal and state funded services.

Services for the fund	<u>Actual Requirements</u> <u>2005-06</u>	<u>Actual FTEs</u> <u>2005-06</u>
Adoption Services - Reimburse county departments of social services for the costs of services provided in support of the program(s) based on allocated funds to ensure families are provided the necessary eligible services. Services provided include preparation and training of families to adopt, preparing children for adoption, adoption recruitment and promotion and post adoption services.	\$12,757,468	-
Child Protective Services - Reimburse county departments of social services for the costs of services provided in support of the program(s) based on allocated funds to ensure families are provided the necessary eligible services. Services provided include CPS Intake, CPS Assessments and CPS In-Home Services for cases that are substantiated or found in need.	\$120,934,274	-

Family Preservation and Support - Reimburse county departments of social services for the costs of services provided in support of the program(s) based on allocated funds to ensure families are provided the necessary eligible services. Services provided include in home services, individual counseling and resource and referral during crises.	\$1,145,553	-
Family Violence Prevention Program - Reimburse county departments of social services for the costs of services provided in support of the program(s) based on allocated funds to ensure families are provided the necessary eligible services. Services provided include individual counseling, shelter services and financial assistance.	\$1,056,385	-
Food Stamps - Reimburse county departments of social services for the costs of services provided in support of the program(s) based on allocated funds to ensure families are provided the necessary eligible services. Services provided include accepting, evaluating and processing applications for food assistance. Counties also authorize issuance of an Electronic Benefits Transfer (EBT) card which holds benefits.	\$889,574	-
Foster Care Services - Reimburse county departments of social services for the costs of services provided in support of the program(s) based on allocated funds to ensure families are provided the necessary eligible services. Services provided include prevention and placement of children, reunification of children with families and working with foster parents of children in care.	\$66,265,868	-
Work First - Reimburse county departments of social services for the costs of services provided in support of the program(s) based on allocated funds to ensure families are provided the necessary eligible services. Service provided include intake, emergency assistance and employability assessment, employment services, other supportive services and eligibility determination.	\$82,861,735	-
County Programs Funded By Counties - Tracking of counties expenditures in allocated overhead cost	\$42,713,292	-
Other Agency Supervised Programs Division of Medical Assistance - Reimburse administrative funds to county departments of social services to ensure counties receive their allotted funds based on federal and state laws and regulations in order to administer the Medicaid programs.	\$143,831,696	-
Other Agency Supervised Programs Division of Aging and Adult Services - Reimburse administrative funds to county departments of social services to ensure counties receive their allotted funds based on federal and state laws and regulations in order to administer the programs.	\$35,311,178	-
Other Agency Supervised Programs Division of Child Development - Reimburse administrative funds to county departments of social services to ensure counties receive their allotted funds based on federal and state laws and regulations in order to administer the programs.	\$17,202,082	-
Other Agency Supervised Programs Division of Public Health - Reimburse administrative funds to county departments of social services to ensure counties receive their allotted funds based on federal and state laws and regulations in order to administer the programs.	\$548,302	-
Actual Totals	\$525,517,404	-

Measures for the fund

	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Child Protective Services - Children referred for investigative assessments or family assessments	113,557	111,581	104,894

Fund 14440-1500 Child Support Enforcement — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$35,325,469	\$47,785,714	\$47,785,714	(\$2,548,895)	\$45,236,819	(\$2,484,982)	\$45,300,732
Receipts	\$26,160,841	\$34,979,926	\$34,979,926	(\$2,022,070)	\$32,957,856	(\$2,443,342)	\$32,536,584
Appropriation	\$9,164,628	\$12,805,788	\$12,805,788	(\$526,825)	\$12,278,963	(\$41,640)	\$12,764,148
Positions	476.000	476.000	476.000	-	476.000	-	476.000

Fund description

The Child Support Enforcement (IV-D) Program locates absent parents, establishes paternity when needed, establishes and enforces support obligations to ensure that parents who are absent from the home support their children, and collects and distributes the support payments. All recipients of Work First Family Assistance (WFFA) (Fund 1220) must assign to the state all rights to child support for recipient children. The support collected for these cases is then retained by the state to offset the amount expended for WFFA. Services are also available to non- WFFA clients who are charged an application fee. The current federal financial participation rate for the federal Child Support Enforcement grant (IV-D) which supports this program is 66%. County funds are used as the match for county-operated programs which are budgeted in Fund 1180. Fund 1500 includes the cost of state administered local programs and state administrative costs. The match for these costs comes from state funds and earned incentive receipts.

Services for the fund

	Actual Requirements 2005-06	Actual FTEs 2005-06
Establish, collect and properly distribute child support for children by locating noncustodial parent; establishing paternity for children born outside of marriage; establishing and modifying support orders; and enforcing support obligations.	\$35,325,468	476.000
Actual Totals	\$35,325,469	476.000

Measures for the fund

	2003-04	2004-05	2005-06
Annual collections	561,324,393	597,056,045	631,629,237
Percentage of cases under order	78.40 %	80.00 %	81.00 %
Non custodial parents participating in the program	568	694	554

Fund 14440-1810 Revenue Clearing Fund — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	(\$2,564,724)	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The Revenue Clearing Fund records accounting transactions that detail by funding source the revenue due from federal and other funding agencies as a result of expenditures that earned those dollars in the operating Funds of the agency. Actual receipts received from funding agencies are also recorded in this Fund. The Revenue Clearing Fund balance indicates the current status of the agency's accounts receivable for the funding sources reflected in the Clearing Account at any point in the fiscal year.

Fund 14440-1900 Reserves and Transfers — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$0	\$21,923,275	\$21,923,275	\$643	\$21,923,918	\$16	\$21,923,291
Receipts	\$0	\$21,923,275	\$21,923,275	\$643	\$21,923,918	\$16	\$21,923,291
Appropriation	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The Reserves and Transfers Fund records accounting transactions to record uncommitted allocations of Federal Funds.

Fund 14440-1991 Federal Indirect Reserve — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$1,025,507	\$469,343	\$469,343	\$0	\$469,343	\$0	\$469,343
Receipts	\$1,494,463	\$469,343	\$469,343	\$0	\$469,343	\$0	\$469,343
Appropriation	(\$468,956)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The Federal Indirect Revenue Fund exists for accounting purposes only to account for funds earned for indirect costs, in conjunction with agreements with the Department of Health and Human Services and Department of Administration, to recoup federal participation for current year claims against prior year capital expenditures and departmental overhead.

Fund 14440-1992 Prior Year Earned Revenue — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$5,450,520	\$1,338,860	\$1,338,860	\$0	\$1,338,860	\$0	\$1,338,860
Receipts	\$7,166,780	\$1,338,860	\$1,338,860	\$0	\$1,338,860	\$0	\$1,338,860
Appropriation	(\$1,716,260)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The Prior Year Earned Revenue Fund exists to record revenues received in the current fiscal year, primarily from federal funding sources, that was earned in a prior fiscal year.

Fund 14440-1993 Prior Year Adjustments or Audit Exceptions — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$5,476	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	\$180,447	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	(\$174,971)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The Prior Year Audit Exception/Adjustment Fund exists to record adjustments to expenditures or receipts for activities or transactions that occurred in a prior fiscal year. Adjustments tracked in this fund include paybacks from sub grantees as a result of audits of prior year operations.

Division of Medical Assistance

Mission

Provide access to medically necessary health care services to eligible North Carolina residents so they can obtain high-value, high-quality health care services resulting ultimately in improved quality of life.

Goals

Pay for the delivery of health care services for disadvantaged North Carolinians.

Improve the care provided to Medicaid patients by reducing variability and promoting best practice standards by utilizing and expanding Community Care of North Carolina (CCNC).

Establish a culture of accountability within the agency and with provider groups by benchmarking and measuring all key services. Aggressively eliminate unnecessary utilization of services and fraud.

Reduce the number of uninsured children in the state through the NC Health Choice (NCHC) Program so that the population served will be healthy and ready to learn and work.

Governor's Recommended Adjustments to Base Budget

Division of Medical Assistance (14445)

Recommended General Fund Budget and Positions

	<u>2007-08</u>	<u>2008-09</u>
Base Budget		
Requirements	\$11,525,828,230	\$12,334,722,820
Receipts	<u>\$8,648,261,525</u>	<u>\$9,175,920,814</u>
Appropriation	\$2,877,566,705	\$3,158,802,006
Adjustments		
Requirements	\$18,586,117	\$27,129,777
Receipts	<u>\$13,211,371</u>	<u>\$18,648,145</u>
Appropriation	\$5,374,746	\$8,481,632
Total		
Requirements	\$11,544,414,347	\$12,361,852,597
Receipts	<u>\$8,661,472,896</u>	<u>\$9,194,568,959</u>
Recommended Appropriation	<u>\$2,882,941,451</u>	<u>\$3,167,283,638</u>
<hr/>		
Positions		
Base Budget Positions	377.250	377.250
Reductions	-	-
Expansion	<u>29.000</u>	<u>29.000</u>
Recommended Positions	<u>406.250</u>	<u>406.250</u>

Appropriation Items -- Recommended Adjustments

Expansion

2007-08 2008-09

1. NC Kids' Care

The Governor recommends expanding Medicaid health coverage to 11,800 children between 200% and 300% of the Federal Poverty Guidelines.

Requirements	\$10,641,917	\$23,202,079
Requirements - Nonrecurring	\$6,228,142	-
Receipts	\$7,482,078	\$16,196,018
Receipts - Nonrecurring	\$4,671,106	-
Appropriation	\$4,716,875	\$7,006,061

2. Expand Medicaid Coverage for 18, 19, and 20 Year Old Foster Care Adolescents

The Governor recommends expanding Medicaid health coverage to 18, 19, and 20 year old Foster Care adolescents. The federal Foster Care Independence Act allows for Medicaid coverage of this group. An additional 1,234 adolescents will be covered.

Requirements	\$709,025	\$2,104,405
Receipts	\$492,559	\$1,458,564
Appropriation	\$216,466	\$645,841

3. Additional Staffing

The Governor recommends 29 additional positions throughout the division. The positions are necessary due to the increased size and complexity of the Medicaid program over the years and because of federal mandates. Positions are effective October 1, 2007 (10 positions), January 1, 2008 (10 positions), and April 1, 2008 (9 positions).

Requirements	\$949,507	\$1,823,293
Requirements - Nonrecurring	\$57,526	-
Receipts	\$536,865	\$993,563
Receipts - Nonrecurring	\$28,763	-
Appropriation	\$441,405	\$829,730
Positions	29.000	29.000

Total Recommended Expansion

	<u>2007-08</u>	<u>2008-09</u>
Recurring		
Requirements	\$12,300,449	\$27,129,777
Receipts	8,511,502	18,648,145
	<hr/>	<hr/>
Appropriation	\$3,788,947	\$8,481,632
Positions	29.000	29.000
Nonrecurring		
Requirements	\$6,285,668	-
Receipts	4,699,869	-
	<hr/>	<hr/>
Appropriation	\$1,585,799	-
Positions	-	-

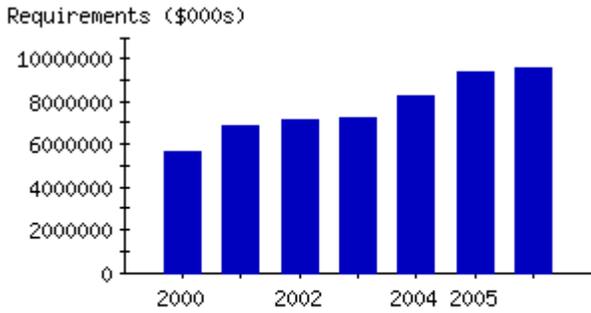
**Total Recommended Adjustments for
Division of Medical Assistance (14445)
2007-09**

	<u>2007-08</u>	<u>2008-09</u>
Recurring		
Requirements	\$12,300,449	\$27,129,777
Receipts	8,511,502	18,648,145
	<hr/>	<hr/>
Appropriation	\$3,788,947	\$8,481,632
Positions	29.000	29.000
Nonrecurring		
Requirements	\$6,285,668	-
Receipts	4,699,869	-
	<hr/>	<hr/>
Appropriation	\$1,585,799	-
Positions	-	-
Total Appropriation Adjustments	\$5,374,746	\$8,481,632
Total Position Adjustments	29.000	29.000

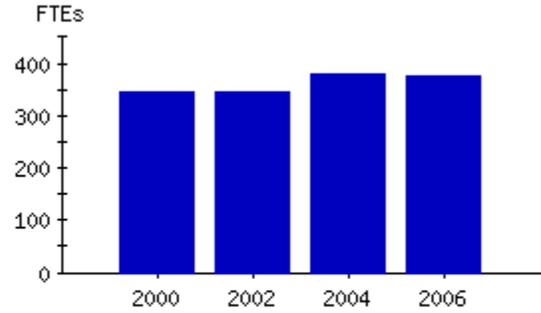
Base Budget and Results-Based Information

Budget Code 14445 DHHS - Division of Medical Assistance

**Actual Expenditures
by Fiscal Year**



**Actual Positions
by Fiscal Year**



Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$9,567,707,692	\$10,787,931,386	\$10,788,033,438	\$737,794,792	\$11,525,828,230	\$1,546,689,382	\$12,334,722,820
Receipts	\$7,059,985,325	\$8,137,278,915	\$8,137,329,941	\$510,931,584	\$8,648,261,525	\$1,038,590,873	\$9,175,920,814
Appropriation	\$2,507,722,367	\$2,650,652,471	\$2,650,703,497	\$226,863,208	\$2,877,566,705	\$508,098,509	\$3,158,802,006
Positions	375.250	375.250	377.250	-	377.250	-	377.250

Budget Code 14445 DHHS - Division of Medical Assistance

Fund 14445-1101 DMA Administration — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$28,468,078	\$31,778,146	\$31,880,198	\$1,316,276	\$33,196,474	\$1,613,043	\$33,493,241
Receipts	\$16,303,626	\$18,188,206	\$18,239,232	\$632,176	\$18,871,408	\$784,051	\$19,023,283
Appropriation	\$12,164,452	\$13,589,940	\$13,640,966	\$684,100	\$14,325,066	\$828,992	\$14,469,958
Positions	375.250	375.250	377.250	-	377.250	-	377.250

Fund description

The purpose of this fund is to design and administer the North Carolina Medicaid Program. To carry out this responsibility, the division identifies, detects, and prevents provider and recipient fraud and abuse; develops and implements eligibility and clinical policy; sets reimbursement rates for all Medicaid providers; oversees the Medicaid management information system (MMIS+); oversees the implementation of CCNC; and conducts recipient appeals for any denial, termination, suspension, or reduction of Medicaid covered services and for prior approval requests. Salaries, fringe benefits, and related costs reside in this fund.

Services for the fund	Actual Requirements 2005-06	Actual FTEs 2005-06
Manages the operations of the Medicaid program through the development and implementation of the Medicaid state plan to ensure that the North Carolina Medicaid Program is in compliance with federal and state laws and regulations. Responds to legislative inquiries, conducts research and evaluations for cost-effective and quality-based service delivery, and recommends changes to the Medicaid program. Also, oversees the human resources functions.	\$1,972,472	26.000
Prepares, allocates, and monitors the Medicaid budget including expenditure forecasting and cost analysis. Conducts contract monitoring and provides purchasing services. Also, oversees facility and fixed asset management, mail service operations, and telecommunications administration.	\$1,896,607	25.000
Sets reimbursement rates for all Medicaid health care providers and maintains the fee schedules. Settles cost reports from a variety of health care facilities; performs desk and field audits, including audits on area mental health programs and health departments; and summarizes financial and statistical data from cost reports to assist in administering the reimbursement of providers and in rate setting. Manages the Disproportionate Share Hospital (DSH) and Enhanced Payment Programs.	\$3,262,165	43.000
Develops, implements, and distributes clinical policy for the Medicaid program to promote the delivery of appropriate medical, behavioral, and other health care treatments to ensure quality and cost-effective health care to Medicaid recipients.	\$5,917,415	78.000
Oversees the implementation of CCNC, a primary care case management program that creates primary care medical homes for Medicaid eligibles through physician networks and the establishment of disease management initiatives for asthma, diabetes, congestive heart failure, and chronic obstructive pulmonary disease.	\$1,289,693	17.000
Identifies, detects, and prevents provider and recipient fraud and abuse by reviewing and determining the accuracy of Medicaid claim payments in order to reduce the misuse of public funds.	\$7,832,989	103.250
Maintains compliance with state and federal guidelines through the establishment, implementation, and management of recipient eligibility policy while maintaining relations with the provider community in order for a large network of medical providers to be available for Medicaid eligibles.	\$4,475,994	59.000

Oversees the Medicaid Management Information System (MMIS+), the IT system that pays claims for the Medicaid program, and its decision support system (DRIVE). Oversees the implementation of all of the regulations introduced as a result of the federal Health Insurance Portability and Accountability Act (HIPAA) of 1996.	\$1,289,693	17.000
Conducts recipient appeals for any denial, termination, suspension, or reduction of Medicaid covered services and for prior approval requests for certain Medicaid covered medical services, including certain surgical procedures, outpatient specialized therapies, prescription drugs, etc. Handles appeals from providers of recoupments sought by the division's Program Integrity Section based on post-payment reviews, psychiatric facility admission prior approvals, and length of stay approvals.	\$531,050	7.000
Actual Totals	\$28,468,078	375.250

Measures for the fund

	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Percentage of Medicaid recipients eligible to enroll in CCNC that actually enrolled	49 %	75 %	88 %
Cost avoidance savings due to reviews of provider billings and medical records, and coordination with other insurers and payers (dollars)	\$961,086,008	\$1,052,915,319	-
Audits conducted ¹	552	552	-

¹Includes audits for nursing, intermediate care facilities for the mentally retarded (ICF/MR), rural health center, federally qualified health center, local management entities/area programs, and health department field and desk

Fund 14445-1102 Contracts and Agreements — Base Budget

	<u>2005-06</u> Actual	<u>2006-07</u> Certified	<u>2006-07</u> Authorized	<u>2007-08</u> Adjustments	<u>2007-08</u> Total	<u>2008-09</u> Adjustments	<u>2008-09</u> Total
Requirements	\$97,068,769	\$71,462,755	\$71,462,755	\$491,121	\$71,953,876	\$656,542	\$72,119,297
Receipts	\$75,020,099	\$51,756,226	\$51,756,226	(\$200,000)	\$51,556,226	(\$200,000)	\$51,556,226
Appropriation	\$22,048,670	\$19,706,529	\$19,706,529	\$691,121	\$20,397,650	\$856,542	\$20,563,071
Positions	-	-	-	-	-	-	-

Fund description

The purpose of this fund is to procure the use of the administrative services through competitive bidding and the use of services from sister agencies already performing similar services. To carry out this responsibility, the division procures competitively bid services including claims processing, prior approval, utilization review, physician consulting, and other services and executes interagency agreements for various services with other governmental agencies.

Services for the fund

	<u>Actual</u> <u>Requirements</u> <u>2005-06</u>	<u>Actual</u> <u>FTEs</u> <u>2005-06</u>
Distributes funds to the Medicaid fiscal agent to operate MMIS+ which includes processing claims, providing billing guidance, providing helpdesk services to enrolled Medicaid providers, conducting provider education seminars, and operating the prior approval system for most Medicaid services.	\$44,610,263	-
Transfers funds to other agencies within the Department of Health and Human Services to reimburse for services such as determining disability status for Medicaid eligibility through the Division of Vocational Rehabilitation and activities related to the family planning waiver in the Division of Public Health.	\$17,308,072	-

Transfers to the Department of Public Instruction to pay for administrative activities in support of delivering the direct medical services in schools. DMA draws and transfers only the federal funds to DPI. The remaining funds are expended only as an accounting transaction, necessary because of cost allocation requirements.	\$16,987,833	-
Distributes funds to various contractors for services that include, but are not limited to: quality assurance reviews of medical services, prior approval of medical services, utilization reviews of medical services, and medical necessity reviews.	\$14,066,308	-
Distributes funds to various contractors for the purpose of conducting audits of Medicaid-enrolled nursing facilities, ICF-MRs, teaching hospitals, nursing facilities, teaching physicians, and inpatient hospitals. These audits supplement DMA's in-house audit activities. Contractors also assist with cost settlement payments and refunds and activities associated with the DSH and Enhanced Payment Programs.	\$2,225,807	-
Distributes funds to the contractor for the DRIVE system, a data warehouse that mirrors the claims data in MMIS+. The database is used to pull reports on specific information regarding providers and usage and payment of services.	\$1,870,486	-
Actual Totals	\$97,068,769	-

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Claims processed by the Medicaid fiscal agent ¹	68,534,700	71,555,775	71,105,133
Calls answered by the Medicaid fiscal agent's call center	257,986	192,975	158,754
Post payment diagnostic related group (DRG) reviews conducted by the contractor on behalf of the North Carolina Medicaid Program ²	5,320	4,461	5,465
Audits of nursing homes, ICF-MRs, state-owned hospitals, teaching physicians, federally qualified health centers, and personal care service providers conducted by contractors	0	70	308

¹Effective January 1, 2006, prescription drugs for dual eligibles were paid for by Medicare and NCHC children ages 0-5 were moved to Medicaid.

²The post payment DRG reviews evaluate hospital inpatient DRG coding to identify improper reimbursement and other potential incorrect billings.

Fund 14445-1210 Medical Assistance County Administration — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$1,187,839	\$1,014,000	\$1,014,000	\$0	\$1,014,000	\$0	\$1,014,000
Receipts	\$726,245	\$750,000	\$750,000	\$0	\$750,000	\$0	\$750,000
Appropriation	\$461,594	\$264,000	\$264,000	\$0	\$264,000	\$0	\$264,000
Positions	-	-	-	-	-	-	-

Fund description

Provides reimbursement to county departments of social services when they act as a provider of allowable medical transportation services - providing transportation to and from health care providers.

Fund 14445-1310 Medical Assistance Payments — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$8,583,463,475	\$8,954,641,045	\$8,954,641,045	\$710,864,694	\$9,665,505,739	\$1,545,243,031	\$10,499,884,076
Receipts	\$6,234,590,044	\$6,474,585,523	\$6,474,585,523	\$485,376,707	\$6,959,962,230	\$1,038,830,056	\$7,513,415,579
Appropriation	\$2,348,873,431	\$2,480,055,522	\$2,480,055,522	\$225,487,987	\$2,705,543,509	\$506,412,975	\$2,986,468,497
Positions	-	-	-	-	-	-	-

Fund description

The purpose of this fund is to pay Medicaid health care providers for providing Medicaid covered services to Medicaid recipients.

Services for the fund

	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
Provides funding for drugs that are simple/compound substances or mixtures of substances prescribed for the cure, mitigation, and prevention of disease or for health maintenance and are prescribed by a physician or licensed practitioner on a written prescription that is recorded and maintained in the pharmacists/practitioner's record.	\$1,385,039,301	-
Provides funding for nursing facilities which provide skilled nursing care and related services for residents who require medical or nursing care.	\$1,086,831,460	-
Provides funding for inpatient hospital services furnished under the direction of a physician or dentist in a licensed hospital for the care and treatment of Medicaid recipients.	\$1,024,293,989	-
Provides funding for physician services whether furnished in an office, the recipient's home, a hospital, a nursing facility, or elsewhere and provided within the scope of practice of medicine as defined by state law and by, or under, the personal supervision of an individual licensed under state law to practice medicine. Payments exclude all services provided and billed by a hospital, clinic, or laboratory.	\$817,055,723	-
Provides funding for clinics. These are preventive, diagnostic, therapeutic, rehabilitative, or palliative items or services provided in an outpatient setting and are provided by a facility that is not part of a hospital but is organized and operated to provide medical care outside of a hospital setting.	\$603,205,761	-
Provides funding for outpatient hospital services including preventive, diagnostic, therapeutic, rehabilitative, or palliative services furnished to Medicaid recipients in an outpatient hospital setting and provided by, or under the direction of, a physician or dentist.	\$599,306,708	-
Provides funding for Medicaid home and community based waiver programs that serve the following individuals who qualify for institutional care: medically fragile children, disabled adults, persons with AIDS and mentally retarded and developmentally disabled individuals.	\$584,702,497	-
Provides funding for personal care services (PCS) performed in a recipient's home or in an adult care home as prescribed by a physician in accordance with the recipient's plan of treatment. Personal care tasks are performed for recipients who, due to a debilitating medical condition, need help with such basic personal activities as bathing, toileting, and moving about.	\$463,873,638	-
Provides funding for ICF-MRs which are long-term care facilities for the mentally retarded and developmentally disabled who meet certain federal criteria. This includes the need for active treatment for individuals who have mental retardation or a related condition and who have a severe, chronic disability.	\$415,064,521	-
Provides funding for Medicare premiums for Parts A and B and for the clawback payments to the federal government for the state share of prescription drug coverage under Medicare Part D.	\$413,053,274	-

Provides funding for home health services provided at the patient's place of residence in compliance with a physician's written plan of care. Services include medically necessary skilled nursing care, specialized therapies (physical therapy, occupational therapy, speech therapy, etc.), home health aid services, and medical supplies needed for the diagnosis, treatment, or rehabilitation of a recipient's illness.	\$218,569,334	-
Provides funding for dental services including diagnostic, preventive, or corrective procedures provided by, or under the supervision of a dentist. Orthodontic services are covered for children under age 21 with functionally handicapping malocclusions.	\$217,965,881	-
Provides funding for mental health facility services including diagnosis, treatment, or the care of individuals with mental diseases. Inpatient hospital services are provided under the direction of a physician and are for the care and treatment of recipients in an institution for mental disease (IMD).	\$39,432,017	-
Provides funding for other services including, but are not limited to, transportation, lab and x-ray, optical supplies, chiropractors, optometrists, and podiatrists.	\$715,069,371	-
Actual Totals	\$8,583,463,475	-

Measures for the fund

	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Enrolled Medicaid providers (unduplicated count) in the North Carolina Medicaid Program	52,837	54,208	56,687
Medicaid eligibles (unduplicated count)	1,512,360	1,563,751	1,602,645
Annual expenditures per recipient (unduplicated count) (dollars) ¹	\$4,804	\$5,154	\$5,129
Percentage of growth in total expenditures from prior year to current year ²	12.10 %	10.30 %	5.06 %

¹The word recipient refers to an individual who is eligible for Medicaid who actually received at least one service during the year.

²Effective January 1, 2006, prescription drugs for dual eligibles were paid for by Medicare and NCHC children ages 0-5 were moved to Medicaid.

Fund 14445-1320 Medical Assistance Cost Payments — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$747,402,490	\$1,239,962,136	\$1,239,962,136	\$0	\$1,239,962,136	\$0	\$1,239,962,136
Receipts	\$780,485,837	\$1,238,443,027	\$1,238,443,027	\$0	\$1,238,443,027	\$0	\$1,238,443,027
Appropriation	(\$33,083,347)	\$1,519,109	\$1,519,109	\$0	\$1,519,109	\$0	\$1,519,109
Positions	-	-	-	-	-	-	-

Fund description

The purpose of this fund is to reflect cost settlements made to adjust for the difference between initial payments made to providers and the amount actually owed. In addition, enhanced payments are paid to eligible hospitals that serve a higher number of Medicaid recipients, along with the recording of qualifying certified public expenditures (CPEs).

Services for the fund

	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
Books public hospitals' qualified CPEs in order for the division to draw down federal funds used for claims payments and enhanced payments.	\$371,297,582	-

Transfers receipts stemming from CPE activities to fund 1310 to help support the regular claim payments for qualifying public hospitals in accordance with the state plan.	\$148,815,816	-
Distributes enhanced payments to eligible private hospitals to offset the cost of serving a high number of Medicaid recipients.	\$90,933,571	-
Distributes and receives cost settlements for various provider types such as ambulance, health departments, and mental health providers to adjust for the difference between initial payments made to providers and the amount actually owed.	\$81,060,684	-
Distributes enhanced payments to eligible public, non-state hospitals to offset the cost of serving a high number of Medicaid recipients.	\$55,294,837	-
Actual Totals	\$747,402,490	-

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Private hospitals that received enhanced payments	67	67	56
Public, non-state hospitals that received enhanced payments	39	41	38

Fund 14445-1330 Medical Assistance Adjustments and Refunds — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	(\$408,745,099)	(\$219,932,902)	(\$219,932,902)	\$25,122,701	(\$194,810,201)	(\$823,234)	(\$220,756,136)
Receipts	(\$359,972,019)	(\$189,774,373)	(\$189,774,373)	\$25,122,701	(\$164,651,672)	(\$823,234)	(\$190,597,607)
Appropriation	(\$48,773,080)	(\$30,158,529)	(\$30,158,529)	\$0	(\$30,158,529)	\$0	(\$30,158,529)
Positions	-	-	-	-	-	-	-

Fund description

The purpose of this fund is to reflect adjustments in payments made to providers and represents payments to DMA for insurance payments, fraud and other recoveries, and drug rebates.

Services for the fund

	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
Receives drug rebate funds from drug makers to offset the cost of prescription drugs. Federal regulations require pharmaceutical companies to pay rebates to states in order to have their drugs covered by Medicaid. Drug makers provide price data to the Centers for Medicare and Medicaid Services (CMS) and CMS uses the price data to compute each states rebate amount.	(\$468,298,954)	-
Transfers the state share of drug rebate funds to support current Medicaid claims payments in fund 1310. In addition, the state share of any over-realized drug rebates is used to meet contractual obligations or department activities.	\$110,740,495	-
Receives funds from providers, insurers, and others that are recovered through the review of provider billings and medical records, coordination with other insurers and payers where Medicaid was not the primary payer, through estate recovery, and through legal and civil actions carried out cooperatively by state and local law enforcement agencies.	(\$51,186,640)	-
Actual Totals	(\$408,745,099)	-

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Recoveries for the North Carolina Medicaid Program from Medicare recoveries, health insurance recoveries, casualty insurance recoveries, and estate recoveries (dollars)	\$59,230,221	\$54,455,958	-

Fund 14445-1336 Disproportionate Share Hospital Payments — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$355,203,947	\$594,806,206	\$594,806,206	\$0	\$594,806,206	\$0	\$594,806,206
Receipts	\$303,054,551	\$529,130,306	\$529,130,306	\$0	\$529,130,306	\$0	\$529,130,306
Appropriation	\$52,149,396	\$65,675,900	\$65,675,900	\$0	\$65,675,900	\$0	\$65,675,900
Positions	-	-	-	-	-	-	-

Fund description

This purpose of this fund is to record DSH payments to hospitals that serve a disproportionate number of low-income patients, along with the recording of qualifying CPEs.

Services for the fund	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
Distributes DSH payments to state-owned hospitals and IMDs to help support their costs in serving a disproportionate number of uninsured patients.	\$143,300,000	-
Books public hospitals' qualified CPEs in order for the division to draw down federal funds used for claims payments and DSH payments.	\$124,141,727	-
Transfers receipts stemming from CPE activities to fund 1310 to help support the regular claim payments for qualifying public hospitals in accordance with the state plan.	\$34,431,701	-
Distributes DSH payments to public, non-state and private hospitals to help support their costs in serving a disproportionate number of uninsured patients.	\$28,328,039	-
Transfers the DSH gain from the DSH and Enhanced Payment Programs to budget code 24445 to support DSH settlement costs and other expenditures authorized by the North Carolina General Assembly.	\$25,002,480	-
Actual Totals	\$355,203,947	-

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Public, non-state hospitals that receive DSH payments	43	43	43
State-owned hospitals and IMDs that receive DSH payments	8	7	7
Private hospitals that receive DSH payments	49	54	46
Out-of-state hospitals that receive DSH payments ¹	23	24	21

¹These payments are for uninsured North Carolina residents receiving services in an out-of-state hospital.

Fund 14445-1340 Undispositioned Receipts — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	(\$1,197,049)	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	\$8,193,253	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	(\$9,390,302)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This purpose of this fund is to record program validation refunds, insurance recoveries, cost settlement refunds, other miscellaneous refunds and Electronic Data Systems recoups/receipts. The activity in this fund is solely for accounting purposes since undispositioned refunds are dispositioned within the budget code, specifically funds 1320 and 1330.

Fund 14445-1350 Periodic Payments — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$1,626,922	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	\$1,626,922	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This purpose of this fund is to record interim payments (advances) to Medicaid providers. It is solely an accounting fund.

Fund 14445-1810 Revenue Clearing — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$488,706	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	(\$58,155,727)	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	\$58,644,433	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The Revenue Clearing Fund records accounting transactions that detail by funding source the revenue due from federal and other funding agencies as a result of expenditures that earned those dollars in the operating funds of the agency. Actual receipts received from funding agencies are also recorded in this fund. The Revenue Clearing Fund balance indicates the current status of the agency's accounts receivable for the funding sources reflected in the clearing account at any point in the fiscal year.

Fund 14445-1910 Reserves and Transfers — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$101,177,675	\$100,000,000	\$100,000,000	\$0	\$100,000,000	\$0	\$100,000,000
Receipts	\$2,747,179	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	\$98,430,496	\$100,000,000	\$100,000,000	\$0	\$100,000,000	\$0	\$100,000,000
Positions	-	-	-	-	-	-	-

Fund description

This fund is used to record budgetary reserves and non-operating transfers to other state agencies.

Services for the fund	<u>Actual Requirements</u> 2005-06	<u>Actual FTEs</u> 2005-06
Transfers funds to the General Fund resulting from the payment of DSH monies to state-owned hospitals and state-owned IMDs.	\$100,000,000	-
Transfers interest earned from federal monies deposited in the General Fund to fund 1102. From fund 1102, these monies are transferred to the Office of the State Controller which pays the interest back to the federal government based on defined federal policies.	\$1,177,675	-
Actual Totals	\$101,177,675	-

Fund 14445-1991 Federal Indirect Reserve — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	\$94,511	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The purpose of this fund is to account for funds earned for indirect costs from federal funding sources. The rate or amount of indirect cost that may be earned is negotiated each year with the federal DHHS Division of Cost Allocation based upon prior year statewide and departmental overhead costs.

Fund 14445-1992 Prior Year Earned Revenue — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$31,056,811	\$14,200,000	\$14,200,000	\$0	\$14,200,000	\$0	\$14,200,000
Receipts	\$51,991,983	\$14,200,000	\$14,200,000	\$0	\$14,200,000	\$0	\$14,200,000
Appropriation	(\$20,935,172)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This fund is used to record revenue received in the current fiscal year, primarily from federal funding sources that were earned in a prior fiscal year.

Fund 14445-1993 Prior Year Adjustments or Audit Exceptions — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$30,505,128	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	\$4,905,743	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	\$25,599,385	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This fund is used to record adjustments to expenditures or receipts for activities or transactions that occurred in a prior fiscal year. Adjustments tracked in this fund include paybacks from sub grantees as a result of audits of prior year operations.

Base Budget and Results-Based Information

Budget Code 24445 DHHS - Medical Assistance - Special Fund

Base Budget							
	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$0	\$393,372,767	\$393,372,767	(\$91,500,000)	\$301,872,767	(\$91,500,000)	\$301,872,767
Receipts	\$62,812,025	\$387,138,549	\$387,138,549	(\$103,322,489)	\$283,816,060	(\$103,322,489)	\$283,816,060
Chng Fund Bal	\$62,812,025	(\$6,234,218)	(\$6,234,218)	(\$11,822,489)	(\$18,056,707)	(\$11,822,489)	(\$18,056,707)
Positions	-	-	-	-	-	-	-

Budget Code 24445 DHHS - Medical Assistance - Special Fund

Fund 24445-2001 Disproportionate Share Hospital Gain — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	\$62,812,025	\$153,327,449	\$153,327,449	(\$103,322,489)	\$50,004,960	(\$103,322,489)	\$50,004,960
Chng Fund Bal	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The purpose of this fund is to deposit any gains realized from the DSH and Enhanced Payment Programs. This budget code was effective July 1, 2006.

Fund 24445-2002 Disproportionate Share Hospital Settlement — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$0	\$159,561,667	\$159,561,667	(\$91,500,000)	\$68,061,667	(\$91,500,000)	\$68,061,667
Receipts	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Chng Fund Bal	\$0	(\$159,561,667)	(\$159,561,667)	\$91,500,000	(\$68,061,667)	\$91,500,000	(\$68,061,667)
Positions	-	-	-	-	-	-	-

Fund description

The purpose of this fund is to record settlement payments for the DSH Program or other authorized actions by the North Carolina General Assembly. This budget code was effective July 1, 2006.

Fund 24445-2003 Undispositioned Refunds — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$0	\$233,811,100	\$233,811,100	\$0	\$233,811,100	\$0	\$233,811,100
Receipts	\$0	\$233,811,100	\$233,811,100	\$0	\$233,811,100	\$0	\$233,811,100
Chng Fund Bal	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The purpose of this fund is to temporarily house undispositioned refunds. Monies that are received in which a category of service or fiscal year cannot be determined at the time of deposit are placed in this fund. When these elements are determined, the money is then transferred to budget code 14445.

Governor's Recommended Adjustments to Base Budget

NC Health Choice (14446)

Recommended General Fund Budget and Positions

	<u>2007-08</u>	<u>2008-09</u>
Base Budget		
Requirements	\$196,869,392	\$196,869,392
Receipts	<u>\$144,986,229</u>	<u>\$144,986,229</u>
Appropriation	\$51,883,163	\$51,883,163
Adjustments		
Requirements	\$38,549,013	\$38,549,013
Receipts	<u>\$31,041,021</u>	<u>\$31,041,021</u>
Appropriation	\$7,507,992	\$7,507,992
Total		
Requirements	\$235,418,405	\$235,418,405
Receipts	<u>\$176,027,250</u>	<u>\$176,027,250</u>
Recommended Appropriation	<u>\$59,391,155</u>	<u>\$59,391,155</u>
<hr/>		
Positions		
Base Budget Positions	1.000	1.000
Reductions	-	-
Expansion	-	-
Recommended Positions	<u>1.000</u>	<u>1.000</u>

Appropriation Items -- Recommended Adjustments

Expansion

2007-08

2008-09

1. Health Choice Shortfall

The Governor recommends additional funds in the North Carolina Health Choice Program due to the projected shortfall of state appropriation in SFY 2007-08.

Requirements	\$38,549,013	\$38,549,013
Receipts	\$31,041,021	\$31,041,021
	<hr/>	<hr/>
Appropriation	\$7,507,992	\$7,507,992

Total Recommended Expansion

2007-08

2008-09

Recurring

Requirements	\$38,549,013	\$38,549,013
Receipts	31,041,021	31,041,021

Appropriation	<hr/>	<hr/>
	\$7,507,992	\$7,507,992

Positions	-	-
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Nonrecurring

Requirements	-	-
Receipts	-	-

Appropriation	<hr/>	<hr/>
	-	-

Positions	-	-
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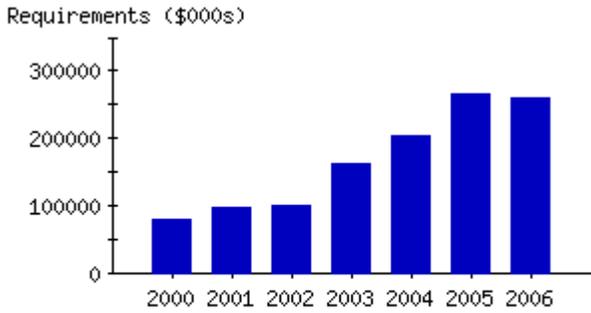
**Total Recommended Adjustments for
NC Health Choice (14446)
2007-09**

	<u>2007-08</u>	<u>2008-09</u>
Recurring		
Requirements	\$38,549,013	\$38,549,013
Receipts	31,041,021	31,041,021
	\$7,507,992	\$7,507,992
Appropriation	\$7,507,992	\$7,507,992
Positions	-	-
Nonrecurring		
Requirements	-	-
Receipts	-	-
	-	-
Appropriation	-	-
Positions	-	-
 Total Appropriation Adjustments	 \$7,507,992	 \$7,507,992
Total Position Adjustments	-	-

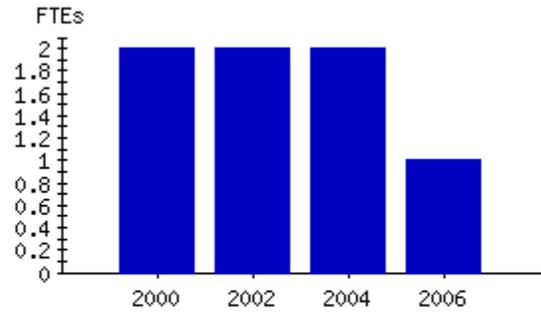
Base Budget and Results-Based Information

Budget Code 14446 DHHS - Div. of Medical Assistance - NC Health Choice

**Actual Expenditures
by Fiscal Year**



**Actual Positions
by Fiscal Year**



2005 - one position temporarily transferred for new Medicaid management information system. Position will return upon completion of project.

Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$260,416,447	\$196,867,555	\$196,867,555	\$1,837	\$196,869,392	\$1,837	\$196,869,392
Receipts	\$192,261,038	\$144,984,910	\$144,984,910	\$1,319	\$144,986,229	\$1,319	\$144,986,229
Appropriation	\$68,155,409	\$51,882,645	\$51,882,645	\$518	\$51,883,163	\$518	\$51,883,163
Positions	1.000	1.000	1.000	-	1.000	-	1.000

Budget Code 14446 DHHS - Div. of Medical Assistance - NC Health Choice

Services for the budget code	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
Pays premiums to the North Carolina State Health Plan (SHP) to cover the costs of medical claims payments to providers and for administration costs born by both the SHP and Blue Cross Blue Shield (BCBS). The SHP reimburses BCBS for claims payments and their administrative costs.	\$260,339,860	-
Manages and supports the NCHC Program by issuing policy and procedures to county departments of social services and monitoring program compliance to ensure that benefits are paid appropriately and that the program complies with federal and state laws and regulations.	\$76,587	1.000
Actual Totals	\$260,416,447	1.000

Measures for the budget code	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
NCHC enrollees (unduplicated count)	169,491	193,735	199,160
Annual premium expenditures (dollars)	\$202,070,948	\$266,614,903	\$258,317,362
Annual premium expenditures per NCHC enrollee (unduplicated count) (dollars)	\$1,192	\$1,376	\$1,297
Percentage growth in total premium expenditures from prior year to current year ¹	24.84 %	31.94 %	-3.11 %

¹Effective January 1, 2006, NCHC children ages 0-5 were moved to Medicaid.

Fund 14446-1101 State Level Administration — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$76,586	\$215,817	\$215,817	\$1,837	\$217,654	\$1,837	\$217,654
Receipts	\$34,158	\$159,290	\$159,290	\$1,319	\$160,609	\$1,319	\$160,609
Appropriation	\$42,428	\$56,527	\$56,527	\$518	\$57,045	\$518	\$57,045
Positions	1.000	1.000	1.000	-	1.000	-	1.000

Fund description

The purpose of this fund is to manage the State Children's Health Insurance Program (SCHIP), called North Carolina Health Choice for Children in North Carolina. Started in October 1998, NCHC provides free or reduced price comprehensive health care to children whose families make too much money to qualify for Medicaid. Salaries, fringe benefits and related operational costs reside in this fund.

Fund 14446-1310 Medical Payments — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$260,339,861	\$196,651,738	\$196,651,738	\$0	\$196,651,738	\$0	\$196,651,738
Receipts	\$192,226,880	\$144,825,620	\$144,825,620	\$0	\$144,825,620	\$0	\$144,825,620
Appropriation	\$68,112,981	\$51,826,118	\$51,826,118	\$0	\$51,826,118	\$0	\$51,826,118
Positions	-	-	-	-	-	-	-

Fund description

The purpose of this fund is to record premium payments made to the SHP. The premium covers the costs of medical service payments and administration costs. The SHP reimburses the fiscal agent, BCBS for program claim expenditures and administrative costs.

Divisions of Services for the Blind, Deaf, and Hard of Hearing

Mission

The Division of Services for the Blind seeks to empower individuals who are blind or visually impaired to achieve their maximum potential. The Division of Services for the Deaf and the Hard of Hearing seeks to enable persons who are deaf, hard of hearing or deaf-blind to achieve equal access, effective communication, and a better quality of life.

Goals

Enable blind North Carolina citizens to live safely and comfortably by providing quality and timely services to consumers with vision loss.

Maximize the independence of blind North Carolina residents by providing training to meet their demands of daily living, preventing blindness, and restoring vision. Assist citizens with significant vision loss to become successfully employed in a career of their choice through vocational, rehabilitative services, and training for employment opportunities.

Provide employment opportunities for the blind and visually impaired citizens of North Carolina through the operation of vending and on-site food locations throughout the state.

Provide supervision to field and statewide service programs. Develop policies and procedures; provide personnel services, staff development, systems development, operations, telecommunications, and related information technology planning and support. Research and analyze consumer and provider needs, administer the NC Telecommunications Access of North Carolina (TANC) Program, and collaborate with existing and new partners to serve consumers.

Provide a variety of support, including advocacy, information, referral and skills development to deaf, hard of hearing, deaf blind individuals, their families, and local agencies through the seven regional resource center.

Provide relay services to all hearing impaired North Carolinians and provides equipment to ensure that low income deaf, hard of hearing, deaf blind and speech impaired individuals have the assistive/adaptive equipment needed to access the telecommunications and emergency systems. Conduct TANC outreach and field activities to enhance awareness of services and capacity to fully utilize the equipment distributed for the telecommunications system.

Governor's Recommended Adjustments to Base Budget

Divisions of Services for the Blind, Deaf, and Hard of Hearing (14450)

Recommended General Fund Budget and Positions

	<u>2007-08</u>	<u>2008-09</u>
Base Budget		
Requirements	\$28,016,225	\$28,113,496
Receipts	<u>\$17,738,579</u>	<u>\$17,792,044</u>
Appropriation	\$10,277,646	\$10,321,452
Adjustments		
Requirements	\$2,136,267	\$2,215,063
Receipts	-	-
Appropriation	\$2,136,267	\$2,215,063
Total		
Requirements	\$30,152,492	\$30,328,559
Receipts	<u>\$17,738,579</u>	<u>\$17,792,044</u>
Recommended Appropriation	<u>\$12,413,913</u>	<u>\$12,536,515</u>
<hr/>		
Positions		
Base Budget Positions	312.000	312.000
Reductions	-	-
Expansion	<u>12.000</u>	<u>12.000</u>
Recommended Positions	<u>324.000</u>	<u>324.000</u>

Appropriation Items -- Recommended Adjustments

Expansion

2007-08

2008-09

1. Additional Personnel for the Regional Center Field Office

The governor recommends creating three positions to alleviate the need to contract for services and allow staff to function in their specialty

Appropriation	\$52,773	\$70,364
Positions	3.000	3.000

2. Additional Resources to Meet Demand for Independent Living

The governor recommends adding nine positions to allow more clients to be served in the independent living program. This program instructs older citizens how to make modifications in their life that will keep them out of a nursing home environment. These positions will increase the capacity to serve 300 more consumers.

Requirements	\$1,329,810	\$1,479,515
Requirements - Nonrecurring	\$88,500	-
Receipts	-	-

Appropriation	\$1,418,310	\$1,479,515
Positions	9.000	9.000

3. Increase Eligibility for Medical Eye Care Program to 200% of Poverty

The governor recommends the income eligibility criteria be changed from a fixed tiered amount to 200% of poverty. The program is currently operating at 50% of poverty and has not been modified in 15 years. This change will allow more individuals to qualify for eye care services.

Appropriation	\$347,256	\$347,256
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4. Increase Eligibility for VR Program to 200% of Poverty

The governor recommends the income eligibility criteria be changed from a fixed tiered amount to 200% of poverty. The program is currently operating at 50% of poverty and has not been modified in 15 years. This change will allow more individuals to qualify for VR services.

Appropriation	\$317,928	\$317,928
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Total Recommended Expansion

	<u>2007-08</u>	<u>2008-09</u>
Recurring		
Requirements	\$2,047,767	\$2,215,063
Receipts	-	-
	<hr/>	<hr/>
Appropriation	\$2,047,767	\$2,215,063
Positions	12.000	12.000
Nonrecurring		
Requirements	\$88,500	-
Receipts	-	-
	<hr/>	<hr/>
Appropriation	\$88,500	-
Positions	-	-

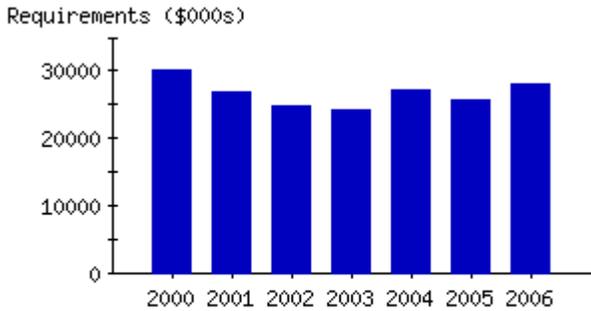
**Total Recommended Adjustments for
Divisions of Services for the Blind, Deaf, and Hard of
Hearing (14450)
2007-09**

	<u>2007-08</u>	<u>2008-09</u>
Recurring		
Requirements	\$2,047,767	\$2,215,063
Receipts	-	-
	<hr/>	<hr/>
Appropriation	\$2,047,767	\$2,215,063
Positions	12.000	12.000
Nonrecurring		
Requirements	\$88,500	-
Receipts	-	-
	<hr/>	<hr/>
Appropriation	\$88,500	-
Positions	-	-
Total Appropriation Adjustments	\$2,136,267	\$2,215,063
Total Position Adjustments	12.000	12.000

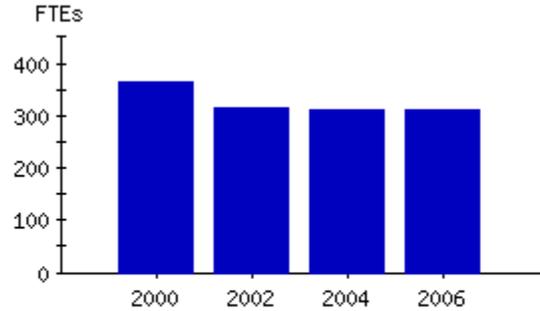
Base Budget and Results-Based Information

Budget Code 14450 DHHS-Div of Services for the Blind & Deaf/Hard of Hearing

**Actual Expenditures
by Fiscal Year**



**Actual Positions
by Fiscal Year**



2001 - Governor Morehead School positions transferred to OES

Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$28,153,740	\$27,706,393	\$27,769,622	\$246,603	\$28,016,225	\$343,874	\$28,113,496
Receipts	\$18,868,436	\$17,518,435	\$17,524,429	\$214,150	\$17,738,579	\$267,615	\$17,792,044
Appropriation	\$9,285,304	\$10,187,958	\$10,245,193	\$32,453	\$10,277,646	\$76,259	\$10,321,452
Positions	312.000	312.000	312.000	-	312.000	-	312.000

Budget Code 14450 DHHS-Div of Services for the Blind & Deaf/Hard of Hearing

Fund 14450-1110 Management and Support Services — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$1,157,796	\$1,422,611	\$1,422,611	(\$72,459)	\$1,350,152	(\$72,459)	\$1,350,152
Receipts	\$539,681	\$804,839	\$804,839	\$664	\$805,503	\$664	\$805,503
Appropriation	\$618,115	\$617,772	\$617,772	(\$73,123)	\$544,649	(\$73,123)	\$544,649
Positions	18.000	18.000	18.000	-	18.000	-	18.000

Fund description

Provide management and administrative support services for the Division of Services for the Blind. Those services include budgeting, LAN and information systems support, policy development, implementation, and maintenance of the statewide Blind Register.

Services for the fund

Director's Office provides leadership and long range planning in conjunction with management supports in areas including budget, purchasing, human resources, policy development/interpretation, IT services, program evaluation, and data collection/reporting for field staff who serve consumers with vision loss.

<u>Actual</u> <u>Requirements</u> <u>2005-06</u>	<u>Actual</u> <u>FTEs</u> <u>2005-06</u>
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\$990,621	15.000
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Human Resource provides recruitment and personnel services to the division.

\$110,441	2.000
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Information Technology provides technical and computer related services to the Division.

\$56,734	1.000
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Actual Totals

\$1,157,796	18.000
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Measures for the fund

Satisfaction level for combined results of program satisfaction surveys completed annually

<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
96.00	90.00	-

Fund 14450-1190 Federal Revenue Clearing — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	(\$407,455)	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The Revenue Clearing Fund records accounting transactions that detail by funding source the revenue due from federal and other funding agencies as a result of expenditures that earned those dollars in the operating funds of the agency. Actual receipts received from funding agencies are also recorded in this fund. The Revenue Clearing Fund balance indicates the current status of the agency's accounts receivable for the funding sources reflected in the Clearing Account at any point in the fiscal year.

Fund 14450-1210 Special Assistance for the Blind — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$671,300	\$903,614	\$903,614	\$0	\$903,614	\$0	\$903,614
Receipts	\$338,020	\$465,807	\$465,807	\$0	\$465,807	\$0	\$465,807
Appropriation	\$333,280	\$437,807	\$437,807	\$0	\$437,807	\$0	\$437,807
Positions	-	-	-	-	-	-	-

Fund description

The purpose of Special Assistance for the Blind is to assist blind persons in meeting their minimum needs by providing financial assistance payments to supplement Social Security and supplemental security income payments for eligible recipients in rest homes at the current legislatively authorized rates and by providing for non-Medicaid covered expenses for eligible individuals.

Services for the fund

Provide financial assistance to legally blind low income North Carolinians to supplement the cost of care in adult care homes and other residential facilities to insure that individuals live in a safe environment and receive required care.

Actual Totals

Actual Requirements 2005-06	Actual FTEs 2005-06
\$671,300	-
\$671,300	-

Measures for the fund

	2003-04	2004-05	2005-06
Blind individual receiving supplemental funds	111	105	102

Fund 14450-1410 Independent Living Services — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$7,097,027	\$7,664,764	\$7,664,249	\$95,018	\$7,759,267	\$124,385	\$7,883,652
Receipts	\$4,085,796	\$4,128,398	\$4,128,398	\$36,872	\$4,165,270	\$36,872	\$4,202,142
Appropriation	\$3,011,231	\$3,536,366	\$3,535,851	\$58,146	\$3,593,997	\$87,513	\$3,681,510
Positions	84.000	84.000	84.000	-	84.000	-	84.000

Fund description

Assist people who are blind or visually impaired to become independent by providing counseling, assistance in blindness training, in-home aide services, and referrals. The Medical Eye Care (MEC) program provides eye exams, eye related medical treatment and screenings to prevent vision loss and restore vision when possible.

Services for the fund	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
Provide direct services to North Carolinians who are blind and visually impaired through counseling and training in home management, personal care, and safe travel skills that enable them to live independently.	\$5,090,545	76.000
Provide consumer education and service intervention by eye care professionals through one-on-one direct client services including eye exams, surgery, and purchase of glasses that facilitates the prevention or reduction of vision loss.	\$2,006,482	8.000
Actual Totals	\$7,097,027	84.000

Measures for the fund

	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Independent Living Services (ILS) rendered	6,195	6,425	7,192
Medical Eye Care (MEC) services rendered	16,681	16,506	13,096

Fund 14450-1510 Rehabilitation for the Blind - Counsel/Placement — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$15,454,531	\$13,960,738	\$14,020,393	\$206,967	\$14,227,360	\$274,756	\$14,295,149
Receipts	\$12,655,743	\$10,823,589	\$10,825,494	\$163,679	\$10,989,173	\$217,029	\$11,042,523
Appropriation	\$2,798,788	\$3,137,149	\$3,194,899	\$43,288	\$3,238,187	\$57,727	\$3,252,626
Positions	155.000	155.000	155.000	-	155.000	-	155.000

Fund description

The Rehabilitation Program assists the blind and visually-impaired in maintaining, returning to, or securing competitive employment or pursuing activities which allow them to become productive members of society. This program: (1) provides evaluation, vocational training, physical restoration, maintenance, transportation, and job placement; (2) prepares consumers to take advantage of vocational training, higher education and/or employment by providing adjustment to blindness services and training services at the Division's Rehabilitation Center; and (3) seeks consumer involvement through consumer satisfaction surveys for the rehabilitation programs.

Services for the fund	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
Promote consumer employment capacity for North Carolinians who are blind and visually impaired through a range of direct counseling, training and other services which allows individuals to become successfully employed in the career of their choice.	\$14,103,339	141.000
Facilitate consumer skills development for individuals experiencing vision loss, particularly the older population, by individualized needs assessment, one-on-one, and community based group instruction in the use of adaptive techniques and technologies to enable them to meet daily living needs.	\$1,351,192	14.000
Actual Totals	\$15,454,531	155.000

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Consumers achieving their employment goal	701	652	651
Percentage of individuals receiving Independent Living Rehabilitation Program services who were satisfied ¹	93 %	91 %	-

¹Data being analyzed for FY 2005-06.

Fund 14450-1610 Business Enterprises — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$739,238	\$848,941	\$848,941	\$11,930	\$860,871	\$12,045	\$860,986
Receipts	\$739,261	\$848,941	\$848,941	\$11,930	\$860,871	\$12,045	\$860,986
Appropriation	(\$23)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	13.000	13.000	13.000	-	13.000	-	13.000

Fund description

Business Enterprise Administration seeks to provide blind citizens remunerative employment opportunities through establishment of food service and vending facilities on federal, state, and private properties that are managed by blind citizens. Management services are provided to create these opportunities, train blind individuals in the management of these operations and to use assistive technology so blind operators can successfully work as managers of these facilities.

Services for the fund	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
Provide management services in support of business opportunities for blind vendors.	\$739,238	13.000
Actual Totals	\$739,238	13.000

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Business Enterprise Operators	85	86	84

Fund 14450-1810 DHHS - General Administration — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$482,882	\$538,058	\$551,687	\$890	\$552,577	\$890	\$552,577
Receipts	\$174,931	\$198,872	\$202,961	\$667	\$203,628	\$667	\$203,628
Appropriation	\$307,951	\$339,186	\$348,726	\$223	\$348,949	\$223	\$348,949
Positions	6.000	6.000	6.000	-	6.000	-	6.000

Fund description

This fund is used to supervise field and statewide service programs; provide budgeting and accounting services and program evaluation; develop policies and procedures; provide personnel services, staff development, systems development, operations,

telecommunications and related information technology planning and support; research and analyze consumer and provider needs; administer the NC Telecommunications Access of North Carolina (TANC) program; and collaborate with existing and new partners to serve consumers.

Services for the fund	<u>Actual Requirements</u> <u>2005-06</u>	<u>Actual FTEs</u> <u>2005-06</u>
Provide a variety of administrative and management support for staff responsible for field and technology services.	\$482,882	6.000
Actual Totals	\$482,882	6.000

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Percentage increase in clients/agencies served	-	-	50.5 %

Fund 14450-1820 DHHS - Regional Resource Center — Base Budget

	<u>2005-06 Actual</u>	<u>2006-07 Certified</u>	<u>2006-07 Authorized</u>	<u>2007-08 Adjustments</u>	<u>2007-08 Total</u>	<u>2008-09 Adjustments</u>	<u>2008-09 Total</u>
Requirements	\$2,176,410	\$2,367,667	\$2,358,127	\$4,257	\$2,362,384	\$4,257	\$2,362,384
Receipts	\$325,101	\$247,989	\$247,989	\$338	\$248,327	\$338	\$248,327
Appropriation	\$1,851,309	\$2,119,678	\$2,110,138	\$3,919	\$2,114,057	\$3,919	\$2,114,057
Positions	36.000	36.000	36.000	-	36.000	-	36.000

Fund description

The purpose of this fund is to provide training and technical assistance in compliance with the Americans with Disabilities Act and other disability laws; provide hard of hearing services, alternative communication orientation, coping skills, and family support training; provide direct service in the form of communication access provision (such as providing assistive listening devices, interpreter services or computer assisted note taking); provide assistance in the application, distribution and training of assistive equipment distributed by TANC; and provide self-advocacy training, disability and ability awareness, and cross-cultural education for consumers and service providers.

Services for the fund	<u>Actual Requirements</u> <u>2005-06</u>	<u>Actual FTEs</u> <u>2005-06</u>
Provide assistance, consultation and training through one-on-one direct client service and skills development workshops for consumers and the agencies that serve them to promote service awareness and improved services.	\$2,176,410	36.000
Actual Totals	\$2,176,410	36.000

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Individual clients served	-	1,261	1,542
Agencies served	-	955	1,820
TEDP equipment distributed	-	124.53	148.73

Fund 14450-1992 Prior Year Earned Revenue — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$470,223	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	\$470,224	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	(\$1)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This fund is used to record revenue, primarily from federal funding sources, that was earned in a prior fiscal year and received in the current fiscal year.

Fund 14450-1993 Prior Period Refunds — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	(\$95,667)	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	(\$52,866)	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	(\$42,801)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

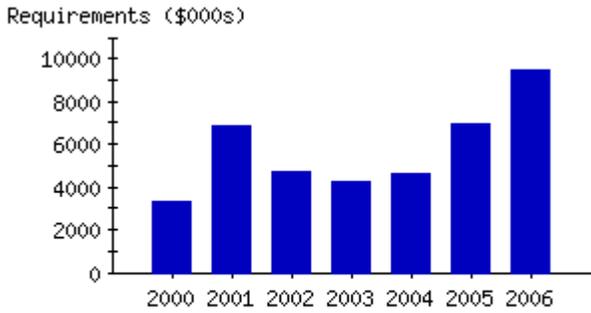
Fund description

This fund is used to record adjustments to expenditures or receipts for activities or transactions that occurred in a prior fiscal year. Adjustments tracked in this fund include paybacks from sub-grantees as a result of audits of prior year operations.

Base Budget and Results-Based Information

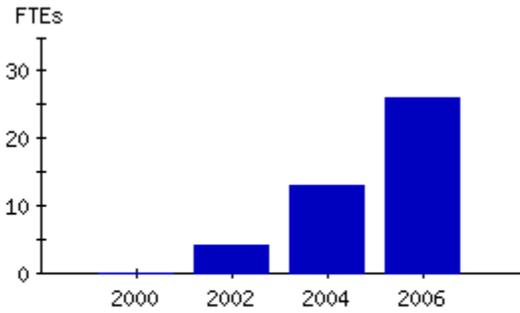
Budget Code 67425 DHHS-Deaf/Hard of Hearing-Trust Telecommunication

**Actual Expenditures
by Fiscal Year**



Program began in 2000. Contract payments received at varying intervals.

**Actual Positions
by Fiscal Year**



Staffing for new program began in 2001.

Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$9,467,257	\$9,912,023	\$9,981,407	\$373	\$9,981,780	\$373	\$9,981,780
Receipts	\$12,496,614	\$9,912,023	\$9,981,407	\$373	\$9,981,780	\$373	\$9,981,780
Chng Fund Bal	\$3,029,357	\$0	\$0	\$0	\$0	\$0	\$0
Positions	26.000	26.000	26.000	-	26.000	-	26.000

Budget Code 67425 DHHS-Deaf/Hard of Hearing-Trust Telecommunication

Fund 67425-6725 NC Dual Party Relay — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$4,685,130	\$4,705,798	\$4,727,148	\$19	\$4,727,167	\$19	\$4,727,167
Receipts	\$5,871,010	\$4,705,798	\$4,727,148	\$19	\$4,727,167	\$19	\$4,727,167
Chng Fund Bal	\$1,185,880	\$0	\$0	\$0	\$0	\$0	\$0
Positions	6.000	6.000	6.000	-	6.000	-	6.000

Fund description

This fund is used to administer resources which come from telephone land lines to support the contract for telephone relay services in North Carolina (RELAY NC) and provides for telecommunications equipment distribution services to ensure that deaf, hard of hearing, deaf-blind and speech-impaired individuals achieve functional equivalency in accessing telecommunications systems. Outreach and technology support services are also offered by this program.

Services for the fund

Promote the use of telecommunications equipment by the deaf and hard of hearing by providing Relay services and by distributing adaptive equipment in order to ensure equal access to communications options by these populations.

	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
	\$4,685,130	6.000
Actual Totals	\$4,685,130	6.000

Measures for the fund

	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Outbound calls completed via 711 relay NC	1,520,111	1,231,423	1,037,279
Applications approved for telecommunications equipment	854	1,255	1,735

Fund 67425-6726 Wireless TRS — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$4,782,127	\$5,206,225	\$5,254,259	\$354	\$5,254,613	\$354	\$5,254,613
Receipts	\$6,625,604	\$5,206,225	\$5,254,259	\$354	\$5,254,613	\$354	\$5,254,613
Chng Fund Bal	\$1,843,477	\$0	\$0	\$0	\$0	\$0	\$0
Positions	20.000	20.000	20.000	-	20.000	-	20.000

Fund description

This fund receives funds from cell phone surcharges to provide for telecommunications equipment, specifically hearing aids with telecoil, and adaptive emergency equipment distribution services to ensure that deaf, hard of hearing, deaf-blind and speech-impaired individuals achieve functional equivalency in accessing telecommunications and emergency alerting systems. Outreach and technology support services are also offered by this program.

Services for the fund	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
Promote the use of telecommunications equipment by the deaf and hard of hearing by providing relay services and by distributing adaptive equipment in order to ensure equal access to communications options by these populations.	\$4,782,127	20.000
Actual Totals	\$4,782,127	20.000

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Applications for emergency alerting equipment approved	-	-	513
Applications for hearing aids authorized	-	658	3,015
Clients served	-	1,260	1,738

Division of Mental Health/Developmental Disabilities/Substance Abuse Services

Mission

The mission of the Division of Mental Health, Developmental Disabilities, and Substance Abuse Services is to provide people with, or at risk of, mental illness, developmental disabilities, and substance abuse problems and their families the necessary prevention, intervention, treatment, services, and supports they need to live successfully in communities of their choice.

Goals

Advocate, manage, and oversee services that prevent, treat, and support individuals with mental illness, developmental disabilities, and substance abuse issues.

Provide individuals with developmental disabilities the necessary services and supports they need to live successfully in the communities of their choice.

Provide individuals living with mental illness and their families the necessary prevention, intervention, treatment, and supportive services to live successfully in communities of their choice.

Provide people with, or at risk of substance abuse problems the necessary prevention, intervention, treatment, services, and supports they need to live successfully in communities of their choice.

Provide individuals with developmental disabilities with facility based care when community services are not appropriate.

Maintain or improve the mental health of adults and children who cannot be served in the community by providing inpatient psychiatric services.

Provide intensive treatment for adults with alcohol and other drug dependence and adults with co-occurring mental health and substance abuse disorders so they can go on and successfully live in communities of their choice.

Provide a management system for service provision to individuals with MH/DD/SAS diagnoses that includes: (1) general administration and governance; (2) access, screening, triage, and referral; (3) service management; (4) provider relations and support; (5) customer services and consumer rights; (6) quality management and outcomes evaluation; (7) business management; and (8) accounting and information.

Governor's Recommended Adjustments to Base Budget

Division of Mental Health/Developmental Disabilities/Substance Abuse Services (14460)

Recommended General Fund Budget and Positions

	<u>2007-08</u>	<u>2008-09</u>
Base Budget		
Requirements	\$1,196,966,523	\$1,198,253,090
Receipts	<u>\$484,995,232</u>	<u>\$484,983,976</u>
Appropriation	\$711,971,291	\$713,269,114
Adjustments		
Requirements	\$4,840,124	\$9,598,085
Receipts	-	<u>\$3,926,321</u>
Appropriation	\$4,840,124	\$5,671,764
Total		
Requirements	\$1,201,806,647	\$1,207,851,175
Receipts	<u>\$484,995,232</u>	<u>\$488,910,297</u>
Recommended Appropriation	<u>\$716,811,415</u>	<u>\$718,940,878</u>
<hr/>		
Positions		
Base Budget Positions	11,723.120	11,723.120
Reductions	-	-
Expansion	<u>24.000</u>	<u>124.000</u>
Recommended Positions	<u>11,747.120</u>	<u>11,847.120</u>

Appropriation Items -- Recommended Adjustments

Expansion

2007-08

2008-09

1. Increase Community Capacity

Increasing community capacity is essential to transforming the mental health, developmental disabilities, and substance abuse services delivery system. To expand the opportunity for consumers to be served in their home communities and promote facility downsizing and the subsequent reinvestment of institutional resources in the community, the governor recommends targeted community services funding for mental health, substance abuse, and crisis services.

Appropriation	\$3,500,000	\$3,500,000
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2. Increase Staffing at N. C. Special Care Center

Based on the changing mission of the NC Special Care Center (NCSCC) related to the number and acuity of patients and independent reviews of NCSCC's staffing, funding is recommended to increase staffing to meet the treatment and safety needs to clients and staff. The increase will be largely supported by Medicaid receipts.

Requirements	-	\$4,650,386
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Receipts	-	\$3,926,321
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Appropriation	-	\$724,065
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Positions	-	100.000
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3. Increase Central Office and Field Based Staff

Funds are recommended to enhance the division's oversight and monitoring of the management and delivery of community based services. Eleven positions will provide more concentrated oversight of Local Management Entities (LMEs) and service providers, provide financial and reimbursement assistance, and perform program audits. In addition, two positions will be added to the Accounts Receivable section to maximize the collection of patient receipts.

Requirements	\$469,608	\$626,145
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Requirements - Nonrecurring	\$117,998	-
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Receipts	-	-
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Appropriation	\$587,606	\$626,145
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Positions	13.000	13.000
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4. Mental Health Services Coordination for Returning Veterans

In response to the needs of our military families, the governor recommends funding to support training of services providers and interested parties in the community to recognize and meet the unique service needs of post-deployment veterans and their families; creating a position to coordinate the department's service efforts and to develop and disseminate best practice service models; and increasing the availability of information and referral by expanding the NC CARE-LINE to 24 hours, 7 days a week operation and developing a veterans' and families component in the NC Health Portal.

Requirements	\$735,203	\$821,554
Requirements - Nonrecurring	\$17,315	-
Receipts	-	-
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Appropriation	\$752,518	\$821,554
Positions	11.000	11.000

Total Recommended Expansion

	<u>2007-08</u>	<u>2008-09</u>
Recurring		
Requirements	\$4,704,811	\$9,598,085
Receipts	-	3,926,321
<hr/>		
Appropriation	\$4,704,811	\$5,671,764
Positions	24.000	124.000
Nonrecurring		
Requirements	\$135,313	-
Receipts	-	-
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Appropriation	\$135,313	-
Positions	-	-

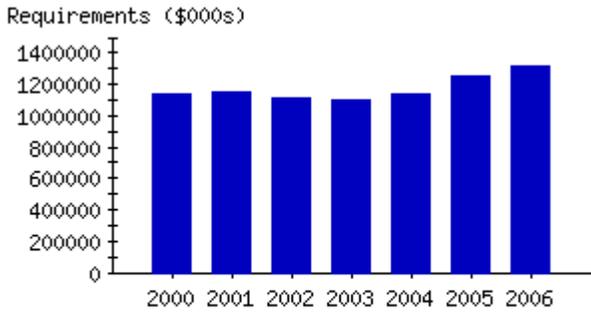
**Total Recommended Adjustments for
Division of Mental Health/Developmental
Disabilities/Substance Abuse Services (14460)
2007-09**

	<u>2007-08</u>	<u>2008-09</u>
Recurring		
Requirements	\$4,704,811	\$9,598,085
Receipts	-	3,926,321
	\$4,704,811	\$5,671,764
Appropriation		
Positions	24.000	124.000
Nonrecurring		
Requirements	\$135,313	-
Receipts	-	-
	\$135,313	-
Appropriation		
Positions	-	-
Total Appropriation Adjustments	\$4,840,124	\$5,671,764
Total Position Adjustments	24.000	124.000

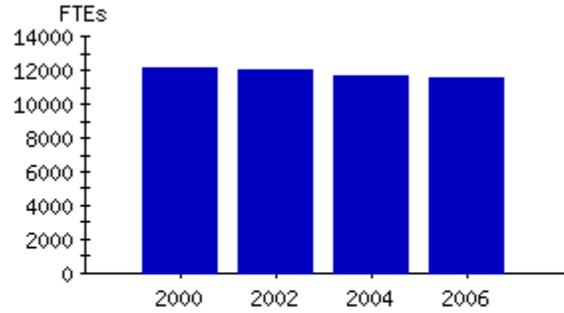
Base Budget and Results-Based Information

Budget Code 14460 DHHS - Div Mental Health/Develop.Disab./Sub.Abuse

**Actual Expenditures
by Fiscal Year**



**Actual Positions
by Fiscal Year**



Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$1,311,200,052	\$1,174,964,424	\$1,174,501,313	\$22,465,210	\$1,196,966,523	\$23,751,777	\$1,198,253,090
Receipts	\$699,556,382	\$485,920,255	\$486,127,598	(\$1,132,366)	\$484,995,232	(\$1,143,622)	\$484,983,976
Appropriation	\$611,643,670	\$689,044,169	\$688,373,715	\$23,597,576	\$711,971,291	\$24,895,399	\$713,269,114
Positions	11,591.110	11,659.270	11,653.120	70.000	11,723.120	70.000	11,723.120

Budget Code 14460 DHHS - Div Mental Health/Develop.Disab./Sub.Abuse

Fund 14460-1110 General Administration — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$36,192,145	\$30,247,207	\$31,188,125	(\$794,880)	\$30,393,245	(\$768,313)	\$30,419,812
Receipts	\$17,038,449	\$13,930,407	\$14,153,521	\$15,524	\$14,169,045	\$18,668	\$14,172,189
Appropriation	\$19,153,696	\$16,316,800	\$17,034,604	(\$810,404)	\$16,224,200	(\$786,981)	\$16,247,623
Positions	207.000	195.150	207.000	-	207.000	-	207.000

Fund description

This fund supports general administrative activities within the division. Resources are used to provide administrative support in the administration and delivery of services in both community programs and state operated facilities.

Services for the fund	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
Administration - Manage division staff and provide technical and administrative support to LMEs to ensure efficient and appropriate oversight of MH/DD/SAS programs in accordance with the DMH/DD/SAS State Plan, and state and federal regulations.	\$24,464,755	201.000
Administration - Manage contracts with non-governmental agencies and consultants to ensure efficient and appropriate services are being developed and delivered to mental health, developmental disability, and substance abuse populations.	\$11,727,390	6.000
Actual Totals	\$36,192,145	207.000

Measures for the fund

	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Implemented central office contracts. ¹	94	80	76

¹Does not include contracts voided, terminated, or with the division's institutions.

Fund 14460-1216 Whitaker School — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$3,984,862	\$5,775,487	\$3,296,350	\$98,064	\$3,394,414	\$103,103	\$3,399,453
Receipts	\$7,110	\$17,030	\$17,030	(\$10,030)	\$7,000	(\$10,030)	\$7,000
Appropriation	\$3,977,752	\$5,758,457	\$3,279,320	\$108,094	\$3,387,414	\$113,133	\$3,392,453
Positions	59.000	114.000	59.000	-	59.000	-	59.000

Fund description

This fund budgets and tracks expenditures for the state operated Whitaker School in Butner, N.C. The Whitaker School is a long term treatment program for emotionally handicapped adolescents, ages 13-17, possessing secondary handicapping conditions including education, social, behavioral, neurological, and intellectual deficits. Adolescents can stay up to a year in this 36 bed, non-medical alternative program which emphasizes the re-education model of service. This residential program for adolescents provides education and services to enable the adolescents to transition back into community based living arrangements.

Services for the fund	<u>Actual Requirements</u> <u>2005-06</u>	<u>Actual FTEs</u> <u>2005-06</u>
Mental Health Facilities - Provide for the administration (positions, office supplies, communications, etc.) and maintenance to support the operations of Whitaker School.	\$1,160,504	11.000
Mental Health Facilities - Provide appropriate residential and periodic services, as well as educational instruction to children diagnosed with mental health disorders.	\$2,824,358	48.000
Actual Totals	\$3,984,862	59.000

Measures for the fund

	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Total admissions to Whitaker School	30	23	24
Total discharges from Whitaker School	36	30	22

Fund 14460-1220 Broughton Hospital — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$105,887,687	\$66,036,898	\$67,163,964	\$2,139,237	\$69,303,201	\$2,456,754	\$69,620,718
Receipts	\$57,778,092	\$21,827,950	\$21,827,950	(\$2,632,871)	\$19,195,079	(\$2,632,871)	\$19,195,079
Appropriation	\$48,109,595	\$44,208,948	\$45,336,014	\$4,772,108	\$50,108,122	\$5,089,625	\$50,425,639
Positions	1,169.500	1,139.500	1,169.500	-	1,169.500	-	1,169.500

Fund description

This fund budgets and tracks expenditures for Broughton Hospital, a state operated inpatient facility in Morganton, N.C., for adults and adolescents with mental health needs. Broughton Hospital is the largest of the four psychiatric hospitals operated by the state. This hospital serves the 37 western most counties in the state. Services primarily include psychiatric inpatient care, rehabilitation, and specialized services for adults with mental illness who are also hard of hearing.

Services for the fund	<u>Actual Requirements</u> <u>2005-06</u>	<u>Actual FTEs</u> <u>2005-06</u>
Mental Health Facilities - Provide hospital management (administration, business functions, facility maintenance, etc.), education programs, clinical, allied (occupational therapy, physical therapy, etc.), and contracted services to support the residential treatment of individuals with mental illness.	\$28,977,245	371.000
Mental Health Facilities - Provide direct care, treatment, and contracted medical services to individuals diagnosed with mental illness.	\$39,818,999	798.500
Mental Health Facilities - Tracks the settlement and payback of Medicaid and Medicare payments, as well as disproportionate share transfers from Division of Medical Assistance.	\$37,091,443	-
Actual Totals	\$105,887,687	1,169.500

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Total persons treated at Broughton Hospital	3,544	4,091	4,080
Percentage of individuals discharged within 7 days of admission	41 %	44 %	41 %
Percentage of individuals discharged after 31 days of admission	15 %	16 %	15 %

Fund 14460-1230 Cherry Hospital — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$96,299,637	\$70,253,766	\$69,610,216	\$1,876,570	\$71,486,786	\$1,950,849	\$71,561,065
Receipts	\$49,710,638	\$14,289,419	\$14,289,419	(\$2,278,209)	\$12,011,210	(\$2,278,209)	\$12,011,210
Appropriation	\$46,588,999	\$55,964,347	\$55,320,797	\$4,154,779	\$59,475,576	\$4,229,058	\$59,549,855
Positions	1,158.570	1,171.570	1,158.570	-	1,158.570	-	1,158.570

Fund description

This fund budgets and tracks expenditures for Cherry Hospital, a 284 bed state operated inpatient facility in Goldsboro, N.C., for adults and adolescents with mental health needs. The psychiatric hospital serves the citizens of the 33 eastern most counties. Services primarily include evaluation, psychiatric inpatient care, and rehabilitation.

Services for the fund	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
Mental Health Facilities - Provide hospital management (administration, business functions, facility maintenance, etc.), education programs, clinical, allied (occupational therapy, physical therapy, etc.), and contracted services to support the residential treatment of individuals with mental illness.	\$30,475,013	467.000
Mental Health Facilities - Provide direct care, treatment, and contracted medical services to individuals diagnosed with mental illness.	\$30,117,158	691.570
Mental Health Facilities - Tracks the settlement and payback of Medicaid and Medicare payments, as well as disproportionate share transfers from Division of Medical Assistance.	\$35,707,466	-
Actual Totals	\$96,299,637	1,158.570

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Total persons treated at Cherry Hospital	3,787	4,892	4,465
Percentage of individuals discharged within 7 days of admission	46 %	51 %	51 %
Percentage of individuals discharged after 31 days of admission	9 %	8 %	8 %

Fund 14460-1240 Dorothea Dix Hospital — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$118,919,672	\$69,454,994	\$67,849,763	\$1,849,469	\$69,699,232	\$2,430,775	\$70,280,538
Receipts	\$70,924,535	\$14,909,153	\$14,909,153	\$3,081,117	\$17,990,270	\$3,081,117	\$17,990,270
Appropriation	\$47,995,137	\$54,545,841	\$52,940,610	(\$1,231,648)	\$51,708,962	(\$650,342)	\$52,290,268
Positions	1,106.370	1,138.370	1,106.370	-	1,106.370	-	1,106.370

Fund description

This fund budgets and tracks expenditures for Dorothea Dix Hospital in Raleigh, N.C., a state operated inpatient facility for individuals with mental health needs. Services primarily include evaluation, psychiatric inpatient care, and rehabilitation for adults and adolescents, including a special forensic unit.

Services for the fund	Actual Requirements 2005-06	Actual FTEs 2005-06
Mental Health Facilities - Provide hospital management (administration, business functions, facility maintenance, etc.), education programs, clinical, allied (occupational therapy, physical therapy, etc.), and contracted services to support the residential treatment of individuals with mental illness.	\$32,442,504	391.060
Mental Health Facilities - Provide direct care, treatment, and contracted medical services to individuals diagnosed with mental illness.	\$45,040,635	715.310
Mental Health Facilities - Tracks the settlement and payback of Medicaid and Medicare payments, as well as disproportionate share transfers from Division of Medical Assistance.	\$41,436,533	-
Actual Totals	\$118,919,672	1,106.370

Measures for the fund

	2003-04	2004-05	2005-06
Total persons treated at Dorothea Dix Hospital	4,317	4,799	5,042
Percentage of individuals discharged within 7 days of admission	57 %	56 %	57 %
Percentage of individuals discharged after 31 days of admission	9 %	10 %	10 %

Fund 14460-1250 NC Special Care Center — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$21,216,357	\$20,356,757	\$20,431,565	\$891,299	\$21,322,864	\$1,062,798	\$21,494,363
Receipts	\$19,238,318	\$17,988,863	\$17,988,863	\$2,349,163	\$20,338,026	\$2,349,163	\$20,338,026
Appropriation	\$1,978,039	\$2,367,894	\$2,442,702	(\$1,457,864)	\$984,838	(\$1,286,365)	\$1,156,337
Positions	373.000	373.000	373.000	-	373.000	-	373.000

Fund description

This fund budgets and tracks expenditures for the N.C. Special Care Center (NCSCC) in Wilson, N.C. NCSCC provides high quality skilled and intermediate nursing care for individuals who cannot be placed in traditional nursing care locations due to having psychiatric diagnoses.

Services for the fund	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
Mental Health Facilities - Provide management (administration, business functions, facility maintenance, etc.), clinical, allied (occupational therapy, physical therapy, etc.), and contracted services to support the residential treatment of individuals with mental illness.	\$9,156,793	126.500
Mental Health Facilities - Provide direct care, treatment, and contracted medical services to individuals diagnosed with mental illness. NCSCC also operates a 40 bed Alzheimer's treatment unit.	\$11,377,047	246.500
Mental Health Facilities - Tracks the settlement and payback of Medicaid and Medicare payments, as well as disproportionate share transfers from Division of Medical Assistance.	\$682,517	-
Actual Totals	\$21,216,357	373.000

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Total persons treated at NCSCC	315	331	289

Fund 14460-1260 John Umstead Hospital — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$110,507,207	\$72,405,210	\$72,361,595	\$1,840,213	\$74,201,808	\$2,110,647	\$74,472,242
Receipts	\$61,601,771	\$14,401,535	\$14,401,535	\$2,786,716	\$17,188,251	\$2,785,966	\$17,187,501
Appropriation	\$48,905,436	\$58,003,675	\$57,960,060	(\$946,503)	\$57,013,557	(\$675,319)	\$57,284,741
Positions	1,190.170	1,194.170	1,190.170	-	1,190.170	-	1,190.170

Fund description

This is one of two funds (1260 and 1261) used to budget and track expenditures for John Umstead Hospital in Butner, N.C., a state operated inpatient facility for adults, children, and adolescents with mental health needs. Services primarily include evaluation, psychiatric inpatient care, and rehabilitation. This fund also tracks budget and expenditures for the R.J. Blackley Alcohol and Drug Abuse Treatment Center (ADATC). One of the three state operated ADATCs, it is specially designed to treat persons with addictions and/or co-occurring disorders.

Services for the fund	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
Mental Health Facilities - Provide hospital management (administration, business functions, facility maintenance, etc.), education programs, clinical, allied (occupational therapy, physical therapy, etc.), and contracted services to support the residential treatment of individuals with mental illness.	\$24,764,986	329.030
Mental Health Facilities - Provide direct care, treatment, and contracted medical services to individuals diagnosed with mental illness.	\$43,281,194	749.140
Mental Health Facilities - Tracks the settlement and payback of Medicaid and Medicare payments, as well as disproportionate share transfers from Division of Medical Assistance.	\$38,706,510	-
Substance Abuse Facilities - Provide administration, facility maintenance, ancillary, and other services in support of medically monitored detoxification, crisis stabilization, and short term treatment of adults with substance abuse problems for ongoing community based recovery services.	\$3,754,517	112.000
Actual Totals	\$110,507,207	1,190.170

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Total persons treated at John Umstead Hospital	4,493	4,653	4,709
Percentage of individuals discharged within 7 days of admission	55 %	53 %	53 %
Percentage of individuals discharged after 31 days of admission	11 %	13 %	13 %
Admissions to Blackley ADATC	1,325	1,322	1,477
Percentage of ADATC clients readmitted within one year	6.0 %	6.0 %	7.0 %

Fund 14460-1261 John Umstead Hospital - Federal - Other — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$11,597	\$117,868	\$117,868	\$0	\$117,868	\$0	\$117,868
Receipts	\$11,599	\$117,868	\$117,868	\$0	\$117,868	\$0	\$117,868
Appropriation	(\$2)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	2.000	2.000	2.000	-	2.000	-	2.000

Fund description

This is one of two funds (1260 and 1261) used to budget and track expenditures for John Umstead Hospital in Butner, N.C., a state operated inpatient facility for adults, children, and adolescents with mental health needs. Services primarily include evaluation, psychiatric inpatient care, and rehabilitation.

Services for the fund

	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
Mental Health Facilities - Provide appropriate residential, periodic, and crisis services to individuals diagnosed with mental health disorders to reduce average length of stay and number of readmissions to state run mental health facilities.	\$2,662	2.000
Mental Health Facilities - Provide for staff training related to the direct care of clients at John Umstead Hospital.	\$8,935	-
Actual Totals	\$11,597	2.000

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Total persons treated at John Umstead Hospital	4,493	4,653	4,709

Fund 14460-1280 Wright School - State — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$2,175,198	\$2,319,669	\$2,319,669	\$41,779	\$2,361,448	\$18,864	\$2,338,533
Receipts	\$34,843	\$27,935	\$27,935	(\$1,931)	\$26,004	(\$1,931)	\$26,004
Appropriation	\$2,140,355	\$2,291,734	\$2,291,734	\$43,710	\$2,335,444	\$20,795	\$2,312,529
Positions	39.230	39.230	39.230	-	39.230	-	39.230

Fund description

This is one of two funds (1280 and 2280) used to budget and track expenditures for the state operated Wright School residential program for children. The program is designed to provide mental health residential treatment to North Carolina's most serious emotionally disturbed 6- to 12-year-old children and their families. Individualized assessment, planning, and treatment activities are provided for 24 children at the Durham facility five days a week. Intensive outreach efforts are made to identify and mobilize family and community resources in the child's local community. These combined efforts increase the likelihood of successfully meeting each child's special needs and enabling a successful return to community living.

Services for the fund	<u>Actual Requirements</u> <u>2005-06</u>	<u>Actual FTEs</u> <u>2005-06</u>
Mental Health Facilities - Provide for the administration (positions, office supplies, communications, etc.) and maintenance to support the operations of Wright School. This also includes ancillary services (dental, occupational therapy, speech therapy, and physical therapy) as well as pharmacological expenses that support the primary residential treatment services.	\$870,079	15.350
Mental Health Facilities - Provide appropriate residential and periodic services, as well as educational instruction to children diagnosed with mental health disorders.	\$1,305,119	23.880
Actual Totals	\$2,175,198	39.230

Measures for the fund

	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Children served at Wright School	99	105	69
Average daily population at Wright School	22	11	24

Fund 14460-1290 Program Services Mental Health - State — Base Budget

	<u>2005-06 Actual</u>	<u>2006-07 Certified</u>	<u>2006-07 Authorized</u>	<u>2007-08 Adjustments</u>	<u>2007-08 Total</u>	<u>2008-09 Adjustments</u>	<u>2008-09 Total</u>
Requirements	\$94,952,908	\$123,192,166	\$123,192,166	(\$2,330,000)	\$120,862,166	(\$2,330,000)	\$120,862,166
Receipts	\$3,995,820	\$20,000	\$20,000	\$0	\$20,000	\$0	\$20,000
Appropriation	\$90,957,088	\$123,172,166	\$123,172,166	(\$2,330,000)	\$120,842,166	(\$2,330,000)	\$120,842,166
Positions	-	-	-	-	-	-	-

Fund description

This is one of two funds (1290 and 1291) used to budget and track expenditures for community based mental health services, including direct service contracts the division holds for adults and children. A variety of individual budget accounts are established within this fund to budget and track the provision of periodic day services and 24-hour services. The principal source of funds in this fund code is state appropriation.

Services for the fund	<u>Actual Requirements</u> <u>2005-06</u>	<u>Actual FTEs</u> <u>2005-06</u>
Community Based Program-Mental Health - Provide reimbursement for appropriate residential, periodic, and crisis services to children through partnerships with private providers and LMEs/Area Authorities.	\$30,789,690	-
Community Based Program-Mental Health - Provide reimbursement for appropriate residential, periodic and crisis services to adults in the community through partnerships with private providers and LMEs/Area Authorities.	\$56,428,124	-

Community Based Program-Mental Health - Provide reimbursement for emergency services, training and court ordered client evaluations relating to client support and services provided through partnerships with private providers and LMEs/Area Authorities.	\$7,735,094	-
Actual Totals	\$94,952,908	-

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Percentage of adults with mental illness indicating they have good access to services	87.0 %	94.0 %	95.0 %
Percentage of families with children with mental illness who indicate they had positive outcomes	56.0 %	93.0 %	98.0 %
Percentage of adults with mental illness served in the community who indicate they had positive outcomes	72.0 %	92.0 %	88.0 %
Percentage decrease in the number of state psychiatric hospital bed days used by increasing community capacity-adult	76.9 %	87.1 %	87.3 %

Fund 14460-1291 Program Services Mental Health - Federal — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$13,229,227	\$14,319,789	\$14,319,789	\$0	\$14,319,789	\$0	\$14,319,789
Receipts	\$12,920,897	\$13,909,598	\$13,909,598	\$0	\$13,909,598	\$0	\$13,909,598
Appropriation	\$308,330	\$410,191	\$410,191	\$0	\$410,191	\$0	\$410,191
Positions	-	-	-	-	-	-	-

Fund description

This is one of two funds (1290 and 1291) used to budget and track expenditures for community based mental health services, including direct service contracts which the division holds for adults and children. A variety of individual budget accounts are established within this fund to budget and track the provision of periodic day services and 24-hour services, as well as specialized initiatives such as the federal Partners in Autism and Therapeutic Habilitation (PATH) Homeless grant, etc. The principal source of funds in this fund code is federal funds.

Services for the fund	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
Community Based Program-Mental Health - Provide reimbursement for appropriate residential, periodic, and crisis services to children in the community through partnerships with LMEs/Area Authorities.	\$5,452,089	-
Community Based Program-Mental Health - Provide reimbursement for appropriate residential, periodic, and crisis services to adults in the community through partnerships with LMEs/Area Authorities.	\$7,474,704	-
Community Based Program-Mental Health - Provide reimbursement for training and prior year payments for services provided in a community through partnerships with LMEs/Area Authorities.	\$302,434	-
Actual Totals	\$13,229,227	-

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Percentage of adults with mental illness indicating they have good access to services ¹	87.0 %	94.0 %	95.0 %
Percentage of families with children with mental illness who indicate they had positive outcomes	56.0 %	93.0 %	98.0 %
Percentage of adults with mental illness served in the community who indicate they had positive outcomes	72.0 %	92.0 %	88.0 %
Percentage decrease in the number of state psychiatric hospital bed days used by increasing community capacity-adult	76.9 %	87.1 %	87.3 %

¹Federal and State funds have identical measures for community based programs

Fund 14460-1320 Black Mountain Center - State — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$22,471,289	\$22,084,952	\$22,084,952	\$951,751	\$23,036,703	\$906,378	\$22,991,330
Receipts	\$19,323,277	\$21,780,054	\$21,780,054	(\$1,228,432)	\$20,551,622	(\$1,229,732)	\$20,550,322
Appropriation	\$3,148,012	\$304,898	\$304,898	\$2,180,183	\$2,485,081	\$2,136,110	\$2,441,008
Positions	438.000	438.000	438.000	-	438.000	-	438.000

Fund description

This fund is established for the purpose of tracking budget and expenditures for Black Mountain Center (BMC) in Black Mountain, N.C. BMC operates a Medicaid certified program that provides residential care and treatment to individuals who have severe to profound mental retardation. BMC also operates a certified nursing facility for persons throughout N.C. who have probable Alzheimer's disease and whose combative and assaultive behaviors make other living arrangement unrealistic.

Services for the fund	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
Developmental Disability Facilities - Provide management (administration, business functions, facility maintenance, etc.), clinical, allied (occupational therapy, physical therapy, etc.), and contracted services to support the primary residential treatment services.	\$12,118,131	189.000
Developmental Disability Facilities - Provide appropriate residential and skilled nursing treatment for individuals at Black Mountain Center.	\$5,402,079	144.000
Developmental Disability Facilities - Provide appropriate residential and skilled nursing treatment for Alzheimer's unit clients.	\$3,924,574	105.000
Developmental Disability Facilities - Tracks the settlement and payback of Medicaid and Medicare payments.	\$1,026,505	-
Actual Totals	\$22,471,289	438.000

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Persons treated at Black Mountain Center	392	414	424
Average daily population at Black Mountain Center	137	150	151

Fund 14460-1330 Caswell Center - State — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$75,547,590	\$81,791,235	\$81,236,665	\$874,547	\$82,111,212	\$1,290,662	\$82,527,327
Receipts	\$76,820,694	\$77,725,712	\$77,725,712	(\$1,733,141)	\$75,992,571	(\$1,731,741)	\$75,993,971
Appropriation	(\$1,273,104)	\$4,065,523	\$3,510,953	\$2,607,688	\$6,118,641	\$3,022,403	\$6,533,356
Positions	1,671.000	1,686.000	1,671.000	-	1,671.000	-	1,671.000

Fund description

This fund is established for the purpose of tracking budget and expenditures for Caswell Center in Kinston, N.C., one of the four state operated mental retardation centers. Caswell is an Intermediate Care Facility for the Mentally Retarded (ICF/MR) and provides outreach, advocacy, and community based training. Sources of funds in this fund code are primarily Medicaid ICF/MR receipts and state appropriation.

Services for the fund	Actual Requirements 2005-06	Actual FTEs 2005-06
Developmental Disability Facilities - Provide management (administration, business functions, facility maintenance, etc.), education programs, clinical, allied (occupational therapy, physical therapy, etc.), and contracted services to support the primary residential treatment services.	\$35,713,568	690.000
Developmental Disability Facilities - Provide appropriate residential and periodic habilitation services to medically fragile individuals diagnosed with developmental disabilities to reduce readmissions for individuals in state run developmental disability facilities.	\$38,181,145	981.000
Developmental Disability Facilities - Tracks the settlement and payback of Medicaid and Medicare payments.	\$1,652,877	-
Actual Totals	\$75,547,590	1,671.000

Measures for the fund	2003-04	2004-05	2005-06
Persons treated at Caswell Center	520	494	464
Average daily population at Caswell Center	483	450	432

Fund 14460-1340 Murdoch Center - State — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$80,858,696	\$82,099,718	\$84,335,904	\$1,689,236	\$86,025,140	\$1,839,953	\$86,175,857
Receipts	\$75,730,030	\$77,363,754	\$77,347,983	(\$526,506)	\$76,821,477	(\$530,256)	\$76,817,727
Appropriation	\$5,128,666	\$4,735,964	\$6,987,921	\$2,215,742	\$9,203,663	\$2,370,209	\$9,358,130
Positions	1,744.000	1,690.000	1,744.000	-	1,744.000	-	1,744.000

Fund description

This fund is established for the purpose of tracking budget and expenditures for Murdoch Center in Butner, N.C., one of the four state operated mental retardation centers. Murdoch Center provides an ICF/MR level of care, as well as programs of outreach, advocacy, and community based training. Source of funds in this fund code is primarily Medicaid ICF/MR and state appropriation.

Services for the fund	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
Developmental Disability Facilities - Provide management (administration, business functions, facility maintenance, etc.), clinical, allied (occupational therapy, physical therapy, etc.), and contracted services to support the primary residential treatment services.	\$31,042,438	517.000
Developmental Disability Facilities - Provide appropriate residential and periodic habilitation services to medically fragile individuals diagnosed with developmental disabilities to reduce readmissions for individuals in state run developmental disability facilities.	\$45,549,467	1,227.000
Developmental Disability Facilities - Tracks the settlement and payback of Medicaid and Medicare payments.	\$4,266,791	-
Actual Totals	\$80,858,696	1,744.000

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Persons treated at Murdoch Center	595	587	571
Average daily population at Murdoch Center	585	557	546

Fund 14460-1350 O'Berry Center - State — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$47,661,286	\$48,705,353	\$48,600,666	\$1,271,285	\$49,871,951	\$1,477,983	\$50,078,649
Receipts	\$47,060,812	\$47,347,269	\$47,347,269	(\$1,291,813)	\$46,055,456	(\$1,291,813)	\$46,055,456
Appropriation	\$600,474	\$1,358,084	\$1,253,397	\$2,563,098	\$3,816,495	\$2,769,796	\$4,023,193
Positions	1,003.500	1,003.500	1,003.500	-	1,003.500	-	1,003.500

Fund description

This fund is established for the purpose of tracking budget and expenditures for the O'Berry Center in Goldsboro, N.C. One of the four state operated mental retardation facilities, the O'Berry Center provides an ICF/MR level of care, as well as programs of outreach, advocacy, and community based training. Sources of funds in this fund code are primarily Medicaid ICF/MR and state appropriation.

Services for the fund	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
Developmental Disability Facilities - Provide management (administration, business functions, facility maintenance, etc.), clinical, allied (occupational therapy, physical therapy, etc.), and contracted services to support the primary residential treatment services.	\$16,547,167	242.500
Developmental Disability Facilities - Provide appropriate residential and periodic habilitation services to medically fragile individuals diagnosed with developmental disabilities to reduce readmissions for individuals in state run developmental disability facilities.	\$29,489,665	761.000
Developmental Disability Facilities - Tracks the settlement and payback of Medicaid and Medicare payments.	\$1,624,454	-
Actual Totals	\$47,661,286	1,003.500

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Persons treated at O'Berry Center	324	314	306
Average daily population at O'Berry Center	317	293	286

Fund 14460-1360 J. Iverson Riddle Center - State — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$49,946,668	\$50,644,881	\$50,429,795	\$1,270,546	\$51,700,341	\$1,451,060	\$51,880,855
Receipts	\$41,545,944	\$47,870,147	\$47,870,147	\$2,353,422	\$50,223,569	\$2,353,422	\$50,223,569
Appropriation	\$8,400,724	\$2,774,734	\$2,559,648	(\$1,082,876)	\$1,476,772	(\$902,362)	\$1,657,286
Positions	962.750	965.750	962.750	-	962.750	-	962.750

Fund description

This fund is established for the purpose of tracking budget and expenditures for the J. Iverson Riddle Center (formerly the Western Carolina Center) in Morganton, N.C. One of the four state operated mental retardation centers, the Riddle Center provides an ICF/MR level of care, as well as programs of outreach, early intervention, advocacy, and community based training. Sources of funds in this fund code are primarily Medicaid ICF/MR and state appropriation.

Services for the fund	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
Developmental Disability Facilities - Provide management (administration, business functions, facility maintenance, etc.), clinical, allied (occupational therapy, physical therapy, etc.), and contracted services to support the primary residential treatment services.	\$24,143,822	377.000
Developmental Disability Facilities - Provide appropriate residential and periodic habilitation services to medically fragile individuals diagnosed with developmental disabilities to reduce readmissions for individuals in state run developmental disability facilities.	\$23,621,202	585.750
Developmental Disability Facilities - Tracks the settlement and payback of Medicaid and Medicare payments.	\$2,181,644	-
Actual Totals	\$49,946,668	962.750

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Persons treated at J. Iverson Riddle Center	359	357	348
Average daily population at J. Iverson Riddle Center	339	333	330

Fund 14460-1390 Program Services - Develop. Disab. - State — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$125,030,273	\$145,850,520	\$145,850,520	\$0	\$145,850,520	\$0	\$145,850,520
Receipts	\$5,061,754	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	\$119,968,519	\$145,850,520	\$145,850,520	\$0	\$145,850,520	\$0	\$145,850,520
Positions	-	-	-	-	-	-	-

Fund description

This is one of two funds (1390 and 1391) established for the purpose of tracking budget expenditures for community based developmental disabilities services, including direct service contracts the division holds for adults and children. A variety of individual budget accounts are established within this fund for the provision of periodic day services and 24-hour services. The principal source of funds in this fund code is state appropriation.

Services for the fund	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
Community Based Program-Developmental Disabilities - Provide reimbursement for appropriate residential, periodic, and crisis services to children through partnerships with private providers and LMEs/Area Authorities.	\$8,808,273	-
Community Based Program-Developmental Disabilities - Provide reimbursement for appropriate residential, periodic, and crisis services to adults through partnerships with private providers and LMEs/Area Authorities.	\$109,713,369	-
Community Based Program-Developmental Disabilities - Provide reimbursement through non-governmental contracts for appropriate residential, periodic, and crisis services to children and adults in a community setting.	\$5,322,407	-
Community Based Program-Developmental Disabilities - Provide reimbursement through LMEs/Area Authorities for client related training to ensure appropriate placement and treatment of children and adults with developmental disabilities.	\$91,373	-
Community Based Program-Developmental Disabilities - Provide reimbursement through LMEs/Area Authorities for appropriate treatment and equipment needs for individuals with traumatic brain injuries so they can remain in the communities of their choice.	\$1,094,851	-
Actual Totals	\$125,030,273	-

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Percentage of respondents reporting that their family member(s) with DD has access to health services	88.9 %	89.7 %	86.1 %
Percentage of respondents reporting that their family member(s) with DD has access to necessary medications	93.2 %	90.4 %	66.0 %
Percentage of respondents reporting that services made a difference in helping to keep their family member with DD at home	83.4 %	84.7 %	66.0 %
Percentage of respondents reporting that their family member(s) with DD has access to community activities	43.7 %	44.4 %	36.5 %

Fund 14460-1391 Program Services - Develop. Disab. - Federal — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$9,386,694	\$9,783,954	\$9,783,954	\$0	\$9,783,954	\$0	\$9,783,954
Receipts	\$7,040,022	\$7,337,967	\$7,337,967	\$0	\$7,337,967	\$0	\$7,337,967
Appropriation	\$2,346,672	\$2,445,987	\$2,445,987	\$0	\$2,445,987	\$0	\$2,445,987
Positions	-	-	-	-	-	-	-

Fund description

This is one of two funds (1390 and 1391) established for the purpose of tracking budget expenditures for community based developmental disabilities services, including direct service contracts the division holds for adults and children. A variety of

individual budget accounts are established within this fund for the provision of periodic day services and 24-hour services. The principal source of funds in this fund code is federal funds.

Services for the fund	<u>Actual Requirements</u> <u>2005-06</u>	<u>Actual FTEs</u> <u>2005-06</u>
Community Based Program-Developmental Disabilities - Provide reimbursement for appropriate residential, periodic, and crisis services to children through partnerships with private providers and LMEs/Area Authorities.	\$1,517,051	-
Community Based Program-Developmental Disabilities - Provide reimbursement for appropriate residential, periodic, and crisis services to adults through partnerships with private providers and LMEs/Area Authorities.	\$7,869,643	-
Actual Totals	\$9,386,694	-

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Percentage of respondents reporting that their family member(s) with DD has access to health services ¹	88.9 %	89.7 %	86.1 %
Percentage of respondents reporting that their family member(s) with DD has access to necessary medications	93.2 %	90.4 %	66.0 %
Percentage of respondents reporting that services made a difference in helping to keep their family member with DD at home	83.4 %	84.7 %	66.0 %
Percentage of respondents reporting that their family member(s) with DD has access to community activities	43.7 %	44.4 %	36.5 %

¹Federal and State funds have identical measures for community based programs

Fund 14460-1420 Julian F. Keith ADATC - State Funds — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$8,780,794	\$8,312,925	\$9,037,892	\$4,189,230	\$13,227,122	\$5,253,494	\$14,291,386
Receipts	\$1,051,793	\$2,986,029	\$2,986,029	(\$1,984,828)	\$1,001,201	(\$1,984,828)	\$1,001,201
Appropriation	\$7,729,001	\$5,326,896	\$6,051,863	\$6,174,058	\$12,225,921	\$7,238,322	\$13,290,185
Positions	134.320	115.320	134.320	66.000	200.320	66.000	200.320

Fund description

This is one of two funds (1420 and 1421) established for the purpose of tracking budget and expenditures for the Julian F. Keith Alcohol and Drug Abuse Treatment Center (JFK-ADATC) in Black Mountain, N.C. One of the three state operated ADATCs, it is specially designed to treat persons with addictions and/or co-occurring disorders, using six major components: 1) motivational interviewing, 2) person centered planning, 3) comprehensive assessment, 4) reassessment 5) brief solution focused strategic therapy, and 6) group therapy. JFK-ADATC provides detoxification services, substance abuse education, and rehabilitation therapy, as well as social work, family, nursing, psychological, and psychiatric services. Source of funds in this fund code is primarily state appropriation.

Services for the fund	<u>Actual Requirements</u> <u>2005-06</u>	<u>Actual FTEs</u> <u>2005-06</u>
Substance Abuse Facilities - Provide for the administration (positions, office supplies, communications, etc.) and maintenance to support the operations of JFK-ADATC. This also includes ancillary services (dental, occupational therapy, speech therapy, and physical therapy) and pharmacological expenses that support the primary residential treatment services.	\$5,153,930	18.000
Substance Abuse Facilities - Provide medically monitored detoxification, crisis stabilization, and short term treatment to prepare adults with substance abuse problems for ongoing community based recovery services.	\$3,626,864	116.320
Actual Totals	\$8,780,794	134.320

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Admissions to JFK-ADATC	1,237	1,257	1,481
Percentage of clients readmitted within one year	4.0 %	4.0 %	4.0 %
Average daily population at JFK-ADATC	69	60	57
Percentage of successful treatment completions at JFK-ADATC	90.0 %	90.0 %	89.0 %

Fund 14460-1421 Julian F. Keith ADATC - Federal/Other Funds — Base Budget

	<u>2005-06 Actual</u>	<u>2006-07 Certified</u>	<u>2006-07 Authorized</u>	<u>2007-08 Adjustments</u>	<u>2007-08 Total</u>	<u>2008-09 Adjustments</u>	<u>2008-09 Total</u>
Requirements	\$486,482	\$524,097	\$524,097	\$0	\$524,097	\$0	\$524,097
Receipts	\$486,481	\$524,097	\$524,097	\$0	\$524,097	\$0	\$524,097
Appropriation	\$1	\$0	\$0	\$0	\$0	\$0	\$0
Positions	12.000	12.000	12.000	-	12.000	-	12.000

Fund description

This is one of two funds (1420 and 1421) established for the purpose of tracking budget and expenditures for the Julian F. Keith Alcohol and Drug Abuse Treatment Center (JFK-ADATC) in Black Mountain, N.C. One of the three state operated ADATCs, it is specially designed to treat persons with addictions and/or co-occurring disorders, using six major components: 1) motivational interviewing, 2) person centered planning, 3) comprehensive assessment, 4) reassessment, 5) brief solution focused strategic therapy, and 6) group therapy. JFK-ADATC provides detoxification services, substance abuse education, and rehabilitation therapy, as well as social work, family, nursing, psychological, and psychiatric services. Source of funds in this fund code is the Substance Abuse Prevention and Treatment Block Grant.

Services for the fund	<u>Actual Requirements</u> <u>2005-06</u>	<u>Actual FTEs</u> <u>2005-06</u>
Substance Abuse Facilities - Provide medically monitored detoxification, crisis stabilization, and short term treatment to prepare adults with substance abuse problems for ongoing community based recovery services.	\$464,187	12.000
Substance Abuse Facilities - Provide for staff training related to the direct care of clients at JFK ADATC.	\$22,295	-
Actual Totals	\$486,482	12.000

Measures for the fund	2003-04	2004-05	2005-06
Admissions to JFK-ADATC ¹	1,237	1,259	1,481
Percent of clients readmitted within one year	4.0 %	4.0 %	4.0 %
Average daily population of JFK-ADATC	69	60	57
Percentage of successful treatment completions at JFK-ADATC	90.0 %	90.0 %	89.0 %

¹Federal and State sources of funds have identical measures for Julian F. Keith

Fund 14460-1440 Walter B. Jones ADATC - State — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$6,689,742	\$9,403,334	\$9,478,281	\$1,888,575	\$11,366,856	\$1,885,447	\$11,363,728
Receipts	\$1,139,925	\$873,500	\$873,500	\$299,334	\$1,172,834	\$299,334	\$1,172,834
Appropriation	\$5,549,817	\$8,529,834	\$8,604,781	\$1,589,241	\$10,194,022	\$1,586,113	\$10,190,894
Positions	106.050	167.050	168.050	-	168.050	-	168.050

Fund description

This is one of two funds (1440 and 1441) established for the purpose of tracking budget and expenditures for the Walter B. Jones Alcohol and Drug Abuse Treatment Center (WBJ-ADATC) in Greenville, N.C. One of the three state operated ADATCs, it is specially designed to treat persons with addictions and/or co-occurring disorders, using six major components: 1) motivational interviewing, 2) person centered planning, 3) comprehensive assessment, 4) reassessment, 5) brief solution focused strategic therapy, and 6) group therapy. WBJ-ADATC provides substance abuse education and rehabilitation therapy, as well as social work, family, nursing, psychological, and psychiatric services. WBJ-ADATC also provides a program for pregnant and postpartum women and their infants and has an on-site maternal unit. Source of funds in this fund code is primarily state appropriation.

Services for the fund

	Actual Requirements 2005-06	Actual FTEs 2005-06
Substance Abuse Facilities - Provide for the administration (positions, office supplies, communications, etc.) and maintenance to support the operations of WBJ ADATC. This also includes ancillary services (dental, occupational therapy, speech therapy, and physical therapy) and pharmacological expenses that support the primary residential treatment services.	\$2,784,832	32.100
Substance Abuse Facilities - Provide medically monitored detoxification, crisis stabilization, and short term treatment to prepare adults with substance abuse problems for ongoing community based recovery services.	\$3,904,910	73.950
Actual Totals	\$6,689,742	106.050

Measures for the fund	2003-04	2004-05	2005-06
Admissions to WBJ-ADATC	921	1,050	1,020
Percentage of clients readmitted within one year	4.0 %	5.0 %	3.0 %
Average daily population at WBJ-ADATC	50	49	48
Percentage of successful treatment completions at WBJ-ADATC	74.0 %	71.0 %	84.0 %

Fund 14460-1441 Walter B. Jones ADATC - Federal — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$387,140	\$395,864	\$395,864	\$0	\$395,864	\$0	\$395,864
Receipts	\$387,142	\$395,864	\$395,864	\$0	\$395,864	\$0	\$395,864
Appropriation	(\$2)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	6.650	6.650	6.650	-	6.650	-	6.650

Fund description

This is one of two funds (1440 and 1411) is established for the purpose of tracking budget and expenditures for the Walter B. Jones Alcohol and Drug Abuse Treatment Center (WBJ-ADATC) in Greenville, N.C. One of the three state operated ADATCs, it is specially designed to treat persons with addictions and/or co-occurring disorders, using six major components: 1) motivational interviewing, 2) person centered planning, 3) comprehensive assessment, 4) reassessment, 5) brief solution focused strategic therapy, and 6) group therapy. WBJ-ADATC provides substance abuse education and rehabilitation therapy, as well as social work, family, nursing, psychological, and psychiatric services. WBJ-ADATC also provides a program for pregnant and postpartum women and their infants and has an on-site maternal unit. Source of funds in this fund code is primarily the Substance Abuse Prevention and Treatment Block Grant.

Services for the fund	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
Substance Abuse Facilities - Provide medically monitored detoxification, crisis stabilization, and short term treatment to prepare adults with substance abuse problems for ongoing community based recovery services.	\$367,596	6.650
Substance Abuse Facilities - Provide for staff training related to the direct care of clients at WBJ-ADATC.	\$19,544	-
Actual Totals	\$387,140	6.650

Measures for the fund

	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Admissions to WBJ-ADATC ¹	921	1,050	1,020
Percentage of clients readmitted within one year	4.0 %	5.0 %	3.0 %
Average daily population at WBJ-ADATC	50	49	48
Percentage of successful treatment completions at WBJ-ADATC	74.0 %	71.0 %	84.0 %

¹Federal and State sources of funds have identical measures for Walter B. Jones

Fund 14460-1490 Program Services - Substance Abuse - State — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$23,611,400	\$37,550,715	\$37,425,715	\$0	\$37,425,715	\$0	\$37,425,715
Receipts	\$1,218,627	\$712,638	\$712,638	\$0	\$712,638	\$0	\$712,638
Appropriation	\$22,392,773	\$36,838,077	\$36,713,077	\$0	\$36,713,077	\$0	\$36,713,077
Positions	-	-	-	-	-	-	-

Fund description

This is one of two funds (1490 and 1491) established for the purpose of tracking budget expenditures for community based substance abuse services, including direct service contracts the division holds for adults and children. A variety of individual budget accounts are established within this fund to budget and track the provision of periodic day services and 24-hour services. The principal source of funds in this fund code is state appropriation.

Services for the fund	Actual Requirements 2005-06	Actual FTEs 2005-06
Community Based Program-Substance Abuse - Provide reimbursement for appropriate inpatient and outpatient services to treat children with substance abuse crises in a community setting via partnerships with private providers and LMEs/Area Authorities and reduce the number of individuals in crisis by implementing statewide prevention services.	\$4,256,898	-
Community Based Program-Substance Abuse - Deliver appropriate inpatient and outpatient services to treat adults with substance abuse crises in a community setting via partnerships with private providers and LMEs/Area Authorities and reduce the number of individuals in crisis by implementing statewide prevention services.	\$19,206,765	-
Community Based Program-Substance Abuse - Provide reimbursement through non-governmental contracts for appropriate residential, periodic, and detoxification services to children and adults in a community setting.	\$147,737	-
Actual Totals	\$23,611,400	-

Measures for the fund	2003-04	2004-05	2005-06
Percentage of substance abuse treatment clients abstinent from alcohol at discharge from treatment	91.0 %	89.0 %	76.0 %
Percentage of clients maintaining abstinence from injection drug use for at least 90 days after treatment	63.0 %	68.0 %	85.0 %
Percentage of clients participating in social support activities such as Alcoholics Anonymous or Narcotics Anonymous	-	65.0 %	60.0 %

Fund 14460-1491 Program Services - Substance Abuse - Federal — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$38,485,907	\$44,723,837	\$44,723,837	\$0	\$44,723,837	\$0	\$44,723,837
Receipts	\$38,645,941	\$44,694,482	\$44,694,482	\$0	\$44,694,482	\$0	\$44,694,482
Appropriation	(\$160,034)	\$29,355	\$29,355	\$0	\$29,355	\$0	\$29,355
Positions	-	-	-	-	-	-	-

Fund description

This is one of two funds (1490 and 1491) established for the purpose of tracking budget expenditures for community based substance abuse services, including direct service contracts the division holds for adults and children. A variety of individual budget accounts are established within this fund to budget and track the provision of periodic day services and 24-hour services, as well as specialized initiatives, such as HIV/AIDS and special services to women, including those who are pregnant or have minor children, etc. The principal source of funds in this fund code is federal funds.

Services for the fund	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
Community Based Program-Substance Abuse - Provide reimbursement for appropriate inpatient and outpatient services to treat children with substance abuse crises in a community setting via partnerships with private providers and LMEs/Area Authorities and reduce the number of individuals in crisis by implementing statewide prevention services.	\$13,825,642	-
Community Based Program-Substance Abuse - Provide reimbursement for appropriate inpatient and outpatient services to treat adults with substance abuse crises in a community setting via partnerships with private providers and LMEs/Area Authorities and reduce the number of individuals in crisis by implementing statewide prevention services.	\$24,624,768	-
Community Based Program-Substance Abuse - Provide reimbursement through LMEs/Area Authorities for training to ensure appropriate placement and treatment of children and adults with substance abuse problems.	\$35,497	-
Actual Totals	\$38,485,907	-

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Percentage of substance abuse treatment clients abstinent from alcohol at discharge from treatment ¹	91.0 %	89.0 %	76.0 %
Percentage of clients maintaining abstinence from injection drug use for at least 90 days after treatment	63.0 %	68.0 %	85.0 %
Percentage of clients participating in social support activities such as AA or NA	-	65.0 %	60.0 %

¹Federal and State funds have identical measures for community based programs

Fund 14460-1510 Western Regional Maintenance — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$5,951,772	\$6,042,664	\$6,042,664	\$138,711	\$6,181,375	(\$107,448)	\$5,935,216
Receipts	\$1,333,412	\$1,953,028	\$1,953,028	(\$321,890)	\$1,631,138	(\$330,890)	\$1,622,138
Appropriation	\$4,618,360	\$4,089,636	\$4,089,636	\$460,601	\$4,550,237	\$223,442	\$4,313,078
Positions	110.000	110.000	110.000	-	110.000	-	110.000

Fund description

This fund is established to consolidate the maintenance activities for Broughton Hospital, J. Iverson Riddle Center (formerly the Western Carolina Center), and Western School for the Deaf, all located in Morganton, N.C.

Services for the fund	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
Administration - Provide physical plant operations and maintenance activities for Broughton Hospital, J. Iverson Riddle Developmental Center, and Western School for the Deaf.	\$5,691,821	110.000
Administration - Provide for the distribution of motor fuels for Broughton Hospital, J. Iverson Riddle Developmental Center, and Western School for the Deaf.	\$259,951	-
Actual Totals	\$5,951,772	110.000

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Work orders completed	18,339	28,479	29,863
Percentage of requested work orders that were completed	99.9 %	99.7 %	97.2 %

Fund 14460-1520 Central Regional Maintenance — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$7,458,611	\$7,519,166	\$7,523,039	\$624,051	\$8,147,090	\$570,410	\$8,093,449
Receipts	\$970,000	\$714,578	\$714,578	(\$7,991)	\$706,587	(\$8,991)	\$705,587
Appropriation	\$6,488,611	\$6,804,588	\$6,808,461	\$632,042	\$7,440,503	\$579,401	\$7,387,862
Positions	98.000	98.000	98.000	-	98.000	-	98.000

Fund description

This fund was established to continue providing maintenance for the Department of Health and Human Services' facilities located on the Dorothea Dix Hospital campus.

Services for the fund	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
Administration - Provide administration and skilled trade (plumbing, carpentry, etc.) functions for administrative facilities located on the Dorothea Dix Hospital campus.	\$5,541,930	61.000
Administration - Provide steam plant functions (heating and hot water) for administrative facilities located on the Dorothea Dix Hospital campus.	\$1,090,660	11.000
Administration - Provide housekeeping services for administrative facilities located on the Dorothea Dix Hospital campus.	\$826,021	26.000
Actual Totals	\$7,458,611	98.000

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Work orders completed ¹	-	-	11,595
Percentage of requested work orders that were completed	-	-	97.5 %

¹No data available for 2004 and 2005 as maintenance tracking system was not operational

Fund 14460-1590 General Program Services — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$197,929,089	\$143,846,398	\$143,971,398	(\$5,250,000)	\$138,721,398	(\$5,250,000)	\$138,721,398
Receipts	\$83,596,202	\$42,201,378	\$42,201,378	\$0	\$42,201,378	\$0	\$42,201,378
Appropriation	\$114,332,887	\$101,645,020	\$101,770,020	(\$5,250,000)	\$96,520,020	(\$5,250,000)	\$96,520,020
Positions	-	-	-	-	-	-	-

Fund description

General Program Services is used to track budget and expenditures for the administrative budgets of LMEs and total community services funding for the single stream funding pilots.

Services for the fund	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
Single Stream Funding for community-based MH/DD/SA activities at Smoky Mountain Center and Piedmont Behavioral Healthcare. Please refer to service statements for MH, DD, and SA community programs for details.	\$37,050,450	-
LME System Management- Provide funds to LMEs for supervision, management, and oversight of service delivery to individuals with mental health, developmental disabilities, and substance abuse issues on a local level.	\$154,774,307	-
Contracts, Prior Year Payments, and LME transition costs.	\$6,104,332	-
Actual Totals	\$197,929,089	-

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Percentage of LMEs meeting clinical performance measures as identified in the performance agreement	-	65.1 %	68.9 %
Percentage of LMEs meeting systems management performance measures as identified in the performance agreement	-	83.2 %	75.7 %
Percentage of LMEs meeting administration performance measures as identified in the performance agreement	-	80.8 %	75.8 %

Fund 14460-1810 Revenue Clearing Account — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	(\$2,756,856)	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The Revenue Clearing Fund records accounting transactions that detail by funding source the revenue due from federal and other funding agencies as a result of expenditures that earned those dollars in the operating funds of the agency. Actual receipts received from funding agencies are also recorded in this fund. The Revenue Clearing Fund balance indicates the current status of the agency's accounts receivable for the funding sources reflected in the Clearing Account at any point in the fiscal year.

Fund 14460-1910 Reserves and Transfers — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$3,782,471	\$1,205,000	\$1,205,000	\$9,205,527	\$10,410,527	\$7,398,361	\$8,603,361
Receipts	\$1,609,835	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	\$2,172,636	\$1,205,000	\$1,205,000	\$9,205,527	\$10,410,527	\$7,398,361	\$8,603,361
Positions	-	-	-	4.000	4.000	4.000	4.000

Fund description

This fund is used to record budgetary reserves and transfers to other state agencies and an information and technology reserve for operations and clinical supports for the new Central Region Hospital and other DMHDDSAS facilities.

Fund 14460-1991 Reserve for Indirect Cost — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	\$793,488	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The purpose of this fund is to account for funds earned for indirect cost from federal funding sources. The rate or amount of indirect cost that may be earned is negotiated each year with the federal Department of Health and Human Services, Division of Cost Allocation based upon prior year statewide and department overhead costs.

Fund 14460-1992 Prior Year Earned Revenue — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$595,335	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	\$1,171,818	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	(\$576,483)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This fund is used to record revenue received in the current fiscal year, primarily from federal funding sources which was earned in a prior fiscal year.

Fund 14460-1993 Prior Year Refunds and Carry Forward — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$2,762,316	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	\$4,063,969	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	(\$1,301,653)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

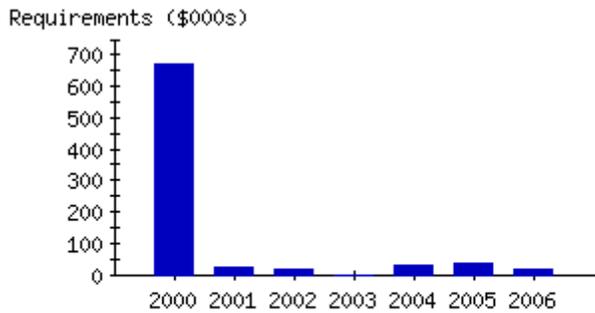
This fund is used to record adjustments to expenditures or receipts for activities or transactions that occurred in a prior fiscal year. Adjustments tracked in this fund include paybacks from subgrantees as a result of audits of prior year operations.

Base Budget and Results-Based Information

Budget Code 24460 DHHS - DMH/DD/SAS - Special

**Actual Expenditures
by Fiscal Year**

**Actual Positions
by Fiscal Year**



2000 - Expenditures reflect legislatively authorized area program capital project.

Positions: 2000 - 0.5, 2002 - 0.5, 2004 - 0.5, 2006 - 1.5.

Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$18,174	\$117,248	\$117,248	\$0	\$117,248	\$0	\$117,248
Receipts	\$16,501	\$117,248	\$117,248	\$0	\$117,248	\$0	\$117,248
Chng Fund Bal	(\$1,673)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	1.490	1.490	1.490	-	1.490	-	1.490

Budget Code 24460 DHHS - DMH/DD/SAS - Special

Fund 24460-2280 Wright School - Special Fund — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$13,626	\$14,457	\$14,457	\$0	\$14,457	\$0	\$14,457
Receipts	\$14,227	\$14,457	\$14,457	\$0	\$14,457	\$0	\$14,457
Chng Fund Bal	\$601	\$0	\$0	\$0	\$0	\$0	\$0
Positions	.490	.490	.490	-	.490	-	.490

Fund description

This is one of two funds used to budget and track expenditures for the state operated Wright School Residential Program for Children. Specifically, this fund was established for the purpose of tracking budget and expenditures for educational grants from the N.C. Department of Public Instruction (DPI).

Services for the fund

Mental Health Facilities - Provide appropriate residential and periodic services, as well as educational instruction to medically fragile children diagnosed with mental health disorders.

Mental Health Facilities - Provide for the administration and maintenance to support the operations of Wright School.

Actual Totals

<u>Actual Requirements</u> <u>2005-06</u>	<u>Actual FTEs</u> <u>2005-06</u>
\$1,765	-
\$11,861	.490
\$13,626	.490

Measures for the fund

	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Number of persons served at Wright school	99	105	69
Average daily population at Wright School	22	11	24

Fund 24460-2290 Mental Health Facility Funds — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$2,274	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Chng Fund Bal	(\$2,274)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This fund tracks budget and expenditures of monies authorized for area program capital projects.

Services for the fund	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
Funds transferred on a one-time basis to support the start-up of gambling prevention initiative.	\$2,274	-
Actual Totals	\$2,274	-

Fund 24460-2295 Gambling Prevention — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$2,274	\$102,791	\$102,791	\$0	\$102,791	\$0	\$102,791
Receipts	\$2,274	\$102,791	\$102,791	\$0	\$102,791	\$0	\$102,791
Chng Fund Bal	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Positions	1.000	1.000	1.000	-	1.000	-	1.000

Fund description

The Gambling Prevention Program was funded to provide leadership, direction, guidance in the promotion, and delivery of problem gambling outreach, prevention, and treatment services.

Services for the fund	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
Administration - Provide operational support for gambling prevention helpline. (Current costs reflect only a partial year of helpline operation from February - June 2006.)	\$2,274	-
Administration - Provide administration and support functions to monitor crisis helpline as well as coordinate public service announcements for problem gambling helpline. Funds were not expended in SFY 2006 as position had not been filled as June 2006.	\$0	1.000
Actual Totals	\$2,274	1.000

Measures for the fund

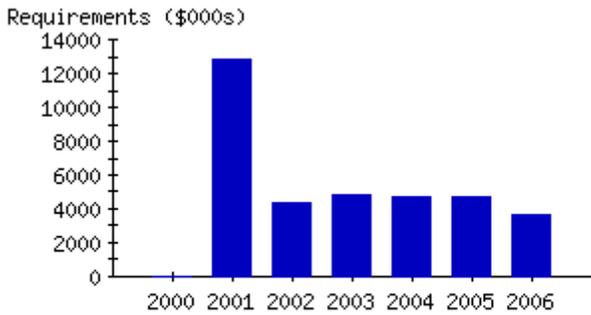
	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Phone calls to problem gambling helpline ¹	0	0	10,915

¹Helpline was operational in late February 2006

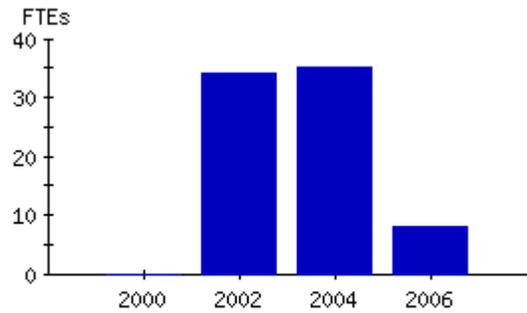
Base Budget and Results-Based Information

Budget Code 54465 DHHS - Town of Butner

**Actual Expenditures
by Fiscal Year**



**Actual Positions
by Fiscal Year**



FY2001 includes bond-funded water and sewer projects for the Town of Butner. Water and sewer operations and positions transferred to South Granville Water and Sewer Authority in 2005.

Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$3,569,635	\$1,975,541	\$1,984,618	\$0	\$1,984,618	\$0	\$1,984,618
Receipts	\$3,877,852	\$1,975,541	\$1,984,618	\$0	\$1,984,618	\$0	\$1,984,618
Chng Fund Bal	\$308,217	\$0	\$0	\$0	\$0	\$0	\$0
Positions	8.000	8.000	8.000	-	8.000	-	8.000

Budget Code 54465 DHHS - Town of Butner

Fund 54465-5100 Town of Butner Bonds — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$727,368	\$742,959	\$742,959	\$0	\$742,959	\$0	\$742,959
Receipts	\$731,164	\$742,959	\$742,959	\$0	\$742,959	\$0	\$742,959
Chng Fund Bal	\$3,796	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The purpose of this fund code is to budget and track expenditures for the Town of Butner bonds. Expenditures relate to bond payments, capital projects, and miscellaneous operating costs.

Services for the fund	<u>Actual Requirements</u> 2005-06	<u>Actual FTEs</u> 2005-06
Administration - Provide administration for the service costs associated with sale of bonds for water and sewer systems for the Town of Butner. (Bonds obligation was taken over by South Granville Water and Sewer Authority in December 2006.)	\$91,174	-
Administration - Provide payment of bond interest associated with sale of bonds for water and sewer systems for the Town of Butner. (Bonds obligation was taken over by South Granville Water and Sewer Authority in December 2006.)	\$636,194	-
Actual Totals	\$727,368	-

Fund 54465-5300 Butner Advisory Committee — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$42,393	\$38,200	\$38,200	\$0	\$38,200	\$0	\$38,200
Receipts	\$53,459	\$38,200	\$38,200	\$0	\$38,200	\$0	\$38,200
Chng Fund Bal	\$11,066	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The purpose of this fund code is to budget and track expenditures for the Town of Butner Advisory Committee (BAC).

Services for the fund	<u>Actual Requirements</u> 2005-06	<u>Actual FTEs</u> 2005-06
Administration - Reimburse Advisory Committee for travel and subsistence for committee activities.	\$1,845	-
Administration - Support Advisory Committee costs of operation (office supplies, meeting space, printing, etc.).	\$40,548	-
Actual Totals	\$42,393	-

Fund 54465-5400 Water Plant Operations — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$921,943	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	\$1,136,941	\$0	\$0	\$0	\$0	\$0	\$0
Chng Fund Bal	\$214,998	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The purpose of this fund code is to budget and track expenditures related to the provision of water to all private residences, commercial facilities, and federal and state facilities in the Butner area.

Services for the fund	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
Administration - Provide Town of Butner with potable water supplies for residential use. (Fund will not be used in FY 2007-08 and will be part of Town of Butner operations fund.)	\$632,895	-
Administration - Provide transfer of customer water bill payments for Town of Butner operations.	\$289,048	-
Actual Totals	\$921,943	-

Fund 54465-5500 Waste Water Operations — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$1,173,340	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	\$1,100,805	\$0	\$0	\$0	\$0	\$0	\$0
Chng Fund Bal	(\$72,535)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The purpose of this fund code is to budget and track expenditures related to the provision of waste water (sewer) services to all private residences, commercial facilities, federal, and state facilities in the Butner area.

Services for the fund	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
Administration - Provide Town of Butner with wastewater treatment for residential use. (Fund will not be used in FY 2007-08 and will be part of Town of Butner operations fund.)	\$787,999	-
Administration - Provide transfer of customer water bill payments for Town of Butner operations.	\$385,341	-
Actual Totals	\$1,173,340	-

Fund 54465-5600 Town of Butner Operation — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$432,427	\$767,493	\$767,493	\$0	\$767,493	\$0	\$767,493
Receipts	\$583,320	\$767,493	\$767,493	\$0	\$767,493	\$0	\$767,493
Chng Fund Bal	\$150,893	\$0	\$0	\$0	\$0	\$0	\$0
Positions	3.000	3.000	3.000	-	3.000	-	3.000

Fund description

The purpose of this fund code is to budget and track expenditures related to the administrative operation of the Town of Butner. Revenues to support these activities are transferred from receipts received for the Water Plant and Waste Water operations.

Services for the fund	Actual Requirements 2005-06	Actual FTEs 2005-06
Administration - Provides for administrative expense for the operation of Town of Butner government and administrative offices.	\$250,056	3.000
Administration - Transfer of funds from operations fund to other Town of Butner funds.	\$182,371	-
Actual Totals	\$432,427	3.000

Measures for the fund

	2003-04	2004-05	2005-06
Zoning permits issued	60	49	39
Recreation permits issued	53	95	109
Temporary sales permits issued	0	2	2

Fund 54465-5700 Public Works — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$272,164	\$426,889	\$435,966	\$0	\$435,966	\$0	\$435,966
Receipts	\$272,163	\$426,889	\$435,966	\$0	\$435,966	\$0	\$435,966
Chng Fund Bal	(\$1)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	5.000	5.000	5.000	-	5.000	-	5.000

Fund description

The purpose of this fund code is to budget and track expenditures related to the maintenance of roads and grounds for the Town of Butner. Revenues to support these activities are transferred from receipts received for the Water Plant and Waste Water operations.

Services for the fund	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
Administration - Support maintenance of public grounds and support road maintenance and cleaning.	\$204,092	5.000
Administration - Support equipment expenditures related to maintaining grounds and streets in the Town of Butner.	\$68,072	-
Actual Totals	\$272,164	5.000

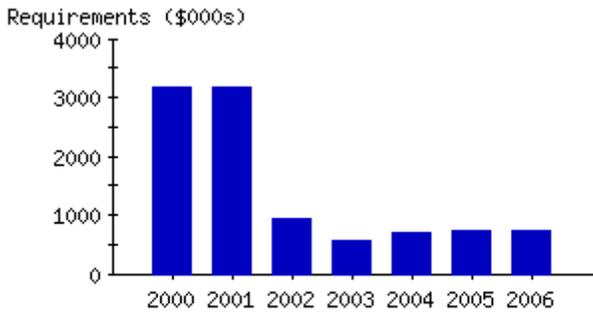
Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Work orders issued for public works ¹	-	-	91

¹Note: Work order system data was not available for FY 2004 and FY 2005 due to ICS Software implementation

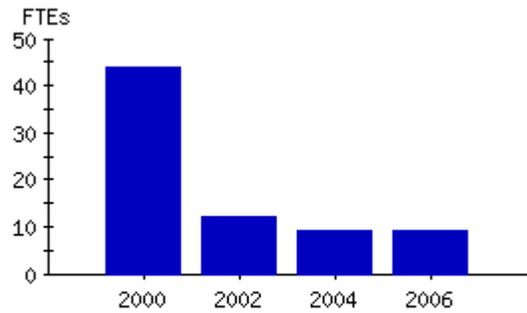
Base Budget and Results-Based Information

Budget Code 74465 DHHS - John Umstead - Butner Area Central Services

**Actual Expenditures
by Fiscal Year**



**Actual Positions
by Fiscal Year**



Drop in expenditures and positions primarily due to Town of Butner operations being established in separate budget code (54465).

Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$718,856	\$749,978	\$749,978	\$0	\$749,978	\$0	\$749,978
Receipts	\$726,829	\$775,695	\$775,695	\$0	\$775,695	\$0	\$775,695
Chng Fund Bal	\$7,973	\$25,717	\$25,717	\$0	\$25,717	\$0	\$25,717
Positions	9.000	9.000	9.000	-	9.000	-	9.000

Budget Code 74465 DHHS - John Umstead - Butner Area Central Services

Fund 74465-7800 Telephone System — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$718,856	\$749,978	\$749,978	\$0	\$749,978	\$0	\$749,978
Receipts	\$726,829	\$775,695	\$775,695	\$0	\$775,695	\$0	\$775,695
Chng Fund Bal	\$7,973	\$25,717	\$25,717	\$0	\$25,717	\$0	\$25,717
Positions	9.000	9.000	9.000	-	9.000	-	9.000

Fund description

The purpose of this fund code is to budget and track expenditures for the provision of telephone service for State operated facilities in Butner, N.C., including the Town of Butner offices.

Services for the fund	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
Administration - Provide timely repairs to telephone system to limit any problems with access to services.	\$690,954	9.000
Administration - Provide timely repairs to computer telecommunications system to limit any problems with access to services.	\$27,902	-
Actual Totals	\$718,856	9.000

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Work orders completed	1,263	1,164	987
Telecommunication repairs completed	807	690	522

Division of Facility Services

Mission

Provide for the health, safety, and well-being of individuals through effective regulatory and remedial activities, including appropriate consultation and training opportunities, and by improving access to health care delivery systems through the rational allocation of needed facilities and services.

Goals

License and regulate health care facilities to ensure the health, safety, and welfare of individuals residing or receiving services. Perform annual inspections, monitoring, and complaint investigations to ensure compliance with regulations.

Help protect the health, safety, and welfare of patients and residents being served by health care facilities and agencies through the approval of Nurse Aide I (NAI) educational programs, assuring that only individuals who pass state competency to provide nursing related services are listed on the NAI Registry, and through the timely investigation of allegations of abuse and neglect against unlicensed healthcare workers and the listing of substantiated allegations.

Facilitate and improve compliance with Licensure, Certification, and Health Care Facility Finance Program (HFA) requirements related to construction and life safety.

Contain increasing health care costs by preventing unnecessary duplication of medical facilities.

Provide individuals, institutions, state and local government agencies, and community leadership with policies and projections of need to guide local planning for specific health care facilities and services.

Provide grants to upgrade the preparedness of North Carolina's health care system to respond to bioterrorism, outbreaks of infectious disease, and other public health threats and emergencies.

Governor's Recommended Adjustments to Base Budget

Division of Facility Services (14470)

Recommended General Fund Budget and Positions

	<u>2007-08</u>	<u>2008-09</u>
Base Budget		
Requirements	\$50,145,462	\$50,178,866
Receipts	<u>\$32,242,418</u>	<u>\$32,259,682</u>
Appropriation	\$17,903,044	\$17,919,184
Adjustments		
Requirements	\$1,550,106	\$2,876,319
Receipts	=	=
Appropriation	\$1,550,106	\$2,876,319
Total		
Requirements	\$51,695,568	\$53,055,185
Receipts	<u>\$32,242,418</u>	<u>\$32,259,682</u>
Recommended Appropriation	<u>\$19,453,150</u>	<u>\$20,795,503</u>
<hr/>		
Positions		
Base Budget Positions	471.000	471.000
Reductions	-	-
Expansion	<u>21.000</u>	<u>29.000</u>
Recommended Positions	<u>492.000</u>	<u>500.000</u>

Appropriation Items -- Recommended Adjustments

Expansion

2007-08

2008-09

1. Increase Staff Capacity to Perform Regulatory Tasks and Investigate Complaints

Funds are recommended to increase staff capacity by four positions to meet the division's statutory obligations of regulating acute health care and confinement facilities and investigating complaints.

Requirements	\$201,428	\$407,715
Requirements - Nonrecurring	\$13,330	-
Receipts	-	-
<hr/>		
Appropriation	\$214,758	\$407,715
Positions	4.000	4.000

2. Increase Certificate of Need Staff to Meet Statutory Responsibilities

The governor recommends funding to expand the Certificate of Need (CON) staff to meet the growing volume of applications, appeals, and determinations related to the development of health care facilities and services. The current level of staffing limits the division's ability to perform adequate on-site inspections and monitoring, causing costly delays for developers and service providers. The fee schedule will be adjusted to generate sufficient non-tax revenue for the General Fund to offset the cost of the program.

Requirements	\$655,592	\$852,687
Requirements - Nonrecurring	\$28,418	-
Receipts	-	-
<hr/>		
Appropriation	\$684,010	\$852,687
Positions	9.000	9.000

3. Increase Staff Capacity for Review of Construction Section

The Construction Section conducts plan reviews for new, expanded, and renovated health care and local confinement facilities. Increasing section staff will allow for a more timely review of plans and reduce construction and financing costs to health care owners and providers. The fee schedule will generate sufficient non-tax revenue for the General Fund to offset the cost of the program.

Requirements	\$616,662	\$1,579,241
Requirements - Nonrecurring	\$34,676	\$36,676
Receipts	-	-
Appropriation	\$651,338	\$1,615,917
Positions	8.000	16.000

Total Recommended Expansion

	<u>2007-08</u>	<u>2008-09</u>
Recurring		
Requirements	\$1,473,682	\$2,839,643
Receipts	-	-
Appropriation	\$1,473,682	\$2,839,643
Positions	21.000	29.000
Nonrecurring		
Requirements	\$76,424	\$36,676
Receipts	-	-
Appropriation	\$76,424	\$36,676
Positions	-	-

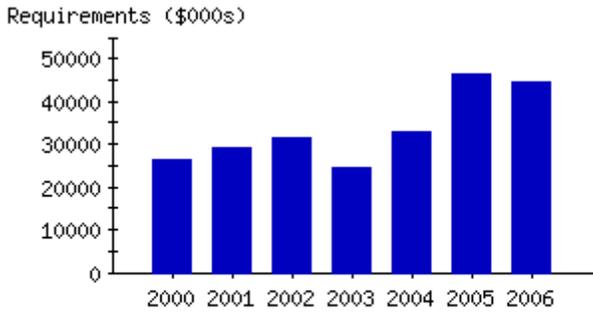
**Total Recommended Adjustments for
Division of Facility Services (14470)
2007-09**

	<u>2007-08</u>	<u>2008-09</u>
Recurring		
Requirements	\$1,473,682	\$2,839,643
Receipts	-	-
	\$1,473,682	\$2,839,643
Appropriation	\$1,473,682	\$2,839,643
Positions	21.000	29.000
Nonrecurring		
Requirements	\$76,424	\$36,676
Receipts	-	-
	\$76,424	\$36,676
Appropriation	\$76,424	\$36,676
Positions	-	-
Total Appropriation Adjustments	\$1,550,106	\$2,876,319
Total Position Adjustments	21.000	29.000

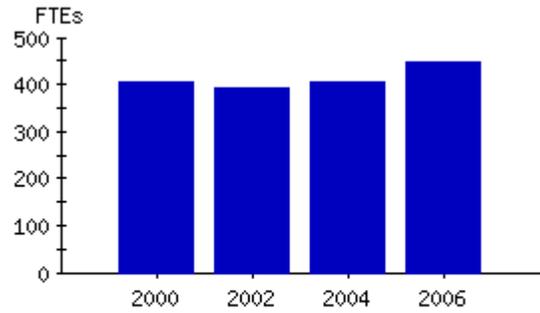
Base Budget and Results-Based Information

Budget Code 14470 DHHS - Division of Facility Services

**Actual Expenditures
by Fiscal Year**



**Actual Positions
by Fiscal Year**



	Base Budget						
	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$44,734,550	\$49,322,027	\$49,356,517	\$788,945	\$50,145,462	\$822,349	\$50,178,866
Receipts	\$33,426,463	\$31,898,149	\$31,997,225	\$245,193	\$32,242,418	\$262,457	\$32,259,682
Appropriation	\$11,308,087	\$17,423,878	\$17,359,292	\$543,752	\$17,903,044	\$559,892	\$17,919,184
Positions	449.000	472.000	471.000	-	471.000	-	471.000

Budget Code 14470 DHHS - Division of Facility Services

Fund 14470-1111 Management and Support — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$2,514,841	\$1,637,607	\$1,650,860	\$19,881	\$1,670,741	\$21,264	\$1,672,124
Receipts	\$1,977,559	\$1,002,436	\$1,007,847	\$11,018	\$1,018,865	\$11,694	\$1,019,541
Appropriation	\$537,282	\$635,171	\$643,013	\$8,863	\$651,876	\$9,570	\$652,583
Positions	19.000	19.000	19.000	-	19.000	-	19.000

Fund description

To achieve the division's outcomes and program objectives, and deploy available resources to satisfactorily serve both internal and external customers. Accomplishing this purpose will involve the following: provide administrative, clerical, and liaison support to the commissions, task forces, and advisory groups that develop policy and perform rule-making for the division; administer the Health Care Facilities Finance Act and issue tax exempt bonds for projects approved by the Medical Care Commission; provide general administrative support in areas such as budgeting, personnel, contracting, purchasing, data processing, and mail receipt and delivery; and ensure all staff are familiar with the division, its mission and objectives by providing orientation to all new employees on a quarterly basis.

Services for the fund

Director's Office - Provides overall management, coordination, planning, and administrative services to all units and personnel with the division. Also provides data management, personnel management, business management, purchasing, fiscal support and mail services division-wide.

<u>Actual</u> <u>Requirements</u> <u>2005-06</u>	<u>Actual</u> <u>FTEs</u> <u>2005-06</u>
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\$2,230,454 16.000

Healthcare Facility Finance Act - Provide staff support to enable the North Carolina Medical Care Commission to adopt, recommend, or rescind rules for regulation of most healthcare facilities and to issue tax-exempt revenue bonds to finance construction and equipment projects for nonprofit and public hospitals, nursing homes, and continuing care facilities for the elderly.

\$284,387 3.000

Actual Totals

\$2,514,841 19.000

Measures for the fund

Interest savings on debt issued by the North Carolina Medical Care Commission for qualifying healthcare entities to finance healthcare facilities (dollars)

	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
	\$96,000,000	\$101,000,000	\$111,000,000

Fund 14470-1311 Facility and Health Services — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$24,236,415	\$28,982,868	\$29,032,313	\$224,565	\$29,256,878	\$253,519	\$29,285,832
Receipts	\$18,233,601	\$17,654,442	\$17,748,107	\$231,282	\$17,979,389	\$247,660	\$17,995,767
Appropriation	\$6,002,814	\$11,328,426	\$11,284,206	(\$6,717)	\$11,277,489	\$5,859	\$11,290,065
Positions	366.000	401.000	400.000	-	400.000	-	400.000

Fund description

Ensure safe and high quality care, quality of life, and safety of residents and citizens who use health, social and local confinement facilities and related services through regulatory compliance. In carrying out their responsibilities staff will: (1) provide technical assistance to health care facilities to ensure compliance with licensure and certification rules governing health and adult care facilities; (2) conduct reviews/inspections of health and adult care facilities, and issue new and renewal licenses when conditions are in compliance with standards; (3) inspect the health and safety conditions at local confinement facilities at least twice a year; (4) maintain a registry of health care professionals working in health care facilities in the state; (5) survey each certified Medicare/Medicaid facility at least once annually to assure compliance with Medicare/Medicaid regulations; and (6) review each Medicaid recipient in Intermediate Care Facility/Mental Retardation and psychiatric facilities at least once annually to assure that individual is appropriately placed and is receiving care which is sufficient to meet optimal physical/mental/psycho-social needs.

Services for the fund	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
Acute and Home Care Licensure and Certification - License and certify hospitals, home health agencies, hospices, ambulatory surgery, abortion clinics, dialysis facilities, clinical laboratories, cardiac rehabilitation facilities, comprehensive outpatient rehabilitation facilities, rural health clinics, and other health care providers pursuant to applicable state and federal regulations.	\$1,817,729	30.000
Adult Care Licensure and Certification - License and conduct annual surveys of approximately 1,300 adult care homes to ensure residents' care and safety are being provided. Train and provide oversight of the county departments of social services' monitoring and investigation of complaints in adult care homes.	\$3,938,418	48.000
Mental Health Licensure and Certification - Conduct routine and compliant surveys to assess compliance with applicable state and federal rules and regulations for providers of Mental Health/Developmental Disabilities/Substance Abuse services to result in correction of deficiencies and ensure that facilities meet the minimum required standards.	\$4,847,279	70.000
Nursing Home Licensure and Certification - License and certify 436 nursing facilities for Medicare and Medicaid participation through initial, annual, and complaint-driven surveys and maintain resident assessment data, staff and provider training, and facility records.	\$7,573,869	125.000
Complaint Intake Unit - Assure the health, safety, and welfare of health care recipients of health care facilities and agencies throughout North Carolina through timely and consistent receipt and processing of all complaints within the jurisdiction of the division.	\$545,343	9.000
Medical Facilities Construction - Review plans and specifications, make on-site inspections, and provides consultation to ensure compliance with federal and state regulations and a safe and proper environment for patients, residents, and inmates in those facilities wishing to be licensed, certified, or receive financial assistance.	\$3,090,139	44.000
Health Care Personnel Registry - Help to assure client and resident safety through the maintenance of a Nurse Aide I Registry of all individuals who meet training and competency requirements and a Health Care Personnel Registry of unlicensed assistive personnel and unlicensed health care personnel.	\$2,181,274	36.000
Jails and Detention - Ensure compliance with North Carolina statutes and administrative rules through semiannual inspections of all county, municipal, and regional jails throughout North Carolina and provides technical assistance to local governments and reviews plans for all major renovation and new jail construction projects.	\$242,364	4.000
Actual Totals	\$24,236,415	366.000

Measures for the fund

	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Mental Health, Adult, Nursing Home, Acute Care and Home Care Facilities - Complaint investigations, follow up, and full surveys	1,084	664	3,317

Construction - Inspections of regulated projects and facilities for physical plant and life safety compliance for new construction, renovations, and existing facilities	3,614	3,916	3,427
Health Care Personnel Registry - Health care personnel status verifications provided	519,844	615,000	655,144

Fund 14470-1511 Emergency Medical Services — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$16,295,416	\$16,982,883	\$16,968,348	\$527,464	\$17,495,812	\$528,636	\$17,496,984
Receipts	\$12,772,740	\$13,218,813	\$13,218,813	\$2,893	\$13,221,706	\$3,103	\$13,221,916
Appropriation	\$3,522,676	\$3,764,070	\$3,749,535	\$524,571	\$4,274,106	\$525,533	\$4,275,068
Positions	46.000	35.000	35.000	-	35.000	-	35.000

Fund description

Ensure that all citizens have access to quality pre-hospital emergency medical care by providing technical assistance, services, and regulatory oversight to all local Emergency Medical Service (EMS) systems in North Carolina.

Services for the fund

	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
EMS - Perform inspections on ambulances and advanced life-support vehicles to ensure that minimum staffing and equipment requirements are met. Certify local EMS staff to ensure competent patient care is maintained. Provide technical and financial assistance to hospitals, regional EMS councils, EMS communications systems, and local ambulance providers.	\$4,305,538	36.000
Bioterrorism Preparedness - Provide funding and guidance to hospitals, EMS systems, and other healthcare facilities to develop North Carolina's healthcare system capabilities to respond to an emergency that involves mass casualties. Maintain an inventory of healthcare system resources available to respond to mass emergency.	\$11,989,878	10.000
Actual Totals	\$16,295,416	46.000

Measures for the fund

	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
EMS - Personnel initially credentialed and re-credentialed to provide emergency medical care in North Carolina	8,595	8,999	8,380
Bioterrorism Preparedness Program - Hospitals with expanded emergency services capacity including beds, isolation, personal protection, and decontamination	-	-	94

Fund 14470-1711 Plan and Develop Health Care — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$1,503,597	\$1,718,669	\$1,704,996	\$17,035	\$1,722,031	\$18,930	\$1,723,926
Receipts	\$13,552	\$22,458	\$22,458	\$0	\$22,458	\$0	\$22,458
Appropriation	\$1,490,045	\$1,696,211	\$1,682,538	\$17,035	\$1,699,573	\$18,930	\$1,701,468
Positions	18.000	17.000	17.000	-	17.000	-	17.000

Fund description

To ensure both economic and geographic access to high quality and cost effective health care services, to enhance the physical quality of health care facilities, and to assure their efficient use, the respective sections will propose, for public review and State Health Coordinating Council approval (1) the locations and scope of needed health service/facility additions, as published in annual revisions of the State Medical Facilities Plan; (2) review CON applications for new institutional health services and issue decisions in a timely manner; (3) defend CON decisions that are appealed to Office of Administrative Hearings; and (4) monitor the development of projects to ensure compliance with conditions of approval.

Services for the fund	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
Certificate of Need - Review proposals for and make determinations regarding development of new or expanded healthcare services and facilities to ensure adequate access to the healthcare services as determined by the State Medical Facilities Plan. Monitor the development of the project through review of progress reports submitted by the applicant.	\$1,169,461	14.000
Medical Facilities Planning Program - Provide staff support to the State Health Coordinating Council including the development of policies and methodologies used in determining the need for new healthcare facilities and services in North Carolina. Collect and analyze data for the publication of the annual State Medical Facilities Plan which provides background information and data on unmet need, including annual "need determinations" used for CON review.	\$334,136	4.000
Actual Totals	\$1,503,597	18.000

Measures for the fund

	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Applications received (Certificate of Need)	219	207	281
Need determinations requested for Certificate of Need regulated health services	24	24	24

Fund 14470-1810 Revenue Clearing Fund — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	(\$469,283)	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The Revenue Clearing Fund records accounting transactions that detail by funding source the revenue due from federal and other funding agencies as a result of expenditures that earned those dollars in the operating funds of the agency. Actual receipts received from funding agencies are also recorded in this fund. The Revenue Clearing Fund balance indicates the current status of the agency's accounts receivable for the funding sources reflected in the Clearing Account at any point in the fiscal year.

Fund 14470-1991 Indirect Reserve — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	(\$1)	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	\$309,361	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	(\$309,362)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The purpose of this fund is to account for funds earned for indirect costs from federal funding sources. The rate or amount of indirect cost that may be earned is negotiated each year with the federal Department of Health and Human Services, Division of Cost Allocation based upon prior year statewide and departmental overhead costs.

Fund 14470-1992 Prior Year Earned Revenue — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$276,230	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	\$551,839	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	(\$275,609)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This fund is used to record revenue received in the current fiscal year, primarily from federal funding sources, which was earned in a prior fiscal year.

Fund 14470-1993 Prior Year Unearned Revenue — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	(\$91,948)	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	\$37,094	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	(\$129,042)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This fund is used to record adjustments to expenditures or receipts for activities or transactions that occurred in a prior fiscal year. Adjustments tracked in this fund include paybacks from grant recipients as a result of audits of prior year operations.

Division of Vocational Rehabilitation

Mission

To promote employment and independence for people with disabilities through customer partnerships and community leadership.

Goals

Facilitate maximum and timely access to rehabilitation services by acting as an advocate for North Carolinians with disabilities and providing information about programs, projects and facilities funded in the Federal Rehabilitation Act.

Provide a safety net for disabled individuals who are unable to work by making medical determinations regarding entitlement to Social Security, Supplemental Security Income and Medical disability benefits.

Maximize disabled individual's independence, productivity, integration, and full inclusion in society by providing services and equipment that enable these individuals to live independently and function in the homes and communities.

Assist eligible individuals with physical, mental, or cognitive disabilities whose disability results in limitations to employment, - to obtain and maintain employment that is in keeping with the individual's strengths, resources, priorities, concerns, abilities, and informed choice.

Governor's Recommended Adjustments to Base Budget

Division of Vocational Rehabilitation (14480)

Recommended General Fund Budget and Positions

	<u>2007-08</u>	<u>2008-09</u>
Base Budget		
Requirements	\$136,960,530	\$138,144,676
Receipts	<u>\$92,586,005</u>	<u>\$93,432,267</u>
Appropriation	\$44,374,525	\$44,712,409
Adjustments		
Requirements	\$1,601,638	\$1,671,142
Receipts	-	-
Appropriation	\$1,601,638	\$1,671,142
Total		
Requirements	\$138,562,168	\$139,815,818
Receipts	<u>\$92,586,005</u>	<u>\$93,432,267</u>
Recommended Appropriation	<u>\$45,976,163</u>	<u>\$46,383,551</u>
<hr/>		
Positions		
Base Budget Positions	1,051.000	1,051.000
Reductions	-	-
Expansion	<u>9.000</u>	<u>9.000</u>
Recommended Positions	<u>1,060.000</u>	<u>1,060.000</u>

Appropriation Items -- Recommended Adjustments

Expansion

	<u>2007-08</u>	<u>2008-09</u>
1. Increase Eligibility for Independent Living Rehab Program to 200%		
<p>The governor recommends that the income eligibility criteria be changed from a fixed tiered amount to 200% of poverty. This program is currently operating at 87% of poverty and has not been modified in 15 years. This change will allow more individuals to qualify for independent living service.</p>		
Appropriation	\$701,500	\$701,500
2. Assistive Technology Waiting List		
<p>The governor recommends funding for nine assistive technology positions to decrease the waiting period for clients to receive services from two-three months to one month.</p>		
Requirements	\$861,138	\$969,642
Requirements - Nonrecurring	\$39,000	-
Receipts	-	-
Appropriation	\$900,138	\$969,642
Positions	9.000	9.000
<hr/>		
Total Recommended Expansion		
	<u>2007-08</u>	<u>2008-09</u>
Recurring		
Requirements	\$1,562,638	\$1,671,142
Receipts	-	-
Appropriation	\$1,562,638	\$1,671,142
Positions	9.000	9.000
Nonrecurring		
Requirements	\$39,000	-
Receipts	-	-
Appropriation	\$39,000	-
Positions	-	-

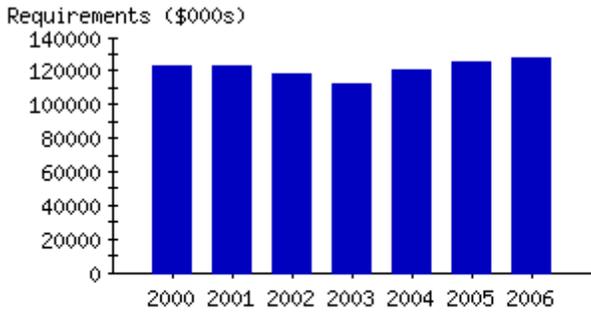
**Total Recommended Adjustments for
Division of Vocational Rehabilitation (14480)
2007-09**

	<u>2007-08</u>	<u>2008-09</u>
Recurring		
Requirements	\$1,562,638	\$1,671,142
Receipts	-	-
	\$1,562,638	\$1,671,142
Appropriation	\$1,562,638	\$1,671,142
Positions	9.000	9.000
Nonrecurring		
Requirements	\$39,000	-
Receipts	-	-
	\$39,000	-
Appropriation	\$39,000	-
Positions	-	-
Total Appropriation Adjustments	\$1,601,638	\$1,671,142
Total Position Adjustments	9.000	9.000

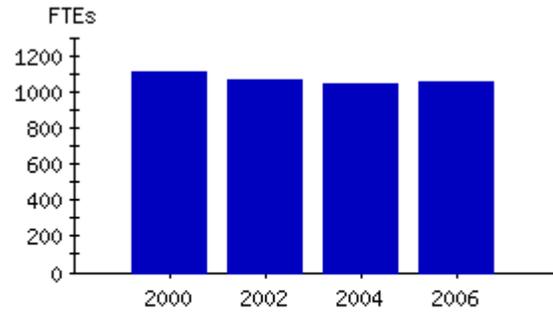
Base Budget and Results-Based Information

Budget Code 14480 DHHS - Division of Vocational Rehabilitation

**Actual Expenditures
by Fiscal Year**



**Actual Positions
by Fiscal Year**



Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$127,650,633	\$133,493,559	\$133,412,264	\$3,548,266	\$136,960,530	\$4,732,412	\$138,144,676
Receipts	\$85,576,128	\$89,960,989	\$89,960,989	\$2,625,016	\$92,586,005	\$3,471,278	\$93,432,267
Appropriation	\$42,074,505	\$43,532,570	\$43,451,275	\$923,250	\$44,374,525	\$1,261,134	\$44,712,409
Positions	1,051.000	1,051.000	1,051.000	-	1,051.000	-	1,051.000

Budget Code 14480 DHHS - Division of Vocational Rehabilitation

Fund 14480-1101 Management and Support Services — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$6,284,803	\$7,505,867	\$7,505,867	\$21,704	\$7,527,571	\$27,947	\$7,533,814
Receipts	\$4,576,263	\$5,186,230	\$5,186,230	\$17,082	\$5,203,312	\$21,995	\$5,208,225
Appropriation	\$1,708,540	\$2,319,637	\$2,319,637	\$4,622	\$2,324,259	\$5,952	\$2,325,589
Positions	84.250	84.250	84.250	-	84.250	-	84.250

Fund description

The purpose of this fund is to provide management and administrative support services in accomplishing the mission of the Division of Vocational Rehabilitation Services. Management and administrative support services include planning, developing, coordinating and evaluating the activities, programs, and services developed under the authority of the Rehabilitation Act and through state appropriations for individuals with significant disabilities. Also included in this center are fiscal and contract management, human resources and training, and information technology services.

Services for the fund	Actual Requirements 2005-06	Actual FTEs 2005-06
Executive management plans, directs, coordinates, and executes the statewide program of rehabilitation for individuals with mental and physical disabilities. Also, research and stay abreast of federal and state law issues affecting the Division.	\$1,014,239	4.750
Fiscal Services section directs and coordinates all fiscal activities for the division and ensures fiscal compliance and accountability with all federal and state laws.	\$604,747	9.000
Purchasing and property handles all purchasing for client and non-client items for Vocational Rehabilitation (VR), Division of Services for the Blind (DSB), Independent Living (IL) & Division of Services for the Deaf and Hard of Hearing (DSDHH). Also, responsible for state surplus, telecommunication and leases for the division.	\$752,241	9.000
Information Technology Services maintains the computer hardware, software and networks for all VR and IL offices throughout the state. Maintain the help desk and provide customer service support. Responsible for agency databases and servers for all offices.	\$697,462	7.000
Contract development coordinates all performance-based contracts, performs cost analysis of all proposed contracts, assists in contract negotiations, and establishes internal controls over purchasing and contracting. Requests reimbursements of federal funds in accordance with an agreement between the SSA & DVRS for subrogation situation involving litigation with insurance carriers, workers comp. and other third parties in obtaining reimbursement for certain expenditures.	\$281,400	4.000
Employment administrative staff is responsible for the development of resources, programs and consultation to division staff and customers in order to empower consumers to achieve vocational success. This includes the development of partnerships with all workforce agencies, third party agreements with public and private agencies, community based organizations, and employers and employment providers of service.	\$341,620	8.000
Program policy, planning, and evaluation provides leadership, consultation, and technical expertise to line management. Also provides service delivery, policy development, quality assurance, medical consultation, and training in areas related to federal regulations and service delivery.	\$760,827	18.000

Personnel provides comprehensive personnel services to employees, supervisors and managers in the areas of classification and compensation, recruitment & selection, benefits, employee/employer relations, safety & health and policy interpretation, and administration.	\$567,124	8.500
Continuing Education / Staff Development manages and supervises all training and continuing education activities for the division. Prepares and submits applications, and manages and monitor training grants.	\$419,184	6.000
Regional Directors Administrative Management located in three regions within NC, assists the State Office in policy making, statewide planning for programs and personnel, statewide program evaluation of the Operational Management System, and in formulating case service and administrative budgets.	\$845,958	10.000
Actual Totals	\$6,284,803	84.250

Measures for the fund

	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Percentage of former clients who report their overall experience as excellent or above average	60.67 %	68.30 %	69.30 %

Fund 14480-1102 Counseling and Placement - State — Base Budget

	<u>2005-06</u> Actual	<u>2006-07</u> Certified	<u>2006-07</u> Authorized	<u>2007-08</u> Adjustments	<u>2007-08</u> Total	<u>2008-09</u> Adjustments	<u>2008-09</u> Total
Requirements	\$28,748,636	\$32,382,836	\$32,301,541	\$30,583	\$32,332,124	\$66,185	\$32,367,726
Receipts	\$22,421,392	\$25,767,338	\$25,767,338	\$24,069	\$25,791,407	\$52,088	\$25,819,426
Appropriation	\$6,327,244	\$6,615,498	\$6,534,203	\$6,514	\$6,540,717	\$14,097	\$6,548,300
Positions	551.500	551.500	551.500	-	551.500	-	551.500

Fund description

The purpose of this fund is the administration and coordination of counseling and placement services, including the development of Individualized Plans for Employment (IPE). Vocational Rehabilitation provides within this fund a variety of job related services: job development, outreach and networking with business and industry, job placement, job retention, and on-the-job support to individuals who are eligible for services. Services are provided and coordinated as agreed upon by the eligible individual and the VR Counselor in an Individualized Employment Plan. Counseling (including information and referral) and placement may extend throughout the entire life of the Rehabilitation Plan.

Services for the fund

	<u>Actual</u> <u>Requirements</u> <u>2005-06</u>	<u>Actual</u> <u>FTEs</u> <u>2005-06</u>
Casework Assistants relieve the rehabilitation counselor of routine casework duties which can include a variety of clerical tasks depending on each office.	\$3,065,768	47.000
Casework Supervisors are responsible for supervision and coordination of rehabilitation casework services in a geographical area composed of several or more unit and/or facility programs, including responsibility for selecting, developing and evaluating case-service personnel in order to ensure that quality and comprehensive vocational rehabilitation services are provided.	\$669,026	10.000
Casework Technicians provide advanced assistance in support of the rehabilitation counselor include interviewing clients, gathering required diagnostic reports, making recommendations on needs, and contacting employers to develop on-the-job training and/or placement.	\$1,330,322	30.000

Community Services Consultants initiate cooperative arrangements with family agencies, community health programs and other local organizations to assure that existing resources are made available to the mentally ill, the physically ill and handicapped, public assistance recipients and other low-income groups.	\$410,177	8.000
Counselors administer a comprehensive plan of rehabilitation services in support of individual caseloads. Responsibility includes evaluation diagnostic data to determine eligibility and functional capability, assess clients' service needs, develop a written plan of service in conjunction with the client, provide supportive counseling and monitor the client's progress.	\$15,326,719	268.000
Education Specialists provide appropriate educational and training opportunities for rehabilitation employees within a geographical region or statewide area. Periodically assess the training needs of employees and prescribe appropriate educational or training programs.	\$261,180	4.000
Rehabilitation Engineers provide engineering design services necessary to enable Vocational Rehabilitation clients to obtain and keep productive employment.	\$405,774	6.000
Human Resources Placement Specialists specialize in job or housing development and placement in DVRS's local Departments of Social Services. Interview clients to assess basic factors that affect housing or employment needs. Provides supportive relationship counseling regarding job readiness, expectation of employers or landlords.	\$280,208	41.000
Office Assistants perform a variety tasks in support of administrative, program or technical operations.	\$3,625,676	85.500
Rehabilitation Program Coordinators perform consultative and coordinative work in the vocational rehabilitation of the physical, mentally, or emotionally handicapped. Provides guidance and direction to specific statewide programs, interprets to the public and interested personnel the objectives of the specialized program, and promotes public interest through various information channels and, meets with special interest groups.	\$556,344	9.000
Vocational Evaluators provide vocational evaluation services to developmentally and physically disabled clients in area mental health facilities or state institutions. Reviews client referral information and develops an individual client evaluation plan based on client needs.	\$2,817,443	43.000
Actual Totals	\$28,748,636	551.500

Measures for the fund

	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Applicants who entered into the Vocational Rehabilitation program	14,857	14,966	13,151
Clients successfully placed into competitive employment (upon completion of the program)	5,743	5,675	5,428

Fund 14480-1103 Counseling and Placement - Third Party — Base Budget

	2005-06	2006-07	2006-07	2007-08	2007-08	2008-09	2008-09
	Actual	Certified	Authorized	Adjustments	Total	Adjustments	Total
Requirements	\$9,958,822	\$11,119,004	\$11,119,004	\$15,089	\$11,134,093	\$28,362	\$11,147,366
Receipts	\$10,055,932	\$11,119,004	\$11,119,004	\$15,089	\$11,134,093	\$28,362	\$11,147,366
Appropriation	(\$97,110)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	221.500	221.500	221.500	-	221.500	-	221.500

Fund description

The purpose of this fund is related to the agreements between the North Carolina Division of Vocational Rehabilitation Services and individual third parties to provide vocational rehabilitation services to students with disabilities who meet the Division's

eligibility criteria. These agreements specifically target youth in transition. The third party contributes 21.3% of the agreed upon annual budget and the remaining 78.7% is federally funded through the Basic Support Program.

Services for the fund	<u>Actual Requirements</u> 2005-06	<u>Actual FTEs</u> 2005-06
Office Assistants perform a variety tasks in support of administrative, program or technical operations.	\$754,280	20.000
Casework Assistants relieve the rehabilitation counselor of routine casework duties which can include a variety of clerical tasks depending on each office.	\$1,350,126	36.000
Casework Technicians provide advanced assistance in support of the Rehabilitation counselor include interviewing clients, gathering required diagnostic reports, making recommendations on needs, and contacting employers to develop on-the-job training or placement.	\$1,004,804	24.500
Counselors administer a comprehensive plan of rehabilitation services in support of individual caseloads. Responsibility includes evaluation diagnostic data to determine eligibility and functional capability, assess clients' service needs, develop a written plan of service in conjunction with the client, provide supportive counseling and monitor the client's progress.	\$6,217,510	127.000
Human Resources Placement Specialists specialize in job or housing development and placement in DVRS's local Departments of Social Services. Interviews clients to assess basic factors that affect housing or employment needs. Provides supportive relationship counseling regarding job readiness, expectation of employers or landlords.	\$390,329	9.000
Vocational Evaluators provide vocational evaluation services to developmentally and physically disabled clients in an area mental health facility or state institution. Reviews client referral information and develops an individual client evaluation plan based on client needs.	\$241,773	5.000
Actual Totals	\$9,958,822	221.500

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Applicants entered into the Vocational Rehabilitation program	7,040	7,092	6,232
Clients successfully placed into competitive employment upon completion of the program	2,721	2,689	2,572

Fund 14480-1104 Agency Operated Rehabilitation Facility — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$2,165,122	\$2,362,279	\$2,362,279	\$194,939	\$2,557,218	\$197,767	\$2,560,046
Receipts	\$1,682,601	\$1,865,540	\$1,865,540	\$156,917	\$2,022,457	\$158,143	\$2,023,683
Appropriation	\$482,521	\$496,739	\$496,739	\$38,022	\$534,761	\$39,624	\$536,363
Positions	48.000	48.000	48.000	-	48.000	-	48.000

Fund description

The purpose of this fund is to support the administration and operation of two Community Rehabilitation Facilities. These facilities provide a variety of services for individuals with significant disabilities in order to help them obtain employment. Once consumers are determined eligible, Vocational Rehabilitation provides the following job related services: vocational evaluation, vocational training, job development, outreach and networking with business and industry, job placement, job retention, and on-the-job supports to individuals who are eligible for services. These facilities contract with local industries to provide a variety of products, utilizing the income generated to purchase equipment and materials thus creating opportunities for additional consumers.

Services for the fund	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
Support for the administration and operation of the Western and Eastern Community Rehabilitation Facilities, which provide a variety of services for individuals with significant disabilities in order to help them obtain employment. Contracts with local industries to provide a variety of products.	\$1,713,810	39.000
Overall management and administration of the Western and Eastern Vocational Rehabilitation Facilities including Facility Directors, Administrative Assistants, and Safety Officer.	\$248,504	4.000
Financial and accounting support services located at the Western and Eastern Vocational Rehabilitation Facilities.	\$202,809	5.000
Actual Totals	\$2,165,122	48.000

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Applicants who entered into the Vocational Rehabilitation program	1,109	1,117	981
Clients successfully placed into competitive employment upon completion of the program	429	424	405

Fund 14480-1105 Case Services — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$61,630,671	\$59,418,488	\$59,418,488	\$3,065,715	\$62,484,203	\$4,079,190	\$63,497,678
Receipts	\$45,415,208	\$43,189,716	\$43,189,716	\$2,412,718	\$45,602,434	\$3,210,323	\$46,400,039
Appropriation	\$16,215,463	\$16,228,772	\$16,228,772	\$652,997	\$16,881,769	\$868,867	\$17,097,639
Positions	-	-	-	-	-	-	-

Fund description

The purpose of this fund is the coordination and procurement of goods and services provided by Vocational Rehabilitation to assist eligible individuals to obtain, maintain, or retain employment. Depending on individual needs, services may include assessment, diagnosis and treatment, training, rehabilitation technology, job related services, tools, equipment, and initial stock for small businesses. Additional services provided to support achievement of the employment outcome include transportation, personal assistance, and interpreter and reader services. Post-employment services may be provided when required for job retention.

Services for the fund	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
Purchase medical supplies/equipment for eligible clients.	\$29,915,859	-
Purchase non medical supplies/equipment for eligible clients.	\$21,702,158	-
Purchases for a group of clients, non specific for individual.	\$219,600	-
Sheltered workshop payments and miscellaneous contractual services.	\$9,793,054	-
Actual Totals	\$61,630,671	-

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Individuals successfully employed annually	8,898	8,788	8,405
Percentage increase in weekly earnings from application to closure for individuals successfully exiting the program	427 %	426 %	446 %
Percentage who exit the VR program in competitive, self-employment with earnings equivalent to at least the minimum wage	96.33 %	99.50 %	99.40 %

Fund 14480-1201 Establishment of Facilities — Base Budget

	<u>2005-06 Actual</u>	<u>2006-07 Certified</u>	<u>2006-07 Authorized</u>	<u>2007-08 Adjustments</u>	<u>2007-08 Total</u>	<u>2008-09 Adjustments</u>	<u>2008-09 Total</u>
Requirements	\$188,132	\$251,018	\$251,018	\$0	\$251,018	\$0	\$251,018
Receipts	\$188,132	\$251,018	\$251,018	\$0	\$251,018	\$0	\$251,018
Appropriation	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The purpose of this program is to broaden and expand opportunities for training and employment of individuals with disabilities by assisting in the development of Community Rehabilitation Programs and construction of barrier-free rehabilitation facilities to meet state and national design standards and assist individuals with disabilities procure durable medical equipment. To accomplish this purpose, the division reviews requests and makes grant awards.

Services for the fund	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
Reviews applications for establishment of new community rehabilitation programs, expansion needs, and improvement of existing programs and provides financial support for program development through grant awards	\$188,132	-
Actual Totals	\$188,132	-

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Individuals receiving durable medical equipment	56	43	52
Individuals receiving individualized skills training, personal care through community access support services	56	43	52

Fund 14480-1301 Client Assistance Project — Base Budget

	<u>2005-06 Actual</u>	<u>2006-07 Certified</u>	<u>2006-07 Authorized</u>	<u>2007-08 Adjustments</u>	<u>2007-08 Total</u>	<u>2008-09 Adjustments</u>	<u>2008-09 Total</u>
Requirements	\$271,130	\$311,472	\$311,472	\$1,429	\$312,901	\$1,717	\$313,189
Receipts	\$271,130	\$311,472	\$311,472	\$1,429	\$312,901	\$1,717	\$313,189
Appropriation	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Positions	4.000	4.000	4.000	-	4.000	-	4.000

Fund description

The purpose of this fund is the administration of the Client Assistance Project (CAP), which helps rehabilitation clients and potential clients understand what services are available through state rehabilitation programs and related state and federal assistance programs. Informs consumers of their rights and responsibilities in connection with the benefits of these programs. CAP also establishes cases with individual clients in order to resolve their issues and concerns when involvement beyond information and referral is necessary. Finally, CAP has direct responsibility in formal appeals, hearings, and litigation when alternative dispute resolution actions are not successful.

Services for the fund	<u>Actual Requirements</u> <u>2005-06</u>	<u>Actual FTEs</u> <u>2005-06</u>
Advocate for individuals with disabilities by responding to requests for information about statewide rehabilitation programs, answering specific questions about rehabilitation services, and referring clients to other agencies and services when appropriate.	\$135,565	2.000
Assist clients with issues and problems that cannot be resolved by a VR counselor, work with clients to resolve problems, and provide guidance on how to appeal decisions that remain unsolved following informal discussions.	\$135,565	2.000
Actual Totals	\$271,130	4.000

Measures for the fund

	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Consumers served with issues and concerns more extensive than information and referral	176	179	182
Information and referral actions on behalf of consumers annually	766	914	851

Fund 14480-1302 Supported Employment Project — Base Budget

	<u>2005-06</u> <u>Actual</u>	<u>2006-07</u> <u>Certified</u>	<u>2006-07</u> <u>Authorized</u>	<u>2007-08</u> <u>Adjustments</u>	<u>2007-08</u> <u>Total</u>	<u>2008-09</u> <u>Adjustments</u>	<u>2008-09</u> <u>Total</u>
Requirements	\$616,352	\$616,352	\$616,352	\$0	\$616,352	\$0	\$616,352
Receipts	\$616,352	\$616,352	\$616,352	\$0	\$616,352	\$0	\$616,352
Appropriation	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The purpose of this fund is the administration of the Supported Employment Program (SE). This program establishes a system of services for persons with the most significant disabilities who need extensive on-job-site training with long-term support services to learn, obtain, and maintain employment in the competitive labor market. To accomplish this purpose, the division places persons with the most significant disabilities and those for whom job placement has not traditionally been expected into competitive employment. This is accomplished through a multi-agency collaborative effort.

Services for the fund	<u>Actual Requirements</u> <u>2005-06</u>	<u>Actual FTEs</u> <u>2005-06</u>
The Supported Employment Program establishes a system of services for persons with the most significant disabilities who need extensive on-job site training with long-term support services to learn, obtain, and maintain employment in the competitive labor market.	\$616,352	-
Actual Totals	\$616,352	-

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Clients that achieved major benefits	359	415	480
Clients that exited the program employed	266	315	341

Fund 14480-1303 Assistive Technology Project — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$1,358,305	\$1,594,584	\$1,594,584	(\$5,084)	\$1,589,500	(\$3,001)	\$1,591,583
Receipts	\$828,770	\$936,374	\$936,374	(\$2,288)	\$934,086	(\$1,350)	\$935,024
Appropriation	\$529,535	\$658,210	\$658,210	(\$2,796)	\$655,414	(\$1,651)	\$656,559
Positions	16.750	16.750	16.750	-	16.750	-	16.750

Fund description

The purpose of this fund is the administration of the North Carolina Assistive Technology Project (NCATP). The goal of the project is to increase independence and opportunities for persons with disabilities in school, home, work, and community activities through the use of assistive technology. Five regional centers offer technical consultation in selection of the most appropriate equipment, demonstration and sampling the technology, short-term equipment loan, computer access evaluation, training seminars, and awareness activities regarding assistive technology. Other program services include technical assistance to local grassroots organizations and schools, funding resource information and advocacy, and systems change initiatives to improve assistive technology access.

Services for the fund	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
Provides access to assistive technology through device demonstrations and device loans.	\$814,983	10.000
Provides information, training at workshops and conferences, technical assistance, awareness, and outreach to consumers, families, professionals, schools, and local organizations on assistive technology to obtain maximum independence and increased referrals to assistive technology vendors.	\$543,322	6.750
Actual Totals	\$1,358,305	16.750

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Requests for information / consultation services	10,137	10,804	9,402
Consumers served	7,770	8,036	6,514
People attending training/awareness seminars	19,284	23,218	17,783

Fund 14480-1305 Independent Living Programs — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$17,183,548	\$17,600,048	\$17,600,048	\$223,891	\$17,823,939	\$334,245	\$17,934,293
Receipts	\$413,488	\$386,334	\$386,334	\$0	\$386,334	\$0	\$386,334
Appropriation	\$16,770,060	\$17,213,714	\$17,213,714	\$223,891	\$17,437,605	\$334,245	\$17,547,959
Positions	125.000	125.000	125.000	-	125.000	-	125.000

Fund description

The purpose of this fund is the administration of the Independent Living Services program (ILS). This program prevents institutionalization of persons with significant disabilities, helps to deinstitutionalize individuals whenever possible, and maximizes community living for them and their families as well as other significantly disabled individuals already living in the community. Where feasible, clients may transition to the vocational rehabilitation program for services. For those who achieve their work goal, ILS may become a resource for maintaining employment in the community. In addition to providing persons with the most significant disabilities with community integrated alternative living, ILS also effectively contains the cost of long-term care. To accomplish this purpose, ILS provides services to maximize independence of persons with significant disabilities within their homes, families, and communities. Services provided include guidance, counseling, evaluation, housing, vehicle and/or home modifications, engineering services, maintenance, and consumer managed attendant care.

Services for the fund	<u>Actual Requirements 2005-06</u>	<u>Actual FTEs 2005-06</u>
Executive management plans, directs, coordinates, and executes the statewide Independent Living program for mentally and physically handicapped persons.	\$159,805	2.000
Counselors administer a comprehensive plan of rehabilitation services in support of individual caseloads. Responsibilities include evaluation diagnostic data to determine eligibility and functional capability, assess clients' service needs, develop a written plan of service in conjunction with the client, provide supportive counseling, and monitor the client's progress.	\$2,984,021	52.000
Advanced Assistants support the Independent Living Rehabilitation counselor by interviewing IL clients and gathering required diagnostic reports, making recommendations on needs, contacting employers to develop on-the-job training, and/or placement.	\$834,862	18.000
Therapeutic Recreation Specialists evaluate patients through interaction, behavioral observations, a variety of tests, and analysis of medical records.	\$630,901	12.000
Supportive services that relieve the rehabilitation counselor of routine casework duties which can include a variety of clerical tasks depending on each Independent Living office.	\$630,693	15.000
Provide engineering design services necessary to enable independent living clients to obtain and keep productive employment.	\$950,299	14.000
Perform a variety of office assistant tasks in support of administrative, program or technical operations for the Independent Living Program.	\$520,456	12.000
Purchase Independent Living services which include personal care service, diagnostic assessment service, housing and community integration, assistive devices, and physical restoration equipment and services.	\$10,445,772	-
Administration cost percentage that supports the independent living program which includes budget, human resources, purchasing, and computer operations.	\$26,739	-
Actual Totals	\$17,183,548	125.000

Measures for the fund

	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Persons with significant disabilities living more independently after receiving services	2,191	2,391	2,271
Percentage of individuals receiving services who were satisfied with IL program services	95.00 %	95.00 %	95.00 %

Fund 14480-1810 Revenue Clearing — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	(\$305,564)	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The Revenue Clearing Fund records accounting transactions that detail by funding source the revenue due from federal and other funding sources as a result of expenditures that earned those dollars in the operating funds of the agency. Actual receipts received from federal and other funding sources are also recorded in this fund. The Revenue Clearing Fund balance indicates the current status of the agency's accounts receivable for the funding sources reflected in the Clearing Account at any point in the state fiscal year.

Fund 14480-1991 Indirect Reserve — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$91,208	\$331,611	\$331,611	\$0	\$331,611	\$0	\$331,611
Receipts	\$218,003	\$331,611	\$331,611	\$0	\$331,611	\$0	\$331,611
Appropriation	(\$126,795)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The purpose of this Fund is to account for funds earned for indirect costs from federal funding sources. The rate or amount of indirect cost that may be earned is negotiated each year with the federal DHHS Division of Cost Allocation based upon prior year statewide and departmental overhead costs.

Fund 14480-1992 Prior Year Earned Revenue — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$172,308	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	\$172,307	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	\$1	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This Fund is used to record revenue received in the current state fiscal year, primarily from federal funding sources earned in a prior fiscal year.

Fund 14480-1993 Prior Year Audit and Adjustments — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	(\$1,018,404)	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	(\$977,886)	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	(\$40,518)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

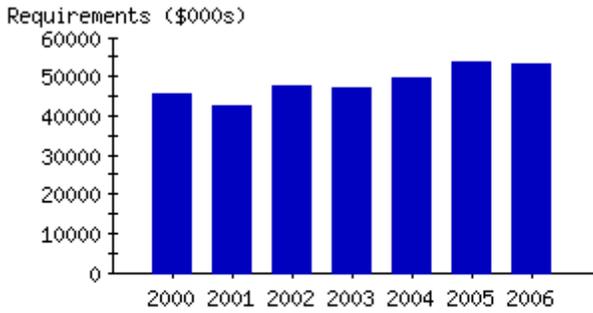
Fund description

This fund is used to record adjustments to expenditures or receipts for activities or transactions that occurred in a prior state fiscal year. Adjustments tracked in this fund include paybacks from sub grantees and purchase of service vendors, as a result of audits of prior year operations.

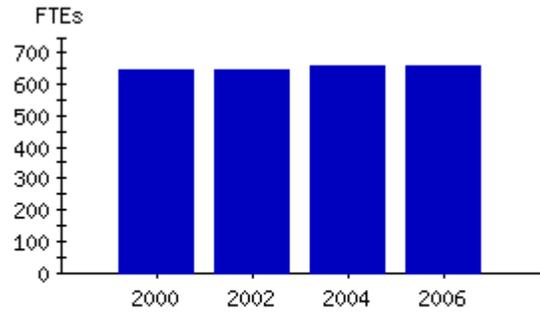
Base Budget and Results-Based Information

Budget Code 24481 DHHS - Disability Determination - Special

**Actual Expenditures
by Fiscal Year**



**Actual Positions
by Fiscal Year**



Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$53,250,838	\$58,927,412	\$58,927,412	\$0	\$58,927,412	\$0	\$58,927,412
Receipts	\$53,250,829	\$58,927,412	\$58,927,412	\$0	\$58,927,412	\$0	\$58,927,412
Chng Fund Bal	(\$9)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	660.000	660.000	660.000	-	660.000	-	660.000

Budget Code 24481 DHHS - Disability Determination - Special

Fund 24481-2125 Disability Determination — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	\$53,253,121	\$58,927,412	\$58,927,412	\$0	\$58,927,412	\$0	\$58,927,412
Receipts	\$53,253,112	\$58,927,412	\$58,927,412	\$0	\$58,927,412	\$0	\$58,927,412
Chng Fund Bal	(\$9)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	660.000	660.000	660.000	-	660.000	-	660.000

Fund description

Disability Determination Services (DDS) makes medical eligibility decisions on claims for Social Security Disability (Title II), Supplemental Security Income (Title XVI) and Medicaid (Title XIX). Applications for Social Security Disability and Supplemental Security Income are taken in the Social Security field offices across the state and forwarded to DDS for development of the medical evidence and adjudication. Claims for Medicaid are taken in the local Departments of Social Services and forwarded to DDS to undergo the same process. Once the eligibility decision is made, the claims are returned to the originating Social Security office or Department of Social Services for the award of benefits or the initiation of the appeal process in the case of denied claims. The recognition and evaluation of the existence, severity and duration of medically determinable impairments requires the judgment of medically trained people. Since consideration of the impact of the medically determinable impairment on the ability to do work activity is also required, a team approach to case development and evaluation is required. The DDS review team consists of a Disability Examiner and a Medical or Psychological Consultant.

Services for the fund	Actual Requirements 2005-06	Actual FTEs 2005-06
Disability Determination Services Administrators plan, direct, coordinate, and execute the statewide program of disability determination.	\$914,322	9.000
Disability Hearings Unit (DHU) conducts face-to-face evidentiary disability hearings.	\$756,296	8.000
Information Technology provides expertise and reliable customer service to the DDS by installing, administering, testing, troubleshooting and maintaining all hardware, software and network infrastructure for voice and data.	\$995,968	12.000
Case Processing Supervisors and Case Consultants provide direction and training in case processing units with the Supervisor having primary responsibility.	\$4,463,199	52.000
Disability Examiners and Medical Consultants are members of a case processing unit who review the case file received from the local Social Security office, determine what evidence is needed to assess disability, and formulate a development plan. The examiner analyzes the case in collaboration with the medical consultant and prepares the medical assessment for disability.	\$39,982,689	500.000
Quality Assurance/Vocational Unit is designed to detect and correct deficiencies or errors and to promote quality in DDS claims processing.	\$1,532,051	17.000
Processing Center performs mailing, receipting and closure functions for the DDS.	\$1,704,499	25.000
Professional Relations Office recruits and works with major community physicians, psychologists and other health professionals to perform examinations needed to make disability decisions.	\$778,541	8.000
Training Unit provides training to new examiners and DDS staff, including medical consultants.	\$573,322	7.000

Consultative Examination Controls Unit schedules appointments for consultative examinations for disability applicants as requested by the disability examiners.	\$1,242,102	18.000
Budget and Planning Unit reviews the state and Federal Budget Report on a monthly basis to ensure proper obligations and expenditures of funds.	\$310,132	4.000
Actual Totals	\$53,253,121	660.000

Measures for the fund	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Cases closed (SS,SSI and Medicaid)	186,459	180,417	173,480
Performance/accuracy rate (Social Security and Supplemental Security Income claims only)	95.9 %	96.5 %	96.8 %
Initial claim processing time for North Carolina in days (Social Security and Supplemental Security Income only) National Avg = 93 days ¹	95.2	92.8	91.7

¹Year To Date processing time represents 11 months of data

Fund 24481-2993 Prior Year Revenue - Audit Exceptions — Base Budget

	2005-06 Actual	2006-07 Certified	2006-07 Authorized	2007-08 Adjustments	2007-08 Total	2008-09 Adjustments	2008-09 Total
Requirements	(\$2,283)	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	(\$2,283)	\$0	\$0	\$0	\$0	\$0	\$0
Chng Fund Bal	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This fund records adjustments to expenditures or receipts for activities or transactions that occurred in a prior state fiscal year.